CÔNG TY CỔ PHẦN CHỨNG KHOÁN KIS VIỆT NAM	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**
KIS VIETNAM SECURITIES CORPORATION	**Độc lập - Tự do - Hạnh phúc**
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	THE SOCIALIST REPUBLIC OF VIET NAM
	Independence – Freedom – Happiness
Số/ *No*.: 192/CBTT-KIS	*TP.HCM, ngày 23 tháng 9 năm 2026*
	Ho Chi Minh City, September 23, 2026

CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE

Kính gửi/ *To*: Ủy ban Chứng khoán Nhà nước/ *State Securities Commission*
Sở Giao dịch Chứng khoán Việt Nam/ *Viet Nam Stock Exchange*
Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*
Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*

1. Tên tổ chức/ *Name of organization*: **Công ty Cổ phần Chứng khoán KIS Việt Nam/ *KIS Vietnam Securities Corporation***

- Mã chứng khoán/Mã thành viên/ *Securities code/Member code:* 057
- Địa chỉ/ *Address*: Tầng 3 và tầng 11, Tòa nhà ROX, 180 - 192 Nguyễn Công Trứ, phường Bến Thành, TP Hồ Chí Minh/ *3rd Floor and 11th Floor, ROX Building, 180–192 Nguyen Cong Tru Street, Ben Thanh Ward, Ho Chi Minh City*
- Điện thoại liên hệ/ *Telephone*: (028) 3914.8585 Fax: (028) 3821.6898
- E-mail: cskh@kisvn.vn

2. Nội dung thông tin công bố/ *Disclosed information*: Ngày 23/9/2026, Công ty cổ phần chứng khoán KIS Việt Nam nhận được Bản cáo bạch đăng ký chào bán bổ sung chứng quyền có bảo đảm lần 1/*On September 23, 2026, KIS Vietnam Securities Corporation received the Prospectus for the 1st Additional Public Offering of Covered Warrants, as follows:*

- Chứng quyền.VHM.KIS.6M.43.
  Covered Warrant VHM.KIS.6M.43.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 23/9/2026 tại đường dẫn https://www.kisvn.vn/cbtt-vv-ctcp-chung-khoan-kis-viet-nam-cbtt-ban-cao-bach-chao-ban-bo-sung-chung-quyen-co-bao-dam-cvhm2613 *This information was published on the Company's website on September 23, 2026 at: https://www.kisvn.vn/cbtt-vv-ctcp-chung-khoan-kis-viet-nam-cbtt-ban-cao-bach-chao-ban-bo-sung-chung-quyen-co-bao-dam-cvhm2613*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Tài liệu đính kèm/ Attached documents:
Tài liệu liên quan đến nội dung thông tin công bố/ *Documents on disclosed information.*

Đại diện tổ chức/ ***Organization representative***
Người đại diện theo pháp luật
Legal Representative

CÔNG TY CỔ PHẦN CHỨNG KHOÁN KIS VIỆT NAM
S.G.P: 56 - C.T.C.P
P. BẾN THÀNH - T.P HỒ CHÍ MINH

SHIN HYUN JAE
TỔNG GIÁM ĐỐC
GENERAL DIRECTOR

The State Securities Commission's (SSC) issuance of the Registration Certificate for the Offering of Covered Warrants merely signifies that the Issuer's registration dossier has complied with the conditions and procedures prescribed by relevant laws. It does not imply a guarantee of the accuracy of the Prospectus's contents, nor does it guarantee the value of the covered warrants, the full payment of obligations, or the investment objectives, strategies, and business plans of the Issuer.

Investors are strongly advised to thoroughly read and understand the provisions of this Prospectus, particularly the Risk Factors on page 1, and to take note of applicable taxes, fees, charges, and service pricing when trading covered warrants.

The market price of covered warrants may fluctuate based on market conditions; investors may incur losses on their initial capital or lose their entire investment.

Information regarding the past performance of the Issuer or previously issued warrants (if any) is for reference purposes only and does not guarantee future investment returns.

S.G.P: 56 - C.T.C.P * CÔNG TY CỔ PHẦN CHỨNG KHOÁN KIS VIỆT NAM * P. BẾN THÀNH - T.P HỒ CHÍ MINH

PROSPECTUS

(No.: 847/KISCW)

KIS VIETNAM SECURITIES CORPORATION

(Securities Company Establishment and Operation License No. 56/UBCK-GPHĐKD, issued by the State Securities Commission on July 5, 2007, as amended by Adjustment License No. 97/GPĐC-UBCK, issued by the State Securities Commission on August 20, 2026)

FIRST ADDITIONAL OFFERING OF COVERED WARRANTS

(Registration Certificate for the Offering of Covered Warrants No. 444/GCN-UBCK issued by the Chairperson of the State Securities Commission on September 22, 2026)

Chứng quyền.VHM.KIS.6M.43

This Prospectus and supplementary documents will be available from the issuance announcement date at:

KIS VIETNAM SECURITIES CORPORATION

Address: 3rd and 11th Floor, ROX Building, 180-192 Nguyen Cong Tru Street, Ben Thanh Ward, Ho Chi Minh City.

Telephone: 028.39148585 Fax: 028.38216899 Website: www.kisvn.vn

PERSON IN CHARGE OF INFORMATION DISCLOSURE

Full Name: Hoang Anh Viet - Derivatives Solutions Department

Telephone: 028.3914.8585 (Ext: 1114) Email: viet.ha@kisvn.vn

Ho Chi Minh City, September 2026

KIS VIETNAM SECURITIES CORPORATION

(Securities Company Establishment and Operation License No. 56/UBCK-GPHĐKD issued by the State Securities Commission on July 5, 2007, as amended under Amended License No. 97/GPĐC-UBCK issued by the State Securities Commission on August 20, 2026)

FIRST ADDITIONAL OFFERING OF COVERED WARRANTS

- Warrant name: Chứng quyền.VHM.KIS.6M.43.
- Name (ticker) of the underlying security: VHM.
- Underlying issuer: Công ty Cổ phần Vinhomes.
- Warrant type: Call Warrant.
- Exercise style: Warrants that may only be exercised by the holder at maturity.
- Settlement method: Warrants are cash-settled.
- Maturity: 6 tháng months
- Expected additional issuance date: The specific timing shall be determined by the General Director after obtaining approval from the competent authorities.
- Last Trading Date: 26/11/2026.
- Maturity Date: 30/11/2026.
- Conversion ratio: 9.6297:1.
- Exercise price: VND 83,768.
- Expected Offering Price: The closing price of the Covered Warrant on the day immediately preceding the date of publication of the Covered Warrant Issuance Notice. The specific offering price shall be announced in the Covered Warrant Issuance Notice.
- Total number of additional Covered Warrants offered: warrants.
- Registration price for the additional offering used to determine the value of the Covered Warrants: VND 1,300 per Covered Warrant (being the closing price of the Covered Warrant on July 3, 2026).
- Total value of the Covered Warrants as of July 3, 2026 (including both listed and unlisted Covered Warrants): VND 7,800,000,000.
- Expected value of collateral for payment obligations (including both listed and unlisted Covered Warrants): VND 3,900,000,000.

CUSTODIAN BANK FOR COLLATERAL ASSETS

JOINT STOCK COMMERCIAL BANK FOR INVESTMENT AND DEVELOPMENT OF VIETNAM - NAM KY KHOI NGHIA BRANCH

Head Office Address: No. 56 (Rear), 58, 60, 62, 64 Nam Ky Khoi Nghia Street, Sai Gon Ward, Ho Chi Minh City, Vietnam.

Telephone: (028) 3821 8812 Fax: (028) 3914 4714 Website: www.bidv.com.vn

AUDITING FIRM

PWC (VIETNAM) LIMITED

Address: No. 29, Le Duan Street, Sai Gon Ward, Ho Chi Minh City.

Telephone: (028) 3823 0796 Fax: (028) 3823 0796 Website: www.pwc.com/vn/

PROSPECTUS CONTENTS

I. RISK FACTORS

Investors are advised to thoroughly review this Prospectus and its accompanying documents to clearly understand the nature of Covered Warrants (hereinafter referred to as "Warrants"), the risks associated with investing in Warrants, and to evaluate the suitability of this investment product in relation to their investment objectives and financial situation. Investors are recommended to consult financial advisors, stockbrokers, or analysts to gain a comprehensive understanding of Warrant investment mechanisms.

Covered Warrants are structured derivative products with a complex nature and are not suitable for inexperienced investors. We strongly discourage participation if investors do not fully understand the product or are not prepared to accept the investment risks outlined below.

We do not undertake to conduct investigations or research regarding the Issuer of the underlying security. The holding of warrants should not be construed as a recommendation by the Issuer regarding the underlying security. Furthermore, holders should note that the companies or entities issuing the underlying securities, or other related entities, are not involved in the preparation of this Prospectus.

1. Risks Related to the Warrant Issuer

- Issuer Transaction Risk: The Issuer may repurchase warrants on the market at any time, and such warrants may be held, cancelled, or resold. The Issuer may execute hedging transactions that could affect the price of the underlying security, thereby indirectly impacting the warrant price.

- Settlement Risk: Investors should note that although the Issuer must strictly adhere to solvency standards throughout the issuance process, there remains a risk that the Issuer may become insolvent and fail to fulfill its exercise obligations to investors. In the event of insolvency or insufficient assets for settlement, the rights of warrant holders shall be resolved in accordance with relevant laws.

- Delisting Risk: The Covered Warrants may be subject to compulsory delisting in the cases stipulated in Clause 6, Article 120 of Decree No. 155/2020/ND-CP and its amendments and supplements. The specific cases include:

+ The underlying securities are delisted, or the underlying securities index can no longer be determined;
+ The application dossier for the offering of Covered Warrants contains inaccurate information or omits material information that may affect investment decisions and cause losses to investors; or it is discovered that the issuer has failed to maintain the required payment collateral or does not have a payment guarantee from a bank;
+ The issuer breaches its risk hedging obligations or seriously breaches its market-making obligations, resulting in the termination of its market-making activities in accordance with the regulations of the Stock Exchange;
+ The Covered Warrants have been fully exercised or have reached their expiration date;
+ The listed organization has its license to operate in its specialized field revoked.

Therefore, there is a risk that the Covered Warrants may be delisted prior to their expiration date.

- Risk from the Exercise of Issuer's Discretion: Warrant holders should note that the Issuer reserves discretion in business activities consistent with the terms of this Prospectus, which may affect the warrant price. In events involving acquisitions or corporate restructurings that affect the rights of warrant holders, the Issuer reserves the right to choose actions regarding the warrants deemed appropriate. The Issuer commits to making prudent decisions. If parties related to the Issuer's obligations fail to fulfill their commitments, it may affect the performance related to the warrants.

- Conflict of Interest Risk: The Issuer's involvement in various business activities may lead to conflicts of interest with warrant holders. The Issuer may issue and list other warrants that could potentially influence the price of these warrants.

2. Risks Related to Covered Warrant Products

- General Investment Risk: Holders should possess knowledge of warrant valuation methodologies and should only engage in trading after careful consideration. Warrants are suitable products only for investors who fully understand the associated risks and pricing mechanisms. An investment in warrants is not equivalent to a direct investment in the underlying asset. Although the returns on a warrant investment are closely linked to price movements of the underlying asset, the price volatility of the warrant may not be proportional to that of the underlying asset. The high leverage inherent in warrants implies that holders bear significantly higher investment risk compared to a direct investment of equal value in the underlying asset.

- Maturity Risk (Time Decay): As warrants have a fixed lifespan, their value may decline as the expiration date approaches, and such depreciation can be substantial. If an investor holds a warrant until maturity and the settlement price is less than or equal to the exercise price, the warrant's value will reach zero, resulting in a loss of the entire initial capital invested. Therefore, prior to investing, investors should thoroughly research the price trends of the underlying security during the remaining term of the warrant.

- Price Risk: This refers to the risk arising from fluctuations in the warrant's market price. These fluctuations depend on various factors such as the price of the underlying security, its volatility, the remaining time to maturity, interest rates, and dividends of the underlying security—with the price of the underlying security being the most critical factor. Additionally, the price is influenced by market supply and demand. Although the Issuer typically issues the volume registered with the State Securities Commission (SSC), the Issuer may increase the supply through additional offerings, provided the total volume does not exceed the maximum issuance limit prescribed by the SSC. Such additional offerings may impact the warrant's price.

- Leverage Risk: Investing in warrants involves exposure to price movements of the underlying security rather than direct ownership. Investors benefit from leverage by gaining full exposure to the price changes of the underlying security while only committing a fraction of its total value. However, the percentage change in the warrant's market price can be significantly greater than that of the underlying security, leading to potentially higher gains or more substantial losses compared to a direct investment.

- Liquidity Risk: This risk occurs when an investor is unable to sell warrants at a desired price due to a lack of market liquidity. Liquidity depends on market supply and demand as well as the effectiveness of the Issuer's market-making activities. While the Issuer commits to performing market-making functions and providing liquidity, there is no guarantee of an active or vibrant trading market.

- Shareholder Rights: Warrant holders do not enjoy the rights of shareholders of the underlying security, including but not limited to the right to attend General Meetings of Shareholders, receive dividends, exercise subscription rights for additional share issuances, or any other corporate rights arising from the underlying security.

3. Risks Related to the Underlying Security

- Certain corporate actions (including bonus share issuances, cash distributions, stock splits or consolidations, or restructuring events) may necessitate adjustments to the warrant's terms.

However, the Issuer is not obligated to adjust the terms and conditions for every event affecting the underlying security. Any adjustment—or a decision not to adjust—will impact the warrant's value. Adjustments will be carried out in accordance with applicable laws. Furthermore, specific events (such as the delisting or suspension of the underlying security) will result in the warrant being delisted or suspended pursuant to legal regulations.

4. Other Risks

- Economic Risk: Vietnam has experienced significant economic growth, reflecting global and domestic trends. However, risks such as global economic recession, inflation, exchange rate fluctuations, debt ratios, and real estate bubbles persist. Any risk impacting the stock market will inherently affect the warrant market.
- Economic Risk: Vietnam has experienced significant economic growth, reflecting global and domestic trends. However, risks such as global economic recession, inflation, exchange rate fluctuations, debt ratios, and real estate bubbles persist. Any risk impacting the stock market will inherently affect the warrant market.
- Legal Risk: This pertains to risks involving intellectual property (IP) laws, labor protection laws, or the overall enforceability of the law. Weaknesses in legal enforcement or IP protection can lead to business uncertainty and hinder innovation.
- Taxation Risk (Exercise): Investors should pay close attention to tax regulations when exercising covered warrants. If a warrant expires In-The-Money (ITM) and is held until maturity for exercise, the tax liability incurred may potentially exceed the cash settlement amount received from the Issuer under Official Dispatch No. 1468/BTC-CST. To mitigate this risk, investors should consider reselling the warrants to the Issuer prior to maturity to avoid the tax implications of an automated exercise.
- Miscellaneous Risks: Additionally, natural risks (such as floods and storms) and environmental risks (such as business activities causing pollution or CO2 emissions exceeding international thresholds) may impact the Vietnamese stock market.

II. RESPONSIBLE PARTIES FOR THE PROSPECTUS

1. The Issuer

- Mr. Shin Hyun Jae – Position: General Director, Chairman of the Board of Directors.
- Mr. Cho Hun Hee – Position: Director of Operations Division and Member of the Board of Directors.
- Ms. Nguyen Thi Thanh Ngoc – Position: Chief Accountant.
- Ms. Nguyen Thi Hoa – Position: Head of the Supervisory Board.

We guarantee that the information and data contained in this Prospectus are accurate and truthful. We undertake full responsibility for the integrity and accuracy of such information and data, consistent with the facts known to us or as reasonably investigated and collected.

2. Underwriter and Advisory Organization

None.

III. DEFINITIONS

- **Covered Warrant (CW):** A type of secured security issued by a securities company that allows the holder to receive a cash settlement based on the difference between the Exercise Price and the Settlement Price at the time of exercise.
- **Underlying Security:** The security used as the underlying asset for the warrant.
- **Underlying Issuer:** the issuer of the security used as the underlying asset of warrant.
- **Warrant Issuer:** The securities company that issues the covered warrants.

- **Custodian Bank:** the bank responsible for the custody and supervision of assets deposited by the Covered Warrant Issuer to secure payment obligations in respect of the Covered Warrants issued, and which is not a related person of the Covered Warrant Issuer in accordance with the provisions of securities laws.
- **Warrant Holder:** an investor holding the Covered Warrant, who is also a partially secured creditor of the Covered Warrant Issuer and is not the Covered Warrant Issuer.
- **Call Warrant:** a type of warrant that grants the holder the right to purchase a specified quantity of the underlying security at the Exercise Price or to receive a cash payment when the price of the underlying security is higher than the Exercise Price at the time of exercise.
- **Exercise Price (Strike Price):** the price used by the Covered Warrant Issuer to determine the payment amount payable to the warrant holder.
- **Conversion Ratio:** the ratio between the number of Covered Warrants required to convert into one unit of the Underlying Security. The Conversion Ratio shall be rounded to four decimal places.
- **Expiration Date (Maturity Date):** the date on which the Covered Warrant Holder exercises the Covered Warrant and the Covered Warrant Issuer is required to make payment in respect of an in-the-money Covered Warrant.
- **Last Trading Day:** The trading day occurring two (02) days prior to the Expiration Date; this is the final day the warrant can be traded on the market. In the event a warrant is delisted because the underlying security is delisted, the Last Trading Day of the warrant shall coincide with the Last Trading Day of the underlying security.
- **Outstanding Warrants:** Covered Warrants that have not yet expired and are held by investors.
- **Unoutstanding Covered Warrants:** covered warrants that have been issued but are not yet held by investors. Unoutstanding Covered Warrants are deposited in the account of the Covered Warrant Issuer.
- **In-the-Money (ITM):** a Call Warrant with an exercise price lower than the settlement price.
- **Out-of-the-Money (OTM):** a Call Warrant with an exercise price higher than the settlement price.
- **At-the-Money (ATM):** a Call Warrant with an exercise price equal to the settlement price.
- **Open Position:** The total number of outstanding warrants issued by the Issuer that have not yet been exercised.
- **Delta:** the change in the price of a Covered Warrant corresponding to a change in the price of the Underlying Security..

Formula:

$$\textbf{Delta} = \frac{\text{Change in Warrant Price}}{\text{Change in Underlying Security Price}}$$

- **Settlement Price (upon exercise):** the average closing price of the Underlying Security over the five (05) consecutive trading days immediately preceding the Expiration Date, excluding the Expiration Date.

IV. INVESTMENT OPPORTUNITIES

1. Overview of the Vietnamese Economy

According to the "Socio-Economic Situation in August and the First Eight Months of 2026" report issued by the General Statistics Office, the Vietnamese economy experienced the following developments:

1.1. Agriculture, Forestry, and Fishery Production

a) Agriculture

- Summer-Autumn Rice: As of August 20, 2026, the country had basically completed the sowing and transplanting of summer-autumn rice, with a cultivated area of 1,870.0 thousand hectares, down 29.1 thousand hectares compared to the summer-autumn crop of the previous year. Of this, northern localities accounted for 167.5 thousand hectares, down 4.9 thousand hectares, while southern localities accounted for 1,702.5 thousand hectares, down 24.2 thousand hectares. To date, 723.7 thousand hectares of summer-autumn rice have been harvested nationwide, equivalent to 38.7% of the cultivated area and 77.5% of the corresponding period of the previous year.Other Annual Crops: Along with rice, local farmers planted 4,705 hectares of maize (+0.75% YoY); 1,380 hectares of sweet potatoes (+2.22%); 22 hectares of soybeans (+2.38%); 305 hectares of peanuts (+1.67%); 5,230 hectares of various vegetables (+2.55%); and 1,360 hectares of various beans (+1.49%).

- **Winter Rice:** As of August 20, 2026, the total cultivated area of winter rice nationwide reached 1,312.5 thousand hectares, down 50.7 thousand hectares compared to the same period of the previous year. Of this, northern localities accounted for 938.5 thousand hectares, down 38.2 thousand hectares, while southern localities accounted for 374.0 thousand hectares, down 12.5 thousand hectares.

- **Autumn-Winter Rice:** As of August 20, 2026, southern localities had sown 292.3 thousand hectares, equivalent to 64.2% of the corresponding period of the previous year.

- **Annual Crops:** The cultivated area of certain annual crops decreased compared to the same period of the previous year, mainly due to prolonged hot weather causing water shortages in southern provinces, adversely affecting production conditions and resulting in farmers reducing cultivated areas.

- **Livestock:** Buffalo and cattle farming continued to decline, mainly due to the reduction in grazing areas and low economic efficiency. Pig and poultry farming recorded positive results. As of the end of August, the total pig population nationwide was estimated to have increased by 4.2% compared to the same period in 2025; the total poultry population increased by 2.8%; the cattle population decreased by 2.1%; and the buffalo population decreased by 6.2%.

b) Forestry

- In August, the country's concentrated newly planted forest area was estimated at 21.3 thousand hectares, down 4.9% compared to the same period of the previous year; the number of scattered forestry trees planted reached 6.0 million trees, down 4.8%; timber production reached 2,372.3 thousand cubic meters, up 4.4%; and the area of damaged forests was 240.6 hectares, 7.6 times higher than the same period of the previous year. Of this, 37.4 hectares of forest were illegally cut or cleared, up 64.8%, while 203.2 hectares were damaged by forest fires, 23.1 times higher than the same period of the previous year.Pest and Disease Outlook: Due to the ongoing dry season, pests are primarily sap-sucking insects and fungal diseases caused by water stress or large diurnal temperature variations. Specialized agencies are providing guidance on disease prevention and control tailored to each crop type.

- In the first eight months of 2026, the concentrated newly planted forest area was estimated at 180.8 thousand hectares, down 3.4% compared to the same period of the previous year; the number of scattered forestry trees planted reached 63.9 million trees, up 1.6%; timber production reached 16,662.9 thousand cubic meters, up 6.5%; and the area of damaged forests totaled 1,086.0 hectares, up 13.1%. Of this, 374.5 hectares of forest were illegally cut or cleared, down 42.8%, while 711.5 hectares were damaged by forest fires, 2.3 times higher than the same period of the previous year.

c) Fisheries

- Fisheries output in August was estimated at 991.3 thousand tonnes, up 4.4% compared to the same period of the previous year. Of this, aquaculture production was estimated at 629.3 thousand tonnes, up 6.6%, while capture fisheries production reached 362.0 thousand tonnes, up 0.7%.
- In the first eight months of 2026, total fisheries output was estimated at 6,949.4 thousand tonnes, up 3.8% compared to the same period of the previous year. Of this, fish production reached 4,816.3 thousand tonnes, up 2.6%; shrimp production reached 1,184.2 thousand tonnes, up 7.4%; and other fisheries products reached 948.9 thousand tonnes, up 5.8%.

1.2. Industrial Production

- Industrial production: Industrial production in August continued to maintain positive growth momentum. The Index of Industrial Production (IIP) in August was estimated to increase by 1.5% compared to the previous month and by 14.4% compared to the same period of the previous year. In the first eight months of 2026, the IIP increased by 11.9% compared to the same period of the previous year, representing the highest growth rate for the first eight months compared to the corresponding period of previous years in recent years.

- The Index of Industrial Production in the first eight months of 2026 increased compared to the same period of the previous year in all 34 provinces and centrally governed cities.

- The number of employees working in industrial enterprises as of August 1, 2026 increased by 1.0% compared to the same time in the previous month and by 3.8% compared to the same time of the previous year.

1.3. Business Registration Status

- In August, nearly 12.2 thousand new enterprises were registered nationwide, down 14.4% from the previous month and down 40.5% compared to the same period of the previous year; nearly 9.5 thousand enterprises resumed operations, down 6.5% and 23.8%, respectively; 5,322 enterprises registered for temporary suspension of business operations for a definite period, down 39.0% and 18.5%, respectively; 7,566 enterprises ceased operations pending completion of dissolution procedures, down 27.1% but up 10.1%, respectively; and 9,631 enterprises completed dissolution procedures, up 33.6% and 151.2%, respectively.

- In the first eight months of 2026, nearly 206.4 thousand enterprises were newly registered or resumed operations nationwide, down 1.4% compared to the same period of the previous year; more than 88.0 thousand enterprises temporarily suspended business operations for a definite period, down 7.3%; nearly 28.6 thousand enterprises ceased operations pending completion of dissolution procedures, down 40.3%; and more than 40.8 thousand enterprises completed dissolution procedures, up 125.5%. On average, 25.8 thousand enterprises were newly established or resumed operations each month, while 19.7 thousand enterprises withdrew from the market.

1.4. Investment

- Investment capital disbursed from the State budget in August was estimated at VND 105.7 trillion, up 24.1% compared to the same period of the previous year. In the first eight months of 2026, investment capital disbursed from the State budget was estimated at VND 546.8 trillion, equivalent to 50.5% of the annual plan and up 18.5% compared to the same period of the previous year (in the corresponding period of 2025, the amount was equivalent to 45.3% of the annual plan and increased by 26.4%).

- As of August 31, 2026, total registered foreign investment in Vietnam, including newly registered capital, additional registered capital and capital contributions and share purchases by foreign investors, reached USD 40.63 billion, up 55.4% compared to the same period of the previous year.

- Disbursed foreign direct investment (FDI) in Vietnam in the first eight months of 2026 was estimated at USD 17.25 billion, up 12.0% compared to the same period of the previous year.

- During the first eight months of 2026, Vietnamese investment abroad comprised 113 newly licensed projects with total investment capital contributed by Vietnamese investors amounting to USD 1.21 billion, 2.8 times higher than the same period of the previous year; and 29 projects with adjusted investment capital, with the additional capital amounting to USD 1.41 billion, 10.9 times higher than the same period of the previous year. In total, Vietnamese investment abroad, including newly registered and adjusted investment capital, reached USD 2.62 billion, 4.7 times higher than the same period of the previous year.

1.5. State Budget Revenue and Expenditure

- Total State budget revenue in August was estimated at VND 150.4 trillion.

- Cumulatively, total State budget revenue in the first eight months of 2026 was estimated at VND 2,023.8 trillion, equivalent to 80.0% of the annual budget estimate and up 16.0% compared to the same period of the previous year.

- Total State budget expenditure in August was estimated at VND 238.1 trillion.

- Cumulatively, total State budget expenditure in the first eight months of 2026 was estimated at VND 1,608.3 trillion, equivalent to 50.9% of the annual budget estimate and up 13.3% compared to the same period of the previous year.

1.6. Trade, Prices, Transport and Tourism

a) Retail Sales of Goods and Consumer Service Revenue

Total retail sales of goods and consumer service revenue at current prices in August 2026 were estimated at VND 679.8 trillion, up 1.6% compared to the previous month and up 14.9% compared to the same period of the previous year. In the first eight months of 2026, total retail sales of goods and consumer service revenue at current prices were estimated at VND 5,235.5 trillion, up 13.3% compared to the same period of 2025. Excluding the price factor, the increase was 7.6% (compared to an increase of 7.5% in the corresponding period of 2025).Xuất Cumulative Performance: For the first two months of 2026, total import-export turnover reached 606 million USD, a 10.70% increase YoY. The trade balance recorded a surplus of 276 million USD, up 23.84% compared to the same period last year. Export of Goods: Monthly Performance: Export turnover in February 2026 was estimated at 218 million USD, down 2.24% from the previous month but up 4.96% YoY. First Two Months Performance: Cumulative exports reached 441 million USD, up 14.50% YoY.

b) Merchandise Imports and Exports

- In August, total merchandise import and export turnover reached USD 109.7 billion, down 0.1% compared to the previous month and up 31.7% compared to the same period of the previous year. In the first eight months of 2026, total merchandise import and export turnover reached USD 770.14 billion, the highest level recorded for the first eight months to date, up 28.7% compared to the same period of the previous year. Of this, exports increased by 22.4% and imports increased by 35.3%. The merchandise trade balance recorded a trade deficit of USD 20.46 billion.

- Merchandise export turnover in August reached USD 54.79 billion, up 3.2% compared to the previous month and up 26.0% compared to the same period of the previous year. In the first eight months of 2026, merchandise export turnover reached USD 374.84 billion, up 22.4% compared to the same period of the previous year. Of this, the domestic economic sector recorded USD 74.47 billion, up 7.4%, accounting for 19.9% of total export turnover; the foreign-invested sector, including crude oil, recorded USD 300.37 billion, up 26.9%, accounting for 80.1%.

- Regarding the structure of export groups in the first eight months of 2026, exports of processed industrial products reached USD 337.99 billion, accounting for 90.2% of total merchandise export turnover.

- Merchandise import turnover in August reached USD 54.91 billion, down 3.1% compared to the previous month and up 37.9% compared to the same period of the previous year. In the first eight months of 2026, merchandise import turnover reached USD 395.3 billion, up 35.3% compared to the same period of the previous year. Of this, the domestic economic sector recorded USD 105.07 billion, up 23.7%, while the foreign-invested sector recorded USD 290.23 billion, up 40.1%.

- Regarding the structure of import groups in the first eight months of 2026, imports of production inputs reached USD 372.04 billion, accounting for 94.1% of total merchandise import turnover.

- Regarding merchandise import and export markets in the first eight months of 2026, the United States was Vietnam's largest export market, with export turnover reaching USD 122.0 billion. China was Vietnam's largest import market, with import turnover reaching USD 161.9 billion.

- According to preliminary data, the merchandise trade balance recorded a trade deficit of USD 0.12 billion in August. In the first eight months of 2026, the merchandise trade balance recorded a trade deficit of USD 20.46 billion, compared to a trade surplus of USD 14.02 billion in the corresponding period of the previous year. Of this, the domestic economic sector recorded a trade deficit of USD 30.6 billion, while the foreign-invested sector, including crude oil, recorded a trade surplus of USD 10.14 billion.

c) Consumer Price Index, Gold Price Index and U.S. Dollar Price Index

- The Consumer Price Index (CPI) in August increased by 0.47% compared to the previous month, by 3.57% compared to December 2025, and by 4.89% compared to the same period of the previous year. On average, the CPI for the first eight months of 2026 increased by 4.45% compared to the same period of the previous year, while core inflation increased by 4.24%.

- The Gold Price Index in August decreased by 0.65% compared to the previous month, increased by 16.98% compared to the same period of 2025, and decreased by 6.27% compared to December 2025. On average, the Gold Price Index for the first eight months of 2026 increased by 46.98% compared to the same period of the previous year.

- The U.S. Dollar Price Index in August decreased by 0.38% compared to the previous month, decreased by 0.36% compared to the same period of 2025, and decreased by 0.38% compared to December 2025. On average, the U.S. Dollar Price Index for the first eight months of 2026 increased by 1.31% compared to the same period of the previous year.

d) Passenger and Freight Transportation

- Passenger transportation in August was estimated at 658.6 million passenger trips, up 17.9% compared to the same period of the previous year, with passenger traffic reaching 32.1 billion passenger-kilometers, up 16.0%. In the first eight months of 2026, passenger transportation was estimated at 4,687.5 million passenger trips, up 18.6% compared to the same period of the previous year, with passenger traffic reaching 234.3 billion passenger-kilometers, up 13.1%.

- Freight transportation in August was estimated at 324.0 million tonnes, up 17.6% compared to the same period of the previous year, with freight traffic reaching 63.0 billion tonne-kilometers, up 17.3%. In the first eight months of 2026, freight transportation was estimated at

2,285.1 million tonnes, up 16.7% compared to the same period of the previous year, with freight traffic reaching 450.5 billion tonne-kilometers, up 12.9%.

e) International Visitors to Vietnam

- Vietnam continued to be an attractive destination for international tourists, supported by favorable visa policies, intensified tourism promotion and marketing activities, a diverse range of tourism products, as well as its advantages in natural landscapes, culture, cuisine and reasonable travel costs. In August, the number of international visitors to Vietnam was estimated at 1.99 million arrivals, up 19.7% compared to the previous month and up 18.4% compared to the same period of the previous year. In the first eight months of 2026, Vietnam welcomed 15.9 million international visitors, up 14.4% compared to the same period of the previous year, representing the highest number of international visitor arrivals recorded for the first eight months of a year in recent years.

1.7. Social Situation

- According to the preliminary results of the Labour and Employment Survey, the living conditions of the population in August remained generally stable. The proportion of households reporting unchanged or increased income compared with the same month of the previous year was 96.5% (down 0.2 percentage points from the July reporting period and down 0.1 percentage points from the same period of the previous year); the proportion of households reporting decreased income or being unable to assess their income was 3.5%.

- Social security measures have continued to receive attention and implementation by authorities at all levels and across sectors. From the beginning of the year to August 26, 2026, the Government provided 18.1 thousand tonnes of rice in support of the population, including nearly 9.1 thousand tonnes of rice for hunger relief during the Lunar New Year, benefiting 604.6 thousand people; more than 8.2 thousand tonnes of rice for hunger relief during the period between harvests, benefiting 547.2 thousand people; and more than 887.4 tonnes of rice to support recovery from natural disasters, heavy rains and floods, benefiting 59.1 thousand people.

- Gratitude to Persons with Meritorious Services: As of August 22, 2026, under the "500-Day-and-Night Campaign to Accelerate the Search, Recovery and Identification of Martyrs' Remains", launched in commemoration of the 80th Anniversary of the War Invalids and Martyrs' Day, the relevant forces had searched for and recovered 1,863 sets of martyrs' remains, including 839 sets found within Vietnam, 174 sets in Laos, and 850 sets in Cambodia.

- Healthcare: From the beginning of the year to date, the country recorded more than 78.3 thousand cases of dengue fever, including 13 deaths; more than 67.3 thousand cases of hand, foot and mouth disease, including 11 deaths; 5,005 cases of suspected measles-associated rash fever; 210 cases of viral encephalitis, including 1 death; 33 cases of meningococcal meningitis, including 5 deaths; and 46 deaths caused by rabies.

- Traffic Accidents: In August (from July 15 to August 14, 2026), the country recorded 1,280 traffic accidents, resulting in 805 deaths and 748 injuries. In the first eight months of 2026, a total of 12,347 traffic accidents occurred nationwide, resulting in 7,048 deaths and 8,130 injuries. Compared with the same period of the previous year, the number of traffic accidents decreased by 17.2%, the number of fatalities decreased by 9.4%, and the number of injured persons decreased by 27.1%. On average, during the first eight months of 2026, there were 42 traffic accidents per day nationwide, resulting in 26 deaths and 24 injuries per day.

- Damage Caused by Natural Disasters: In August, natural disasters were mainly caused by heavy rains, thunderstorms and lightning. During the first eight months of the year, natural disasters resulted in 50 deaths and missing persons and 91 injuries; damaged 80.4 thousand hectares of rice and crops; caused 12.5 thousand houses to collapse, be swept away or damaged;

and resulted in an estimated total property damage of VND 2,681.9 billion, down 83.5% compared with the same period of 2025.

- Environmental Protection and Fire and Explosion Prevention: In August (from July 26 to August 23, 2026), the competent authorities detected 1,221 environmental violations in 31 out of 34 provinces and centrally governed cities. During the first eight months of 2026, the competent authorities detected 12,770 environmental violations, of which 10,015 cases were handled, with total fines amounting to VND 187.7 billion, an increase of 31.7% compared with the same period of the previous year. Nationwide, there were 2,001 fires and explosions, resulting in 64 deaths and 94 injuries, with estimated property damage of VND 618.6 billion, nearly 3.2 times the level recorded in the same period of the previous year.

2. Investment Opportunities in 2026

2.1. Vietnamese Financial Market in 2025

The most significant highlights of Vietnam's stock market in 2025 were not only its scale and liquidity, but also the fundamental reforms that contributed to improving the quality of the market and bringing it closer to international standards.

First, the legal framework governing securities and the securities market has been progressively strengthened and refined.

- In 2025, although Vietnam's stock market continued to face numerous difficulties and challenges, under the direction of the Party and the State, and with the Ministry of Finance and the State Securities Commission (hereinafter referred to as the "SSC") serving as the direct regulatory authorities, the SSC took the lead in formulating and submitting to the competent authorities for promulgation, amendment and supplementation a number of important Decrees, Decisions and Circulars. These measures contributed to enhancing market transparency and discipline and strengthening investor protection, including: Government Decree No. 245/2025/ND-CP dated September 11, 2025, amending and supplementing a number of articles of Decree No. 155/2020/ND-CP detailing the implementation of a number of articles of the Law on Securities; Government Decree No. 306/2025/ND-CP dated November 25, 2025, amending and supplementing a number of articles of Decree No. 156/2020/ND-CP on administrative penalties for violations in the securities sector and securities market (as amended and supplemented by Decree No. 128/2021/ND-CP) and Decree No. 158/2020/ND-CP on derivatives and the derivatives market; Government Resolution No. 05/2025/NQ-CP dated September 9, 2025, on the pilot implementation of the digital asset market in Vietnam; Prime Minister's Decision No. 2014/QD-TTg dated September 2, 2025, approving the Scheme for Upgrading Vietnam's Stock Market; Circular No. 14/2025/TT-BTC dated April 4, 2025, of the Minister of Finance, amending and supplementing a number of articles of Circular No. 119/2020/TT-BTC dated December 31, 2020, of the Minister of Finance regulating securities registration, depository, clearing and settlement activities, and Circular No. 58/2021/TT-BTC dated July 12, 2021, of the Minister of Finance providing guidance on a number of provisions of Government Decree No. 158/2020/ND-CP dated December 31, 2020, on derivatives and the derivatives market; Decision No. 3168/QD-BTC dated September 12, 2025, of the Minister of Finance approving the Scheme for Restructuring Investors and Developing the Securities Investment Fund Industry; and Decision No. 3761/QD-BTC dated November 6, 2025, of the Minister of Finance promulgating the Ministry of Finance's Plan for implementing the Scheme for Upgrading Vietnam's Stock Market.

- Efforts to improve the legal framework governing securities and the securities market in 2025 not only contributed to enhancing the transparency, safety and efficiency of the stock market, but also established an important foundation for attracting medium- and long-term capital flows and strengthening the confidence of domestic and international investors. These efforts thereby reinforced the increasingly important role of the stock market within the national financial system.

Second, the implementation of the new technology system (KRX) enhanced processing capacity and transparency.

- Reforms to the market infrastructure and institutional framework provided an important impetus for the development of Vietnam's stock market in 2025. The implementation of the KRX system significantly enhanced transaction processing capacity, improved system stability and strengthened real-time market surveillance capabilities. This provided the necessary technological foundation for the market to expand in scale, diversify its products and achieve deeper integration with international financial markets.

Third, Vietnam's stock market was upgraded by FTSE Russell.

- Following the coordinated implementation of various market-upgrading measures by the SSC, at its September 2025 annual review, FTSE Russell officially announced that Vietnam's stock market had satisfied all relevant criteria and would be upgraded from Frontier Market status to Secondary Emerging Market status. This event represented an important milestone in the strong development of Vietnam's stock market, recognizing the comprehensive reform efforts of the securities industry in building a transparent and modern market that is increasingly aligned with international standards and consistent with the policies and orientations of the Party and the State.

- Following this event, on September 12, 2025, the Prime Minister issued Decision No. 2014/QD-TTg approving the Scheme for Upgrading Vietnam's Stock Market, clearly defining the objectives and key measures aimed not only at achieving but also maintaining Emerging Market status. On November 6, 2025, the Minister of Finance issued Decision No. 3761/QD-BTC on the Plan for implementing the Scheme for Upgrading Vietnam's Stock Market, thereby establishing a specific action framework for the relevant authorities. In parallel with the policy process, the Investor Advisory Group for Policy Dialogue on Capital Market Development (IAG) was established and maintained a mechanism of regular monthly meetings and specialized technical sessions, with the participation of international organizations, financial institutions and major investors. These sessions provided a forum for in-depth discussions on necessary reforms, proposals for solutions appropriate to Vietnam's practical conditions, and the gradual removal of barriers facing foreign investors. Collectively, these efforts contributed to strengthening market confidence and providing positive momentum for the sustainable development of Vietnam's stock market.

(Source: Banking Journal, an official publication of the State Bank of Vietnam)

2.2 Investment Opportunities in 2026

Entering 2026, Vietnam's stock market continues to be affected by domestic and international macroeconomic factors. Factors such as socio-economic development orientations, investment attraction policies, economic restructuring and international integration are among the factors influencing the investment environment in general and the stock market in particular.

Vietnam continues to pursue a policy of attracting foreign direct investment (FDI) into manufacturing, processing and manufacturing industries, infrastructure, technology and energy. The activities of enterprises operating in these sectors may affect the supply and demand for goods and services, as well as the demand for capital mobilization through the stock market.can subscribe to warrants during the Initial Public Offering (IPO) phase or purchase them directly on the secondary market (HOSE). Once acquired, investors have the option to resell them on the secondary market or hold them until maturity to exercise the rights.

Domestic and international monetary policies, including developments in interest rates, exchange rates and liquidity conditions, are factors that directly affect enterprises' cost of capital and investors' asset allocation decisions. In addition, risk factors such as global economic fluctuations, policy changes in major economies and force majeure events may affect capital flows and market sentiment.

From a market structure perspective, the development of new financial products, expansion of market size, improvement in the quality of listed securities and enhancement of market transparency are considered factors affecting the ability to attract medium- and long-term investors. However, the implementation of market policies and reforms remains subject to the applicable roadmap and prevailing conditions at each point in time.

The valuation levels of Vietnam's stock market may fluctuate in response to developments in corporate earnings, interest rates and investment capital flows. Investors should fully consider market risks, industry-specific risks and corporate risks when making investment decisions.

3. Investment Opportunities in Covered Warrants

From a market structure perspective, the development of new financial products, expansion of market size, improvement in the quality of listed securities and enhancement of market transparency are considered factors affecting the ability to attract medium- and long-term investors. However, the implementation of market policies and reforms remains subject to the applicable roadmap and prevailing conditions at each point in time.

The price movements of Covered Warrants depend on a number of underlying parameters, including the price and volatility of the Underlying Security, the Exercise Price, the Conversion Ratio, the time to expiration and the risk-free interest rate. The term of Covered Warrants, ranging from three (3) months to twenty-four (24) months, together with changes in the price and volatility of the Underlying Security, results in corresponding changes in the leverage of Covered Warrants, thereby creating distinctive investment characteristics of these products.

Investors may purchase Covered Warrants either at the time of the primary offering (IPO) or directly on the HOSE. After acquiring Covered Warrants, investors may choose to sell them on the secondary market or hold them until the Expiration Date to exercise their rights.

Covered Warrants are issued and market-made by reputable issuers, thereby supporting liquidity for investors. The expected return on the capital invested in Covered Warrants may be realized through the exercise of the Covered Warrants upon expiration.

However, Covered Warrants also involve specific risks and investors may incur various related costs. Investors should carefully study the characteristics of the products and consider the potential benefits and risks before making any investment decision.

V. INFORMATION ABOUT THE COVERED WARRANT ISSUER

1. Information of covered warrant issuer

a) Issuer summary:

- Company name in Vietnamese: Công ty Cổ phần Chứng khoán KIS Việt Nam.
- Company name in English: KIS VIET NAM SECURITIES CORPORATION.
- Abbreviated name: KIS.
- Charter capital: VND 4,549,693,040,000.
- License for Establishment and Operation of Securities Company No. 56/UBCK-GPHĐKD issued by The State Securities Commission dated July 05, 2007, Amended License No. 97/GPĐC-UBCK issued by the State Securities Commission on August 20, 2026.

- Head office address: 3rd and 11th Floor, ROX building, 180-192 Nguyen Cong Tru street, Ben Thanh ward, Ho Chi Minh city.
- Telephone: (84-28) 3914 8585. Fax: (84-28) 3821 6898.

b) Summary of the formation and development process

KIS Viet Nam Securities Corporation (hereinafter referred to as the Company), formerly Gia Quyen Securities Joint Stock Company, was established on July 5, 2007, under Decision No. 56/UBCK-GPHDKD dated July 5, 2007, with a charter capital of VND 135 billion. As of June 14, 2021, the Company's charter capital is VND 3,761,579,550,000. The Company is equipped with a modern technological infrastructure and a Board of Directors and specialists with in-depth knowledge and extensive experience in the business environment, as well as a network of cooperative relationships with domestic and international organizations.

- July 5, 2007: Gia Quyen Securities Joint Stock Company was established under Decision No. 56/UBCK-GPHDKD with a charter capital of VND 135 billion.
- July 17, 2007: The company officially became a member of the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) under Certificate No. 60/GCNTVLK.
- August 17, 2007: The company officially became a member of the Ho Chi Minh City Stock Exchange under Decision No. 57/QD-SGDHCM.
- August 28, 2007: The company officially became a member of the Hanoi Stock Exchange (formerly: Hanoi Securities Trading Center) under Decision No. 215/QD-TTGDHN.
- June 13, 2008: Gia Quyen Securities Joint Stock Company is a public company, according to Dispatch No. 1142/UBCK-QLPH dated June 13, 2008, from the State Securities Commission.
- June 20, 2008: The company established and inaugurated Gia Quyen Securities Joint Stock Company - Hanoi Branch according to Decision No. 438/QD-UBCK.
- June 20, 2008: Gia Quyen Securities Joint Stock Company - Hanoi Branch was registered to operate securities custody services according to Decision No. 439/QD-UBCK.
- July 10, 2008: Gia Quyen Securities Joint Stock Company - Hanoi Branch officially became a member of the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) according to Certificate No. 34/GCNTVLK-CN.
- October 3, 2008: Gia Quyen Securities Joint Stock Company received approval from the State Securities Commission to change its head office location according to Decision No. 610/QD-UBCK.
- April 7, 2009: Gia Quyen Securities Joint Stock Company received approval from the State Securities Commission to change the location of its Hanoi branch according to Decision No. 217/QD-UBCK.
- September 17, 2009: Gia Quyen Securities Joint Stock Company's establishment and operation license was amended to change its legal representative to Ms. Tran Duong Ngoc Thao according to License No. 262/UBCK-GP.
- August 18, 2010: The company increased its charter capital from VND 135 billion to VND 263.646 billion according to Decision No. 662/QD-UBCK, and the capital increase issuance was completed on December 17, 2010, according to amended license No. 376/GPDC-UBCK.
- November 30, 2010: Gia Quyen Securities Joint Stock Company was approved by the State Securities Commission to register to provide online securities trading services according to Decision No. 994/QD-UBCK.
- December 17, 2010: Gia Quyen Securities Joint Stock Company increased its capital to VND 263.646 billion according to amended license No. 376/GPDC-UBCK.

- December 27, 2010: Gia Quyen Securities Joint Stock Company was granted a license to amend its legal representative to Oh Kyung Hee, according to Amendment License No. 379/GPDC-UBCK.
- January 7, 2011: Gia Quyen Securities Joint Stock Company amended the Decision to establish the Hanoi branch, with Oh Kyung Hee as the head of the branch, according to Decision No. 15/QD-UBCK.
- March 21, 2011: The company changed its name to KIS Vietnam Securities Joint Stock Company, according to Amendment License No. 19/GPDC-UBCK.
- March 24, 2011: The Company changed the name of its Hanoi Branch from KIS Power Securities Joint Stock Company – Hanoi Branch to KIS Vietnam Securities Joint Stock Company – Hanoi Branch pursuant to Decision No. 265/QD-UBCK.
- March 29, 2011: The company was granted an amended securities depository member certificate, Certificate No. 60/GCNTVLK-1, by the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository).
- July 8, 2011: The company's head office address was changed according to the amended license, Certificate No. 42/GPĐC-UBCK.
- July 12, 2011: The company was granted an amended securities depository member certificate, Certificate No. 60/GCNTVLK-2, by the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository).
- August 11, 2011: The Company was granted a Certificate of Securities Depository Branch Operation by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) regarding the change of information for the Securities Depository Branch, as per Certificate No. 54/GCNTVLK-2.
- February 29, 2012: The Hanoi Branch of the Company had its registered address changed according to Decision No. 193/QD-UBCK.
- March 6, 2012: The Company was granted a Certificate of Securities Depository Branch Operation by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) regarding the name of the Hanoi Branch, as per Certificate No. 54/GCNTVLK-CN-3.
- April 15, 2013: The company received a Dispatch from the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository) regarding the re-issuance of the Membership Certificate, as per Dispatch No. 2770/VSD-LK.
- April 15, 2013: The company was granted Membership Certificate No. 60/GCNTVLK by the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository).
- December 27, 2013: The company's transaction changing the ownership of shares representing 10% or more of the charter capital was approved by the State Securities Commission, as per Decision No. 915/QD-UBCK.
- January 9, 2015: The company was granted an amended license by the State Securities Commission.
- January 14, 2015: The company was granted a Certificate of Securities Depository Membership by the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository) under Certificate No. 60/GCNTVLK-3.
- April 22, 2015: The company was granted an amended Establishment and Operation License No. 20/GPĐC-UBCK by the State Securities Commission, adding the business of securities underwriting.

- June 1, 2015: The company established and inaugurated the Ba Trieu Transaction Office under the Hanoi Branch according to Decision No. 458/QĐ-UBCK.
- July 8, 2015: The company established and inaugurated the Nguyen Tri Phuong Transaction Office under the Head Office according to Decision No. 577/QĐ-UBCK.
- July 6, 2016: The company was established and opened the Lang Ha Transaction Office under the Hanoi Branch according to Decision No. 671/QD-UBCK.
- September 6, 2016: The company was established and opened the Pham Ngoc Thach Transaction Office under the Head Office according to Decision No. 935/QD-UBCK.
- December 30, 2016: The company was approved to register to provide online securities trading services according to Decision No. 1456/QD-UBCK.
- February 6, 2017: The company was approved by the State Securities Commission to cancel its public company registration effective January 3, 2017 according to Dispatch No. 620/UBCK-QLKD.
- August 9, 2017: The company was granted an amended establishment and operation license No. 38/GPDC-UBCK by the State Securities Commission, changing the legal representative to Mr. Park Won Sang.
- September 7, 2017: The company was granted an amended establishment decision for the Hanoi branch No. 38/QD-UBCK by the State Securities Commission, changing the branch director to Mr. Park Won Sang.
- October 12, 2017: The company was granted a depository member certificate No. 60/GCNTVLK-4 by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository).
- March 16, 2018: The company was granted a Certificate of Eligibility to conduct derivative securities business for derivative securities brokerage activities by the State Securities Commission (Certificate No. 15/GCN-UBCK).
- March 16, 2018: The company was granted a Certificate of Eligibility to provide clearing and settlement services for derivative securities transactions by the State Securities Commission (Certificate No. 16/GCN-UBCK).
- April 5, 2018: The company was granted a Certificate of Registration of Securities Trading Code for proprietary trading accounts by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) effective from April 5, 2018, by Certificate No. 2012/2018/GCNMS-VSD.
- May 14, 2018: The company was approved by the Hanoi Stock Exchange as a member trading in the derivatives market according to Decision No. 251/QD-SGDHN.
- June 7, 2018: The company was granted a Clearing Member Certificate by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) under Certificate No. 08/GCNTVBT.
- June 11, 2018: The company was granted an amended establishment and operation license No. 42/GPDC-UBCK by the State Securities Commission, increasing its charter capital to VND 1,897,011,000,000.
- June 29, 2018: The company was granted a Certificate of Change in Depository Member Information by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) under Certificate No. 60/GCNTVLK-5.
- July 2, 2018: The company was granted a Certificate of Eligibility for Derivatives Trading by the State Securities Commission for proprietary trading of derivatives under Certificate No. 21/GCN-UBCK.

- September 3, 2019: The company's Nguyen Tri Phuong Trading Office was renamed KIS Vietnam Securities Joint Stock Company – Saigon Trading Office by the State Securities Commission under Decision No. 674/GD-UBCK.
- June 30, 2020: The company was granted an amended establishment and operation license No. 35/GPĐC-UBCK by the State Securities Commission, increasing its charter capital to VND 2,596,316,050,000.
- July 7, 2020: The company was granted a Certificate of Change in Depository Member Information by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) under Certificate No. 60/GCNTVLK-6.
- July 8, 2020: The company was granted an amended Clearing Member Certificate by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) under Certificate No. 08/GCNTVBT-2.
- March 24, 2021: The company relocated its Hanoi Branch according to Decision No. 152/QD-UBCK.
- March 24, 2021: The company relocated the Lang Ha Transaction Office of the Hanoi Branch according to Decision No. 153/QD-UBCK.
- May 6, 2021: The company was granted an amended Certificate of Securities Depository Branch Information by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) regarding changes to the securities depository branch information, as per Certificate No. 54/GCNTVLK-CB-4.
- May 28, 2021: The company was granted a Certificate of Registration for Public Fund Certificate Distribution Activities, as per Certificate No. 20/GCN-UBCK.
- June 14, 2021: The company was granted a Certificate of Registration for Public Fund Certificate Distribution Activities by the Vietnam Securities Commission.
- September 21, 2022: The company relocated its Saigon Branch Office under the Head Office according to Decision No. 709/QD-UBCK.
- November 10, 2022: The company adjusted its head office address according to Adjustment License No. 108/GPDC-UBCK.
- November 16, 2022: The company was granted a Depository Member Certificate No. 60/GCNTVLK-8 by the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) (First registration as a depository member on July 18, 2007; Eighth registration of changes to depository member information on November 16, 2022).
- November 16, 2022: The company received a Dispatch from the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository) regarding the change of investor information as per Dispatch No. 12010/VSD-LK.NV.
- November 18, 2022: The company was granted a Certificate of Derivatives Clearing Membership (amended) No. 08/GCNTVBT-4 by the Vietnam Securities Depository and Clearing Corporation (formerly the Vietnam Securities Depository) (First registration as clearing member on June 7, 2018; Fourth registration of changes to clearing member information on November 18, 2022).
- December 1, 2022: The company was granted a Certificate of Business Registration for a Joint Stock Company by the Department of Planning and Investment of Ho Chi Minh City (Business Registration Number: 0305066125; Initial Registration: July 5, 2007; 15th Amendment Registration: December 1, 2022).
- July 7, 2023: The company established a Ho Chi Minh City Branch under the Head Office according to Decision 563/QD-UBCK.
- August 4, 2023: The company received a Dispatch from the Vietnam Securities Depository and Clearing Corporation (formerly: Vietnam Securities Depository) regarding the acceptance in

principle of participation in the private bond settlement system according to Dispatch No. 7972/VSD-TTBT.NV.

- August 22, 2023: The company received a Dispatch from the Vietnam Securities Depository and Clearing Corporation approving its participation in the private placement bond system, as per Dispatch No. 9747/VSDC-TTBT.NV.
- February 26, 2025: The company received Decision No. 167/QD-UBCK amending the Decision approving the establishment of the securities company branch – Hanoi branch.
- February 27, 2025: The Company received Amended Securities Company Establishment and Operation License No. 16/GPĐC-UBCK, amending the information of the legal representative of KIS Vietnam Securities Joint Stock Company.
- March 4, 2025: The Company received Depositary Member Certificate No. 60/GCNTVLK-9, originally registered as a Depositary Member on July 18, 2007, with the ninth registration of changes to the Depositary Member information on March 4, 2025, amending the information of the legal representative of KIS Vietnam Securities Joint Stock Company.
- March 6, 2025: The Company received the amended Derivatives Clearing Member Certificate No. 08/GCNTVBTPS-5, originally registered as a Clearing Member on June 7, 2018, with the fifth registration of changes to the Clearing Member information on March 6, 2025, amending the information of the legal representative of KIS Vietnam Securities Joint Stock Company.
- March 27, 2025: The Company received the Enterprise Registration Certificate for a joint stock company, originally registered on July 5, 2007, with the sixteenth registration of changes on March 27, 2025, amending the information of KIS Vietnam Securities Joint Stock Company.
- April 4, 2025: The Company received the Branch Operation Registration Certificate, originally registered on June 20, 2008, with the tenth registration of changes on April 4, 2025, amending the information of KIS Vietnam Securities Joint Stock Company – Ba Trieu Transaction Office.
- April 4, 2025: The Company received the Business Location Registration Certificate, originally registered on June 1, 2016, with the third registration of changes on April 4, 2025, amending the information of KIS Vietnam Securities Joint Stock Company – Hanoi Branch.
- April 22, 2025: The Company received the Business Location Registration Certificate, originally registered on July 6, 2016, with the second registration of changes on April 22, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Lang Ha Transaction Office.
- August 4, 2025: The Company received the Certificate of Registration of Seal Specimen No. 306-04007/2025/ĐKMCD dated August 4, 2025.
- August 4, 2025: The Company received the Certificate of Registration of Seal Specimen No. 306-04008/2025/ĐKMCD dated August 4, 2025.
- October 3, 2025: The Company received Amended Securities Company Establishment and Operation License No. 104/GPĐC-UBCK dated October 3, 2025, amending the address of KIS Vietnam Securities Joint Stock Company.
- October 3, 2025: The Company received the Decision on amendment to the Decision approving the establishment of a securities company branch No. 742/GPĐC-UBCK dated October 3, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Ho Chi Minh City Branch.
- October 3, 2025: The Company received the Decision on amendment to the Decision approving the establishment of a securities company branch No. 743/GPĐC-UBCK dated October 3, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Hanoi Branch.
- October 3, 2025: The Company received the Decision on amendment to the Decision approving the establishment of a securities company transaction office No. 744/GPĐC-UBCK dated October 3, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Ba Trieu Transaction Office.

- October 3, 2025: The Company received the Decision on amendment to the Decision approving the establishment of a securities company transaction office No. 745/GPĐC-UBCK dated October 3, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Lang Ha Transaction Office.

- October 3, 2025: The Company received the Decision on amendment to the Decision approving the establishment of a securities company transaction office No. 746/GPĐC-UBCK dated October 3, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Pham Ngoc Thach Transaction Office.

- October 3, 2025: The Company received the Decision on amendment to the Decision approving the establishment of a securities company transaction office No. 747/GPĐC-UBCK dated October 3, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Saigon Transaction Office.

- October 13, 2025: The Company received Depositary Member Certificate No. 60/GCNTCLK-10, originally registered as a Depositary Member on July 18, 2007, with the tenth registration of changes to the Depositary Member information on October 13, 2025, amending the location of KIS Vietnam Securities Joint Stock Company.

- October 14, 2025: The Company received the amended Derivatives Clearing Member Certificate No. 08/GCNTCBTPS-6, originally registered as a Clearing Member on June 7, 2018, with the sixth registration of changes to the Clearing Member information on October 14, 2025, amending the location of KIS Vietnam Securities Joint Stock Company.

- October 16, 2025: The Company received the Branch Operation Registration Certificate, originally registered on July 17, 2025, with the first registration of changes on October 16, 2025, amending the information of KIS Vietnam Securities Joint Stock Company – Ho Chi Minh City Branch.

- October 16, 2025: The Company received Confirmation of Changes to the Registered Contents Branch Operations No. 903671/25 issued by the Business Registration Office of the Department of Finance of Ho Chi Minh City, amending the information of KIS Vietnam Securities Joint Stock Company – Ba Trieu Transaction Office.

- October 16, 2025: The Company received the Business Location Registration Certificate, originally registered on June 1, 2016, with the fourth registration of changes on October 16, 2025, amending the information of KIS Vietnam Securities Joint Stock Company – Ba Trieu Transaction Office.

- October 18, 2025: The Company received the Branch Operation Registration Certificate, originally registered on June 20, 2008, with the eleventh registration of changes on October 18, 2025, amending the information of KIS Vietnam Securities Joint Stock Company – Hanoi Branch.

- October 21, 2025: The Company received the Business Location Registration Certificate, originally registered on July 6, 2016, with the third registration of changes on October 21, 2025, amending the location of KIS Vietnam Securities Joint Stock Company – Lang Ha Transaction Office.

- October 21, 2025: The Company received the Enterprise Registration Certificate for a joint stock company, originally registered on July 5, 2007, with the seventeenth registration of changes on October 21, 2025, amending the location of KIS Vietnam Securities Joint Stock Company.

- October 21, 2025: The Company received Confirmation of Changes to the Registered Contents of the Enterprise Registration No. 920511/25 issued by the Business Registration Office of the Department of Finance of Ho Chi Minh City, amending the information of KIS Vietnam Securities Joint Stock Company.

- January 13, 2026: The Company received Amended Securities Company Establishment and Operation License No. 06/GPĐC-UBCK dated January 13, 2026, amending the charter capital information of KIS Vietnam Securities Joint Stock Company.

- January 19, 2026: The Company received Depositary Member Certificate No. 60/GCNTVLK-11, originally registered as a Depositary Member on July 18, 2007, with the eleventh registration of changes to the Depositary Member information on January 19, 2026, amending the charter capital information of KIS Vietnam Securities Joint Stock Company.
- January 21, 2026: The Company received the amended Derivatives Clearing Member Certificate No. 08/GCNTCBTPS-07 dated January 21, 2026, originally registered as a Clearing Member on June 7, 2018, with the seventh registration of changes to the Clearing Member information on January 21, 2026, amending the charter capital information of KIS Vietnam Securities Joint Stock Company.
- January 28, 2026: The Company received the Enterprise Registration Certificate for a joint stock company, originally registered on July 5, 2007, with the eighteenth registration of changes on January 28, 2026, amending the information of KIS Vietnam Securities Joint Stock Company.
- July 1, 2026: The Company received the Decision on amendment to the Decision approving the establishment of a securities company transaction office No. 406/QĐ-UBCK dated July 1, 2026, amending the location of KIS Vietnam Securities Joint Stock Company – Pham Ngoc Thach Transaction Office.
- August 20, 2026: The Company received Amended Securities Company Establishment and Operation License No. 97/GPĐC-UBCK dated August 20, 2026, amending the information of KIS Vietnam Securities Joint Stock Company.
- August 27, 2026: The Company received the Enterprise Registration Certificate for a joint stock company, originally registered on July 5, 2007, with the nineteenth registration of changes on August 27, 2026, amending the information of KIS Vietnam Securities Joint Stock Company.

c) **Organizational structure and management of the Company**

Governance Structure: KIS Vietnam Securities Joint Stock Company's governance structure comprises the General Meeting of Shareholders, the Supervisory Board, the Board of Directors, the Executive Management headed by the General Director, Directors of functional divisions, and the Chief Accountant.

The highest authority in the company is the General Meeting of Shareholders, comprising all shareholders with voting rights. The General Meeting of Shareholders meets annually or extraordinarily; at least once a year.

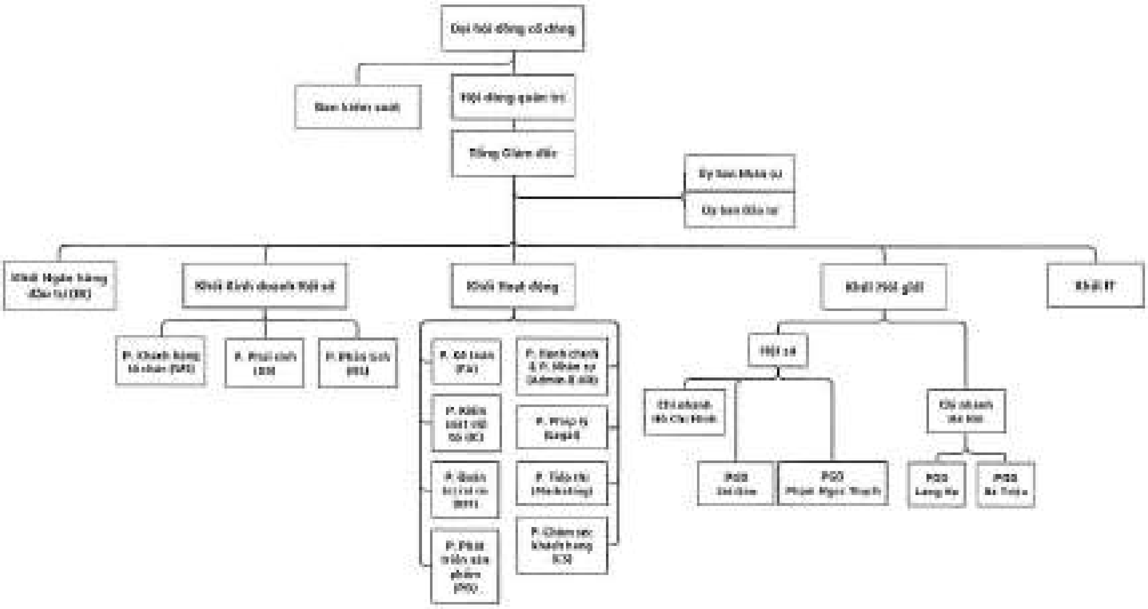
The Board of Directors is elected by the General Meeting of Shareholders and is the company's management body, having full authority to act on behalf of the company to decide and exercise the company's rights and obligations (except for matters within the authority of the General Meeting of Shareholders).

The Board of Directors for the 2022-2026 term consists of 3 members, with Mr. Park Won Sang serving as Chairman of the Board of Directors and General Director until December 31, 2024. Mr. Shin Hyun Jae has been the Chairman of the Board of Directors since April 4, 2025. The number of non-executive members is 1, and the number of executive members is 2.

The Company's Supervisory Board is elected by the General Meeting of Shareholders and is responsible for overseeing all aspects of the Company's governance and business operations.

The Company's Board of General Directors is appointed by the Board of Directors and consists of 1 General Director. The Board of General Directors is responsible for leading, managing, and operating all of the Company's activities through delegation of authority to directly handle specific tasks of the Company through the Directors in charge of Divisions, Branch Directors, Department Heads, and Section Heads.

Management structure:



d) The Company's parent company

No	Shareholders	Address	Business/Activity	Shares owned	% owned
1	Korea Investment & Securities Co., Ltd	27-1 Yeouido-dong, Yeongdeungpo-gu, Seoul, Republic of Korea.	Investment, securities	454,224,655	99.8363%

e) List of the Company's related persons

- List of the Board of Directors and the Management Board of the Company

No	Name	Company	Title	Shares owned	% owned
Board of Directors					
1	Shin Hyun Jae	KIS Vietnam Securities Corporation	Chairman	0	0%
2	Cho Hun Hee	KIS Vietnam Securities Corporation	Board Member	0	0%
3	Choi Eun Suk	KIS Vietnam Securities Corporation	Board Member	0	0%
Board of Management					
1	Shin Hyun Jae	KIS Vietnam Securities Corporation	General Director	0	0%
2	Cho Hun Hee	KIS Vietnam Securities Corporation	Operation Division Director	0	0%
3	Nguyen Thi Thanh Ngoc	KIS Vietnam Securities Corporation	Chief Accountant	0	0%

- Board of Supervisory

No	Name	Company	Title	Shares owned	% owned
1	Nguyễn Thị Hoa	KIS Vietnam Securities Corporation	Head of the Supervisory Board	0	0%
2	Nguyễn Thị Cẩm Thanh	KIS Vietnam Securities Corporation	Member of the Supervisory Board	0	0%
3	Lương Ngọc Hiền	KIS Vietnam Securities Corporation	Member of the Supervisory Board	0	0%
4	Trần Thị Kim Tiền	KIS Vietnam Securities Corporation	Member of the Supervisory Board	0	0%

- List of Shareholders holding 5% or more of the Company's share capital

No	Shareholders	Address	Business/Activity	Shares owned
1	Korea Investment & Securities Co., Ltd.	27-1 Yeouido-dong, Yeong Deungpo-gu, Seoul, Republic of Korea	454.224.655	99,8363%

- Person authorized to disclose information

No	Name	Company	Title	Shares owned	% owned
1	Shin Hyun Jae	KIS Vietnam Securities Corporation	General Director	0	0%

f) Resume of Board of Management

Position	Name	Resume

General Director	Shin Hyun Jae	▪ Date of birth: March 01, 1970. ▪ Nationality: Korea. ▪ **Education:** Master's degree, CFA Charterholder. ▪ **Professional certificate:** Financial Analysis Certificate No. 002962/PTTC issued on January 24, 2025. ▪ Work experience: – 12/1999 - 08/2003: Assistant Director of the Corporate Development Department at Korean Investment & Securities. – 09/2003 - 02/2005: Assistant Director of Corporate Development Department at Korea Investment & Securities. – 06/2005 - 01/2006: Director of the Investment Banking (IB) (M&A) Department at Korean Investment & Securities. – 08/2007 - 09/2011: Director of the New Business Development Department at Korean Investment & Securities. – 03/2013 - 12/2018: Senior Head of the Global Investment Business Department at Korean Investment & Securities. – 2019 - 31/12/2023: Head of the Investment Solutions Division at Korean Investment & Securities. – 01/01/2024 - 04/02/2025: Director of the Planning Department at KIS Vietnam Securities Joint Stock Company. – 05/02/2025 - Present: General Director of KIS Vietnam Securities Joint Stock Company. – 04/04/2025 – Present: Concurrently serving as Chairman of the Board of Directors of KIS Vietnam Securities Joint Stock Company.
Operation Division Director	Cho Hun Hee	▪ Date of birth: August 08, 1975 ▪ Nationality: Korea ▪ Education: Bachelor's degree ▪ Work experience: – **02/2002 – 12/2004:** Broker – Retail Group – Korea Investment & Securities Co., Ltd. – **01/2005 – 12/2014:** Manager – Wealth Management Strategy Department – Korea Investment & Securities Co., Ltd. – **01/2015 – 12/2016:** Manager – Audit Department – Korea Investment & Securities Co., Ltd. – **01/2017 – 12/2022:** Senior Deputy Director – Global Strategic Planning Department – Korea Investment & Securities Co., Ltd. – **01/2023 – 12/2025:** Director – Business Ethics Management Support Division – Korea Investment Holdings Co., Ltd. – **01/2026 – Present:** Senior Director of Operations – KIS Viet Nam Securities Corporation.
Chief Accountant	Nguyen Thi Thanh Ngoc	▪ Date of Birth: 22/4/1986. ▪ Nationality: Vietnamese. ▪ Education: University degree. ▪ Professional Experience: – 03/2009 – 08/2009: Intern – SJC Securities Joint Stock Company. – 09/2009 – 08/2011: Internal Accountant – Viet Thanh Securities Joint Stock Company. – 10/2011 – nay: Chief Accountant and Senior Head of the Accounting Department – KIS Vietnam Securities Joint Stock Company.

g) Risk Management Personnel Related to the Issuance of Covered Warrants

Position	Full Name	Brief Biography
Senior Head of the Derivatives Solutions Department	Hoang Anh Viet	▪ Date of Birth: June 11, 1992. ▪ Nationality: Vietnamese. ▪ Education: Master's Degree in Finance from the University of Economics Ho Chi Minh City. ▪ Experience: 12 years of experience in the finance and securities industry. ▪ Reputation and Competence: Extensive expertise in Covered Warrants, including issuance, market-making, risk hedging, development of trading strategies, and compliance with applicable laws and regulations. ▪ Professional Certificate: Fund Management Certificate No. 001692/QLQ. ▪ Professional Experience: 09/2014 - 05/2018: Chuyên viên Phòng Kế hoạch - Công ty cổ phần Chứng khoán KIS Việt Nam. – 09/2014 - 05/2018: Specialist, Planning Department – KIS Vietnam Securities Joint Stock Company. – 06/2018 - 06/2019: Specialist, Derivatives Solutions Department – KIS Vietnam Securities Joint Stock Company. – 07/2019 - 04/2022: Deputy Head, Derivatives Solutions Department – KIS Vietnam Securities Joint Stock Company. – 05/2022 - 02/2026: Head, Derivatives Solutions Department – KIS Vietnam Securities Joint Stock Company. 03/2026 - Present: Senior Head, Derivatives Solutions Department – KIS Vietnam Securities Joint Stock Company.
Senior Head of the Risk Management Department	Nguyen Quynh Nga	▪ Date of Birth: May 10, 1984. ▪ Nationality: Vietnamese. ▪ Education: Master's Degree in Finance from the University of Economics Ho Chi Minh City. ▪ Experience: 19 years of experience in the finance and securities industry. ▪ Reputation and Competence: Extensive expertise in identifying, measuring, and monitoring key risks; establishing risk limits; developing risk management policies and procedures; and ensuring operational compliance. ▪ Professional Certificate: Fund Management Certificate No. 003245/QLQ. ▪ Professional Experience: – 02/2007 - 06/2007: Staff, Card Business Department – Sacombank Card Center. – 06/2007 - 09/2008: Brokerage Specialist – Mekong Securities Joint Stock Company. – 10/2008 – 08/2013: Brokerage Specialist – KIS Vietnam Securities Joint Stock Company. – 09/2013 – 06/2014: Deputy Head, Brokerage Operations Department – KIS Vietnam Securities Joint Stock Company. – 07/2014 – 08/2016: Head, Brokerage Operations Department – KIS Vietnam Securities Joint Stock Company. 09/2016 - Present: Senior Head, Risk Management Department – KIS Vietnam Securities Joint Stock Company.

2. Information on the Issuer's Business Performance

a) Summary of the Company's business activities

- The Company is currently performing securities business operations including:

+ Securities brokerage;

+ Securities self-trading;

+ Securities investment advisory;

+ Securities underwriting;

+ In addition to the aforementioned securities business operations, the Company provides derivatives trading services, securities depository, financial advisory, and other financial services in accordance with regulations.

- The Company is listed among the top 10 securities companies with the largest brokerage market share in 2025 at the Ho Chi Minh City Stock Exchange (HOSE) with 3.46% (according to the announcement by HOSE).

- The Company is not among the top 10 companies with the largest brokerage market share in 2025 at the Hanoi Stock Exchange (HNX) for listed stocks, UPCoM stocks, and the derivatives market (according to the announcement by HNX).

- The Company commits to fully complying with payment obligations for covered warrants and other financial products (if any).

b) Current disputes/lawsuits involving the company:

- As of the current time, the Company is not involved in any disputes or lawsuits.

c) The Company's business performance report:

Targets (Unit: VND 1,000)	Year 2024	Year 2025	% increase/decrease	Quarter 2 Year 2026
Total assets	13.436.481.231	16.437.824.452	22,3%	16.113.336.879
Revenue from business activities	2.414.298.280	2.844.853.127	17,8%	1.707.804.686
Profit from business activities	569.359.790	716.204.096	25,8%	434.827.320
Other profit	41.401	-735.375	-1.876%	14.110
Profit before tax	569.401.192	715.468.721	25,7%	434.841.430
Profit after tax	450.541.164	571.734.333	26,9%	348.581.780

(Source: The Company's audited financial statements for 2024 and 2025 and reviewed interim financial statements for the first half of 2026.)

d) List of covered warrants issued by the Company as of September **15, 2026.**

i. Covered Warrants currently outstanding in the market (Call Warrants, Exercise Style: Covered Warrants that may be exercised by the Covered Warrant Holder only on the Expiration Date).

No.	Warrant Name	Warrant Code	Underlying Asset Code	Term (Months)	Maturity Date	Total Issued Volume	Actual Listed Volume	Initial Offering Price (VND)	Conversion Ratio	Exercise Price (VND)
1	Chứng quyền.VHM.KIS.4M.42	CVHM2612	VHM	4	28/9/2026	3.000.000	3.000.000	1.100	9,6297:1	81.852
2	Chứng quyền.VHM.KIS.6M.43	CVHM2613	VHM	6	30/11/2026	2.000.000	2.000.000	1.100	9,6297:1	83.768

3	Chứng quyền.VHM.KIS.7M.44	CVHM2614	VHM	7	28/12/2026	4.000.000	4.000.000	1.100	9,6297:1	85.159
4	Chứng quyền.HDB.KIS.5M.28	CHDB2606	HDB	5	28/10/2026	2.000.000	2.000.000	1.000	5:1	29.999
5	Chứng quyền.HDB.KIS.7M.29	CHDB2607	HDB	7	28/12/2026	2.000.000	2.000.000	1.000	8:1	31.999
6	Chứng quyền.LPB.KIS.6M.07	CLPB2605	LPB	6	30/11/2026	3.000.000	3.000.000	1.100	5:1	61.999
7	Chứng quyền.LPB.KIS.7M.08	CLPB2606	LPB	7	28/12/2026	4.000.000	4.000.000	1.100	8:1	63.979
8	Chứng quyền.ACB.KIS.5M.01	CACB2609	ACB	5	28/10/2026	1.000.000	1.000.000	1.000	4,3113:1	24.909
9	Chứng quyền.ACB.KIS.7M.02	CACB2610	ACB	7	28/12/2026	1.000.000	1.000.000	1.100	4,3113:1	25.964
10	Chứng quyền.SHB.KIS.4M.22	CSHB2611	SHB	4	28/9/2026	4.000.000	4.000.000	1.000	4:1	14.999
11	Chứng quyền.SHB.KIS.5M.23	CSHB2610	SHB	5	28/10/2026	4.000.000	4.000.000	1.000	4:1	15.999
12	Chứng quyền.SHB.KIS.6M.24	CSHB2609	SHB	6	30/11/2026	4.000.000	4.000.000	1.000	4:1	16.333
13	Chứng quyền.SHB.KIS.7M.25	CSHB2612	SHB	7	28/12/2026	4.000.000	4.000.000	1.100	4:1	16.789
14	Chứng quyền.SSB.KIS.4M.13	CSSB2606	SSB	4	28/9/2026	4.000.000	4.000.000	1.000	4:1	15.999
15	Chứng quyền.SSB.KIS.5M.14	CSSB2607	SSB	5	28/10/2026	4.000.000	4.000.000	1.000	4:1	16.888
16	Chứng quyền.SSB.KIS.6M.15	CSSB2605	SSB	6	30/11/2026	4.000.000	4.000.000	1.000	4:1	17.111
17	Chứng quyền.SSB.KIS.7M.16	CSSB2604	SSB	7	28/12/2026	4.000.000	4.000.000	1.100	4:1	17.777
18	Chứng quyền.STB.KIS.4M.52	CSTB2613	STB	4	28/9/2026	4.000.000	4.000.000	1.000	8:1	77.777
19	Chứng quyền.STB.KIS.5M.53	CSTB2614	STB	5	28/10/2026	4.000.000	4.000.000	1.000	8:1	78.888
20	Chứng quyền.STB.KIS.6M.54	CSTB2612	STB	6	30/11/2026	4.000.000	4.000.000	1.100	8:1	80.888
21	Chứng quyền.STB.KIS.7M.55	CSTB2615	STB	7	28/12/2026	4.000.000	4.000.000	1.100	10:1	81.888
22	Chứng quyền.TPB.KIS.6M.15	CTPB2608	TPB	6	30/11/2026	2.000.000	2.000.000	1.000	4:1	18.888
23	Chứng quyền.TPB.KIS.7M.16	CTPB2607	TPB	7	28/12/2026	3.000.000	3.000.000	1.100	4:1	19.399
24	Chứng quyền.VPB.KIS.4M.24	CVPB2612	VPB	4	28/9/2026	3.000.000	3.000.000	1.000	5:1	31.999
25	Chứng quyền.VPB.KIS.6M.25	CVPB2613	VPB	6	30/11/2026	3.000.000	3.000.000	1.000	5:1	32.888
26	Chứng quyền.VPB.KIS.7M.26	CVPB2614	VPB	7	28/12/2026	4.000.000	4.000.000	1.100	5:1	33.456

27	Chứng quyền.FPT.KIS.5M.08	CFPT2616	FPT	5	28/10/2026	3.000.000	3.000.000	1.000	16:1	82.456
28	Chứng quyền.FPT.KIS.7M.09	CFPT2617	FPT	7	28/12/2026	4.000.000	4.000.000	1.100	16:1	85.678
29	Chứng quyền.HPG.KIS.4M.55	CHPG2620	HPG	4	28/9/2026	4.000.000	4.000.000	1.000	4:1	26.999
30	Chứng quyền.HPG.KIS.5M.56	CHPG2621	HPG	5	28/10/2026	4.000.000	4.000.000	1.000	4:1	27.999
31	Chứng quyền.HPG.KIS.6M.57	CHPG2619	HPG	6	30/11/2026	4.000.000	4.000.000	1.000	4:1	28.333
32	Chứng quyền.HPG.KIS.7M.58	CHPG2618	HPG	7	28/12/2026	4.000.000	4.000.000	1.100	4:1	28.888
33	Chứng quyền.MSN.KIS.4M.43	CMSN2613	MSN	4	28/9/2026	3.000.000	3.000.000	1.000	10:1	86.789
34	Chứng quyền.MSN.KIS.6M.44	CMSN2614	MSN	6	30/11/2026	3.000.000	3.000.000	1.000	10:1	89.999
35	Chứng quyền.MSN.KIS.7M.45	CMSN2615	MSN	7	28/12/2026	4.000.000	4.000.000	1.100	10:1	91.789
36	Chứng quyền.VJC.KIS.5M.25	CVJC2602	VJC	5	28/10/2026	3.000.000	3.000.000	1.100	15,3838:1	152.252
37	Chứng quyền.VJC.KIS.6M.26	CVJC2604	VJC	6	30/11/2026	2.000.000	2.000.000	1.100	15,3838:1	154.606
38	Chứng quyền.VJC.KIS.7M.27	CVJC2603	VJC	7	28/12/2026	4.000.000	4.000.000	1.100	15,3838:1	158.205
39	Chứng quyền.VNM.KIS.6M.39	CVNM2609	VNM	6	30/11/2026	2.000.000	2.000.000	1.100	9,6917:1	67.946
40	Chứng quyền.VNM.KIS.4M.40	CVNM2608	VNM	4	28/9/2026	2.000.000	2.000.000	1.000	9,6917:1	64.930
41	Chứng quyền.VIC.KIS.4M.43	CVIC2605	VIC	4	28/9/2026	3.000.000	3.000.000	1.100	20:1	244.567
42	Chứng quyền.VIC.KIS.5M.44	CVIC2606	VIC	5	28/10/2026	2.000.000	2.000.000	1.100	20:1	246.789
43	Chứng quyền.VIC.KIS.6M.45	CVIC2602	VIC	6	30/11/2026	3.000.000	3.000.000	1.100	20:1	248.888
44	Chứng quyền.VIC.KIS.7M.46	CVIC2603	VIC	7	28/12/2026	4.000.000	4.000.000	1.500	20:1	250.789
45	Chứng quyền.VIC.KIS.8M.47	CVIC2604	VIC	8	28/1/2027	4.000.000	4.000.000	1.500	20:1	252.789
46	Chứng quyền.VRE.KIS.7M.47	CVRE2604	VRE	7	28/12/2026	4.000.000	4.000.000	1.100	4,8288:1	34.766
47	Chứng quyền.VRE.KIS.6M.48	CVRE2605	VRE	6	30/11/2026	4.000.000	4.000.000	1.100	4,8288:1	36.173

ii. **Unlisted Covered Warrants (Call Warrants, Exercise Style: Covered Warrants that may be exercised by the Covered Warrant Holder only on the Expiration Date).**
None

iii. **Expired Covered Warrants (Call Warrants, Exercise Style: Covered Warrants that may be exercised by the Covered Warrant Holder only on the Expiration Date).overed Warrants (Call Options, European Style).**

No.	Warrant Name	Warrant Code	Underlying Asset Code	Term (Months)	Maturity Date	Total Issued Volume	Actual Listed Volume	Initial Offering Price (VND)	Conversion Ratio	Exercise Price (VND)	Settlement Price per Warrant (VND)
1	Chứng quyền. HPG.KIS. M.CA.T.02	CHPG 1906	HPG	3	14/11/2019	5.000.000	5.000.000	1.500	2:1	28.088	Không có lãi
2	Chứng quyền. VIC.KIS. M.CA.T.01	CVIC 1901	VIC	3	14/11/2019	2.000.000	2.000.000	1.960	5:1	140.888	Không có lãi
3	Chứng quyền. VRE.KIS. M.CA.T.01	CVRE 1901	VRE	3	14/11/2019	5.100.000	5.100.000	1.900	2:1	40.888	Không có lãi
4	Chứng quyền. MSN.KIS. M.CA.T.01	CMSN 1901	MSN	3	14/11/2019	1.800.000	1.800.000	1.920	5:1	88.888	Không có lãi
5	Chứng quyền. HPG.KIS. M.CA.T.01	CHPG 1902	HPG	6	11/12/2019	12.000.000	12.000.000	1.000	5:1	41.999	Không có lãi
6	Chứng quyền. VNM.KIS. M.CA.T.01	CVNM 1901	VNM	6	13/12/2019	14.000.000	14.000.000	1.200	10:1	156.285	Không có lãi
7	Chứng quyền. DPM.KIS. M.CA.T.01	CDPM 1901	DPM	4	09/01/2020	1.500.000	900.000	1.900	1:1	13.988	Không có lãi
8	Chứng quyền. STB.KIS. M.CA.T.01	CSTB 1901	STB	4	09/01/2020	4.500.000	4.500.000	1.390	1:1	10.888	Không có lãi
9	Chứng quyền. NVL.KIS. M.CA.T.01	CNVL 1901	NVL	5	07/02/2020	5.000.000	3.000.000	1.900	4:1	62.088	Không có lãi
10	Chứng quyền. VHM.KIS. M.CA.T.01	CVHM 1901	VHM	5	07/02/2020	5.000.000	3.000.000	3.100	4:1	89.888	Không có lãi
11	Chứng quyền. SBT.KIS. M.CA.T.01	CSBT 1901	SBT	3	14/02/2020	2.000.000	2.000.000	1.500	1:1	21.212	Không có lãi
12	Chứng quyền. VNM.KIS. M.CA.T.02	CVNM 1902	VNM	6	26/03/2020	5.000.000	3.000.000	1.840	10:1	133.333	Không có lãi
13	Chứng quyền. VJC.KIS. M.CA.T.01	CVJC 1901	VJC	5	26/02/2020	5.000.000	3.000.000	1.800	10:1	145.678	Không có lãi
14	Chứng quyền. HPG.KIS. M.CA.T.03	CHPG 1909	HPG	6	15/05/2020	5.000.000	3.000.000	1.800	2:1	24.680	Không có lãi
15	Chứng quyền. MSN.KIS. M.CA.T.02	CMSN 1902	MSN	6	15/05/2020	7.000.000	7.000.000	3.000	5:1	77.889	Không có lãi
16	Chứng quyền. VIC.KIS. M.CA.T.02	CVIC 1903	VIC	6	15/05/2020	4.000.000	2.400.000	2.100	10:1	123.000	Không có lãi
17	Chứng quyền. VRE.KIS. M.CA.T.02	CVRE 1903	VRE	6	15/05/2020	4.000.000	2.400.000	2.700	2:1	35.789	Không có lãi
18	Chứng quyền. ROS.KIS. M.CA.T.01	CROS 2001	ROS	6	19/06/2020	20.000.000	20.000.000	1.500	4:1	26.468	Không có lãi
19	Chứng quyền. HDB.KIS. M.CA.T.01	CHDB 2001	HDB	6	19/06/2020	5.000.000	3.000.000	2.000	2:1	29.099	Không có lãi

20	Chứng quyền. DPM.KIS. M.CA.T.02	CDPM 2001	DPM	6	19/06/2020	2.000.000	1.200.000	1.000	1,9333 :1	14.081	Không có lãi
21	Chứng quyền. STB.KIS. M.CA.T.02	CSTB 2001	STB	6	19/06/2020	10.000.000	10.000.000	1.500	1:1	10.999	751
22	Chứng quyền. HPG.KIS. M.CA.T.05	CHPG 2007	HPG	3	16/07/2020	2.500.000	2.500.000	1.660	1:1	22.999	4.901
23	Chứng quyền. MSN.KIS. M.CA.T.04	CMSN 2003	MSN	3	16/07/2020	2.000.000	2.000.000	1.900	2:1	69.999	Không có lãi
24	Chứng quyền. VIC.KIS. M.CA.T.04	CVIC 2002	VIC	3	16/07/2020	2.000.000	2.000.000	1.350	5:1	108.888	Không có lãi
25	Chứng quyền. VRE.KIS. M.CA.T.05	CVRE 2004	VRE	3	16/07/2020	1.000.000	1.000.000	1.940	1:1	29.999	Không có lãi
26	Chứng quyền. HPG.KIS. M.CA.T.06	CHPG 2006	HPG	5	16/09/2020	4.000.000	2.400.000	1.500	1,6362 :1	18.014	4.000
27	Chứng quyền. MSN.KIS. M.CA.T.05	CMSN 2002	MSN	5	16/09/2020	4.000.000	2.400.000	2.000	4:1	62.999	Không có lãi
28	Chứng quyền. STB.KIS. M.CA.T.04	CSTB 2003	STB	5	16/09/2020	6.000.000	6.000.000	1.360	1:1	11.111	199
29	Chứng quyền. VRE.KIS. M.CA.T.03	CVRE 2001	VRE	9	21/09/2020	8.000.000	4.800.000	1.500	4:1	36.789	Không có lãi
30	Chứng quyền. VNM.KIS. M.CA.T.04	CVNM 2006	VNM	4	05/10/2020	2.000.000	2.000.000	1.530	8,0973 :1	106.327	792
31	Chứng quyền. HDB.KIS. M.CA.T.03	CHDB 2005	HDB	4	05/10/2020	1.500.000	900.000	1.080	3,0769 :1	21.020	2.496
32	Chứng quyền. VJC.KIS. M.CA.T.03	CVJC 2003	VJC	4	05/10/2020	1.500.000	900.000	1.670	10:1	123.456	Không có lãi
33	Chứng quyền. VHM.KIS. M.CA.T.03	CVHM 2004	VHM	4	05/10/2020	1.500.000	900.000	1.490	10:1	86.868	Không có lãi
34	Chứng quyền. VIC.KIS. M.CA.T.05	CVIC 2003	VIC	4	05/10/2020	1.500.000	1.500.000	1.670	10:1	103.050	Không có lãi
35	Chứng quyền. STB.KIS. M.CA.T.05	CSTB 2005	STB	5	03/11/2020	2.000.000	1.200.000	1.080	2:1	11.811	760
36	Chứng quyền. DPM.KIS.M. CA.T.03	CDPM 2002	DPM	11	16/12/2020	2.000.000	1.200.000	1.700	0,9194 :1	14.022	4.196
37	Chứng quyền. HDB.KIS. M.CA.T.02	CHDB 2003	HDB	11	16/12/2020	2.000.000	1.200.000	2.700	1,2122 :1	19.469	2.377
38	Chứng quyền. HPG.KIS. M.CA.T.04	CHPG 2002	HPG	11	16/12/2020	3.000.000	1.800.000	1.700	1,6362:1	24.542	8.561
39	Chứng quyền. MSN.KIS.M. CA.T.03	CMSN2001	MSN	11	16/12/2020	2.000.000	1.200.000	2.300	5:1	65.789	3.754

40	Chứng quyền. NVL.KIS. M.CA.T.02	CNVL 2001	NVL	11	16/12/2020	2.000.000	1.200.000	2.300	4:1	65.888	Không có lãi
41	Chứng quyền. SBT.KIS. M.CA.T.02	CSBT 2001	SBT	11	16/12/2020	2.000.000	1.200.000	2.900	0,9686:1	20.447	Không có lãi
42	Chứng quyền. STB.KIS. M.CA.T.03	CSTB 2002	STB	11	16/12/2020	3.000.000	1.800.000	1.700	1:1	11.888	3.942
43	Chứng quyền. VHM.KIS.M. CA.T.02	CVHM2001	VHM	11	16/12/2020	2.000.000	1.200.000	3.100	5:1	94.567	Không có lãi
44	Chứng quyền. VIC.KIS. M.CA.T.03	CVIC 2001	VIC	11	16/12/2020	2.000.000	1.200.000	3.800	5:1	126.468	Không có lãi
45	Chứng quyền. VJC.KIS. M.CA.T.02	CVJC 2001	VJC	11	16/12/2020	2.000.000	1.200.000	2.400	10:1	173.137	Không có lãi
46	Chứng quyền. VNM.KIS.M. CA.T.03	CVNM2002	VNM	11	16/12/2020	3.000.000	1.800.000	3.200	4,0486 :1	114.261	Không có lãi
47	Chứng quyền. VRE.KIS. M.CA.T.04	CVRE 2003	VRE	11	16/12/2020	3.000.000	1.800.000	3.000	2:1	37.999	Không có lãi
48	Chứng quyền. ROS.KIS. M.CA.T.02	CROS 2002	ROS	8	16/12/2020	6.000.000	6.000.000	1.000	1:1	7.227	Không có lãi
49	Chứng quyền. CTD.KIS. M.CA.T.01	CCTD 2001	CTD	8	16/12/2020	1.000.000	856.970	1.540	9,6226 :1	77.835	Không có lãi
50	Chứng quyền. HPG.KIS. M.CA.T.08	CHPG 2017	HPG	6	18/02/2021	10.000.000	10.000.000	1.000	4:1	28.888	3.301
51	Chứng quyền. VRE.KIS. M.CA.T.08	CVRE 2010	VRE	6	18/02/2021	4.000.000	4.000.000	1.100	4:1	29.999	575
52	Chứng quyền. KDH.KIS.M. CA.T.01	CKDH2003	KDH	4	18/02/2021	4.000.000	4.000.000	1.100	4:1	25.111	1.815
53	Chứng quyền. TCH.KIS. M.CA.T.01	CTCH 2002	TCH	4	18/02/2021	10.000.000	10.000.000	1.100	3,9020 :1	21.243	161
54	Chứng quyền. STB.KIS. M.CA.T.06	CSTB 2006	STB	10	05/04/2021	2.000.000	1.200.000	1.580	2:1	12.888	4.091
55	Chứng quyền. HPG.KIS. M.CA.T.07	CHPG 2010	HPG	10	05/04/2021	6.000.000	6.000.000	1.800	3,2724 :1	27.079	6.216
56	Chứng quyền. VRE.KIS. M.CA.T.06	CVRE 2007	VRE	10	05/04/2021	7.500.000	7.500.000	1.520	5:1	33.333	Không có lãi
57	Chứng quyền. NVL.KIS. M.CA.T.03	CNVL 2002	NVL	9	10/03/2021	1.500.000	900.000	2.000	4,9438:1	59.215	4.419
58	Chứng quyền. VNM.KIS.M. CA.T.05	CVNM2007	VNM	9	10/03/2021	2.000.000	1.200.000	2.400	8,0232 :1	111.432	Không có lãi
59	Chứng quyền. HDB.KIS.M. CA.T.04	CHDB 2007	HDB	9	27/04/2021	5.000.000	5.000.000	1.300	3,0304 :1	16.831	3.303

60	Chứng quyền. SBT.KIS. M.CA.T.03	CSBT 2007	SBT	9	27/04/2021	5.000.000	5.000.000	1.700	1,9371 :1	15.495	2.677
61	Chứng quyền. VJC.KIS.M. CA.T.04	CVJC 2004	VJC	9	27/04/2021	2.000.000	2.000.000	1.200	20:1	115.511	700
62	Chứng quyền. MSN.KIS.M. CA.T.06	CMSN 2007	MSN	9	27/04/2021	3.000.000	1.800.000	1.400	9,8817 :1	56.195	4.550
63	Chứng quyền. VHM.KIS.M. CA.T.04	CVHM2006	VHM	9	27/04/2021	6.000.000	6.000.000	1.000	20:1	84.888	964
64	Chứng quyền. VIC.KIS.M. CA.T.06	CVIC 2004	VIC	9	27/04/2021	6.000.000	6.000.000	1.000	20:1	99.999	1.957
65	Chứng quyền. MSN.KIS.M. CA.T.08	CMSN 2014	MSN	4	02/03/2021	2.500.000	2.500.000	1.100	9,8817: 1	86.838	419
66	Chứng quyền. VNM.KIS.M. CA.T.07	CVNM2016	VNM	4	02/03/2021	2.500.000	2.500.000	1.000	19,8170: 1	112.350	Không có lãi
67	Chứng quyền. VIC.KIS.M. CA.T.08	CVIC 2007	VIC	4	02/03/2021	5.000.000	5.000.000	1.000	20:1	111.222	Không có lãi
68	Chứng quyền. STB.KIS.M. CA.T.09	CSTB 2015	STB	4	02/03/2021	3.000.000	3.000.000	1.200	2:1	13.979	2.321
69	Chứng quyền. STB.KIS.M. CA.T.10	CSTB 2016	STB	6	04/05/2021	3.000.000	3.000.000	1.200	2:1	14.141	4.550
70	Chứng quyền. HDB.KIS.M. CA.T.05	CHDB 2008	HDB	6	04/05/2021	3.000.000	3.000.000	1.000	3,1516: 1	20.397	2.051
71	Chứng quyền. EIB.KIS.M. CA.T.01	CEIB 2001	EIB	4	05/04/2021	1.000.000	1.000.000	1.000	10:1	18.818	102
72	Chứng quyền. VPB.KIS.M. CA.T.01	CVPB 2017	VPB	4	05/04/2021	1.000.000	1.000.000	1.000	10:1	30.999	1.424
73	Chứng quyền. STB.KIS.M. CA.T.07	CSTB 2007	STB	10	27/05/2021	3.000.000	3.000.000	1.500	2:1	10.999	9.101
74	Chứng quyền. VRE.KIS.M. CA.T.07	CVRE 2009	VRE	10	27/05/2021	7.500.000	7.500.000	1.400	5:1	30.999	Không có lãi
75	Chứng quyền. HPG.KIS. M.CA.T.09	CHPG 2018	HPG	9	14/05/2021	9.000.000	9.000.000	1.200	4:1	29.999	8.000
76	Chứng quyền. MSN.KIS.M. CA.T.07	CMSN 2009	MSN	9	14/05/2021	3.000.000	1.800.000	1.200	9,8817: 1	61.265	4.023
77	Chứng quyền. KDH.KIS.M. CA.T.02	CKDH2001	KDH	7	14/05/2021	3.000.000	3.000.000	1.400	4:1	26.222	2.612
78	Chứng quyền. TCH.KIS.M. CA.T.02	CTCH 2001	TCH	7	14/05/2021	8.000.000	8.000.000	1.400	3,9020 :1	22.327	Không có lãi
79	Chứng quyền. NVL.KIS.M. CA.T.04	CNVL 2003	NVL	9	11/06/2021	9.500.000	9.500.000	1.000	7,2876: 1	46.625	7.745

80	Chứng quyền. STB.KIS.M. CA.T.08	CSTB 2010	STB	9	11/06/2021	3.500.000	3.500.000	1.100	2:1	11.999	8.996
81	Chứng quyền. VHM.KIS.M. CA.T.05	CVHM 2008	VHM	9	11/06/2021	7.000.000	7.000.000	1.400	10:1	88.888	1.631
82	Chứng quyền. VIC.KIS.M. CA.T.07	CVIC 2005	VIC	9	11/06/2021	6.000.000	6.000.000	1.500	10:1	106.868	1.295
83	Chứng quyền. VJC.KIS.M. CA.T.05	CVJC 2006	VJC	9	11/06/2021	5.000.000	5.000.000	1.000	20:1	111.111	99
84	Chứng quyền. VNM.KIS.M. CA.T.06	CVNM2011	VNM	9	11/06/2021	5.000.000	5.000.000	1.100	16,057: 1	107.045	Không có lãi
85	Chứng quyền. VRE.KIS. M.CA.T.09	CVRE 2011	VRE	9	11/06/2021	5.500.000	5.500.000	1.500	4:1	31.888	13
86	Chứng quyền. MBB.KIS.M. CA.T.01	CMBB2102	MBB	4	12/08/2021	1.800.000	1.800.000	1.200	7,4074:1	25.531	574
87	Chứng quyền. TCH.KIS.M. CA.T.05	CTCH 2103	TCH	4	12/08/2021	5.000.000	5.000.000	1.200	4:1	31.668	Không có lãi
88	Chứng quyền. HPG.KIS.M. CA.T.11	CHPG 2107	HPG	4	12/08/2021	4.000.000	4.000.000	1.300	3,6761: 1	43.648	1.469
89	Chứng quyền. HPG.KIS.M. CA.T.12	CHPG 2108	HPG	4	12/08/2021	5.000.000	5.000.000	1.200	3,6761:1	43.713	1.452
90	Chứng quyền. VHM.KIS.M. CA.T.07	CVHM2106	VHM	4	12/08/2021	3.000.000	3.000.000	1.300	10:1	118.668	Không có lãi
91	Chứng quyền. KDH.KIS.M. CA.T.03	CKDH 2002	KDH	10	16/08/2021	3.000.000	3.000.000	1.600	3,6364: 1	24.848	4.524
92	Chứng quyền. TCH.KIS. M.CA.T.03	CTCH 2003	TCH	10	16/08/2021	6.000.000	6.000.000	1.600	3,9020: 1	23.410	Không có lãi
93	Chứng quyền. PDR.KIS.M. CA.T.01	CPDR 2101	PDR	4	27/08/2021	5.000.000	5.000.000	1.100	5:1	88.888	Không có lãi
94	Chứng quyền. HDB.KIS.M. CA.T.06	CHDB 2101	HDB	9	22/09/2021	2.000.000	2.000.000	1.000	4,0015: 1	23.919	348
95	Chứng quyền. KDH.KIS.M. CA.T.04	CKDH 2101	KDH	9	22/09/2021	2.000.000	2.000.000	1.000	4,5455: 1	30.302	2.567
96	Chứng quyền. TCH.KIS.M. CA.T.04	CTCH 2101	TCH	9	22/09/2021	2.000.000	2.000.000	1.000	3,3852: 1	20.875	Không có lãi
97	Chứng quyền. MSN.KIS.M. CA.T.09	CMSN 2101	MSN	9	22/09/2021	2.000.000	2.000.000	1.050	19,8294: 1	99.146	2.335
98	Chứng quyền. MSN.KIS.M. CA.T.10	CMSN 2102	MSN	9	22/09/2021	2.000.000	2.000.000	1.000	19,8294: 1	110.163	1.779
99	Chứng quyền. STB.KIS.M. CA.T.11	CSTB 2101	STB	9	22/09/2021	2.000.000	2.000.000	1.000	2:1	21.999	2.406

100	Chứng quyền. NVL.KIS.M. CA.T.05	CNVL 2101	NVL	9	22/09/2021	2.000.000	2.000.000	1.000	11,6602: 1	56.842	3.965
101	Chứng quyền. VRE.KIS.M. CA.T.10	CVRE 2101	VRE	9	22/09/2021	2.000.000	2.000.000	1.200	4:1	34.567	Không có lãi
102	Chứng quyền. HPG.KIS.M. CA.T.10	CHPG 2101	HPG	9	22/09/2021	2.000.000	2.000.000	1.050	2,9409: 1	34.473	5.742
103	Chứng quyền. SBT.KIS.M. CA.T.04	CSBT 2101	SBT	9	22/09/2021	2.000.000	2.000.000	1.100	5:1	24.666	Không có lãi
104	Chứng quyền. VIC.KIS.M. CA.T.09	CVIC 2101	VIC	9	22/09/2021	2.000.000	2.000.000	1.000	17,7758: 1	112.777	Không có lãi
105	Chứng quyền. VNM.KIS.M. CA.T.08	CVNM2101	VNM	9	22/09/2021	2.000.000	2.000.000	1.100	19,2409: 1	122.072	Không có lãi
106	Chứng quyền. VHM.KIS.M. CA.T.06	CVHM2101	VHM	9	22/09/2021	2.000.000	2.000.000	1.100	12,1421: 1	81.115	Không có lãi
107	Chứng quyền. NVL.KIS.M. CA.T.06	CNVL 2102	NVL	5	27/09/2021	3.000.000	3.000.000	1.100	11,7928: 1	94.636	711
108	Chứng quyền. PDR.KIS.M. CA.T.02	CPDR 2102	PDR	5	27/09/2021	5.000.000	5.000.000	1.100	5:1	88.999	Không có lãi
109	Chứng quyền. VIC.KIS.M. CA.T.10	CVIC 2103	VIC	5	27/09/2021	3.000.000	3.000.000	1.100	17,7758: 1	147.449	Không có lãi
110	Chứng quyền. VNM.KIS.M. CA.T.09	CVNM2105	VNM	5	27/09/2021	5.000.000	5.000.000	1.100	19,4186: 1	115.929	Không có lãi
111	Chứng quyền. KDH.KIS.M. CA.T.05	CKDH 2103	KDH	4	02/12/2021	4.000.000	4.000.000	1.200	10:1	48.779	Không có lãi
112	Chứng quyền. STB.KIS.M. CA.T.12	CSTB 2106	STB	4	02/12/2021	10.000.000	10.000.000	1.500	10:1	35.678	Không có lãi
113	Chứng quyền. HDB.KIS.M. CA.T.07	CHDB 2102	HDB	4	02/12/2021	4.000.000	4.000.000	1.200	8,0030: 1	32.283	Không có lãi
114	Chứng quyền. MSN.KIS.M. CA.T.11	CMSN 2106	MSN	4	02/12/2021	4.000.000	4.000.000	1.500	20:1	160.779	Không có lãi
115	Chứng quyền. VJC.KIS.M. CA.T.06	CVJC 2102	VJC	4	02/12/2021	3.000.000	3.000.000	1.400	25:1	135.555	Không có lãi
116	Chứng quyền. VRE.KIS.M. CA.T.11	CVRE 2107	VRE	4	02/12/2021	5.000.000	5.000.000	1.200	10:1	33.179	Không có lãi
117	Chứng quyền. VPB.KIS.M. CA.T.02	CVPB 2105	VPB	4	02/12/2021	2.000.000	2.000.000	1.500	11,1039: 1	40.629	Không có lãi
118	Chứng quyền. VIC.KIS.M. CA.T.11	CVIC 2106	VIC	7	27/04/2022	5.000.000	5.000.000	1.000	20:1	98.888	Không có lãi
119	Chứng quyền. VHM.KIS.M. CA.T.08	CVHM2111	VHM	7	27/04/2022	11.300.000	11.300.000	1.000	20:1	88.888	Không có lãi

120	Chứng quyền. VRE.KIS.M. CA.T.12	CVRE 2110	VRE	7	27/04/2022	9.300.000	9.300.000	1.000	8:1	29.999	54
121	Chứng quyền. VNM.KIS.M.CA .T.10	CVNM2111	VNM	7	27/04/2022	7.000.000	7.000.000	1.100	19,6714: 1	97.263	Không có lãi
122	Chứng quyền. MSN.KIS.M. CA.T.12	CMSN 2108	MSN	7	27/04/2022	3.000.000	3.000.000	1.600	16,6521: 1	133.216	Không có lãi
123	Chứng quyền. HPG.KIS.M. CA.T.13	CHPG 2114	HPG	7	27/04/2022	17.700.000	17.700.000	1.200	10:1	56.789	Không có lãi
124	Chứng quyền. PDR.KIS.M. CA.T.03	CPDR 2103	PDR	7	27/04/2022	3.000.000	3.000.000	1.200	11,7296: 1	65.164	Không có lãi
125	Chứng quyền. NVL.KIS.M. CA.T.07	CNVL 2104	NVL	7	27/04/2022	5.400.000	5.400.000	1.300	15,2743: 1	83.160	Không có lãi
126	Chứng quyền. KDH.KIS.M. CA.T.06	CKDH 2107	KDH	7	27/04/2022	3.000.000	3.000.000	1.300	8:1	43.888	510
127	Chứng quyền. STB.KIS.M. CA.T.13	CSTB 2110	STB	7	27/04/2022	10.000.000	10.000.000	1.000	8:1	29.999	Không có lãi
128	Chứng quyền. HDB.KIS.M. CA.T.08	CHDB 2103	HDB	7	27/04/2022	3.000.000	3.000.000	1.000	8:1	28.888	Không có lãi
129	Chứng quyền. VJC.KIS.M. CA.T.07	CVJC 2103	VJC	7	27/04/2022	3.000.000	3.000.000	1.500	20:1	129.999	267
130	Chứng quyền. VIC.KIS.M. CA.T.12	CVIC 2108	VIC	6	04/05/2021	4.000.000	4.000.000	1.200	16:1	99.999	Không có lãi
131	Chứng quyền. VHM.KIS.M. CA.T.09	CVHM2114	VHM	6	04/05/2021	5.000.000	5.000.000	1.100	16:1	89.999	Không có lãi
132	Chứng quyền. VRE.KIS.M. CA.T.13	CVRE 2113	VRE	6	04/05/2021	5.000.000	5.000.000	1.400	4:1	34.999	Không có lãi
133	Chứng quyền. VNM.KIS.M. CA.T.11	CVNM2113	VNM	6	04/05/2021	4.000.000	4.000.000	1.100	15,7371: 1	95.199	Không có lãi
134	Chứng quyền. HPG.KIS.M. CA.T.14	CHPG 2118	HPG	6	04/05/2021	8.000.000	8.000.000	2.000	5:1	61.999	Không có lãi
135	Chứng quyền. MBB.KIS.M. CA.T.02	CMBB 2109	MBB	6	04/05/2021	1.200.000	1.200.000	1.100	5:1	32.789	Không có lãi
136	Chứng quyền. PNJ.KIS.M. CA.T.01	CPNJ 2109	PNJ	6	04/05/2021	2.500.000	2.500.000	1.000	24,7043: 1	107.601	Không có lãi
137	Chứng quyền. VPB.KIS.M.CA. T.03	CVPB 2203	VPB	4	15/07/2022	1.450.000	1.450.000	1.000	16:1	28.888	Không có lãi
138	Chứng quyền. POW.KIS.M.CA .T.01	CPOW 2201	POW	4	15/07/2022	5.000.000	5.000.000	1.000	5:1	16.666	Không có lãi
139	Chứng quyền. HPG.KIS.M. CA.T.16	CHPG 2202	HPG	9	21/09/2022	8.000.000	8.000.000	1.100	7,5645: 1	40.764	Không có lãi

140	Chứng quyền. MSN.KIS.M.CA. T.13	CMSN 2201	MSN	9	21/09/2022	5.000.000	5.000.000	2.000	16,5516: 1	140.688	Không có lãi
141	Chứng quyền. STB.KIS.M. CA.T.14	CSTB 2201	STB	9	21/09/2022	8.000.000	8.000.000	1.500	5:1	29.888	Không có lãi
142	Chứng quyền. HDB.KIS.M.CA. T.09	CHDB 2201	HDB	9	21/09/2022	3.000.000	3.000.000	1.500	5:1	30.999	Không có lãi
143	Chứng quyền. VNM.KIS.M.CA .T.12	CVNM2201	VNM	9	21/09/2022	3.000.000	3.000.000	1.200	15,2204: 1	85.603	Không có lãi
144	Chứng quyền. VIC.KIS.M. CA.T.13	CVIC 2201	VIC	9	21/09/2022	3.000.000	3.000.000	1.500	16:1	103.979	Không có lãi
145	Chứng quyền. VHM.KIS.M.CA .T.10	CVHM2201	VHM	9	21/09/2022	5.000.000	5.000.000	1.300	15,5461: 1	85.191	Không có lãi
146	Chứng quyền. HDB.KIS.M.CA. T.10	CHDB 2203	HDB	5	15/08/2022	4.000.000	4.000.000	1.100	5:1	28.888	Không có lãi
147	Chứng quyền. HPG.KIS.M.CA. T.17	CHPG 2206	HPG	5	15/08/2022	5.000.000	5.000.000	1.000	7,5645: 1	36.981	Không có lãi
148	Chứng quyền. KDH.KIS.M.CA. T.08	CKDH 2204	KDH	5	15/08/2022	3.000.000	3.000.000	1.300	7,2690: 1	48.460	Không có lãi
149	Chứng quyền. NVL.KIS.M.CA. T.09	CNVL 2202	NVL	5	15/08/2022	3.000.000	3.000.000	1.000	16:1	79.999	139
150	Chứng quyền. PDR.KIS.M.CA. T.05	CPDR 2202	PDR	5	15/08/2022	3.000.000	3.000.000	1.200	11,7296: 1	67.608	Không có lãi
151	Chứng quyền. VHM.KIS.M.CA .T.11	CVHM2205	VHM	5	15/08/2022	5.000.000	5.000.000	1.000	15,5461: 1	76.650	Không có lãi
152	Chứng quyền. VIC.KIS.M. CA.T.14	CVIC 2202	VIC	5	15/08/2022	3.000.000	3.000.000	1.100	16:1	82.222	Không có lãi
153	Chứng quyền. VJC.KIS.M.CA. T.08	CVJC 2201	VJC	5	15/08/2022	3.000.000	3.000.000	1.300	20:1	159.999	Không có lãi
154	Chứng quyền. VNM.KIS.M.CA .T.13	CVNM2203	VNM	5	15/08/2022	3.000.000	3.000.000	1.000	19,3434: 1	78.448	Không có lãi
155	Chứng quyền. VRE.KIS.M.CA. T.14	CVRE 2203	VRE	5	15/08/2022	5.000.000	5.000.000	1.600	4:1	33.979	Không có lãi
156	Chứng quyền. STB.KIS.M.CA. T.15	CSTB 2205	STB	5	15/08/2022	5.000.000	5.000.000	1.000	8:1	34.567	Không có lãi
157	Chứng quyền. HPG.KIS.M. CA.T.18	CHPG 2209	HPG	4	22/08/2022	4.000.000	4.000.000	1.000	6,0516: 1	34.460	Không có lãi
158	Chứng quyền. VRE.KIS.M. CA.T.15	CVRE 2205	VRE	4	22/08/2022	3.000.000	3.000.000	1.000	5:1	32.222	Không có lãi
159	Chứng quyền. STB.KIS.M. CA.T.16	CSTB 2207	STB	4	22/08/2022	3.000.000	3.000.000	1.000	5:1	28.888	Không có lãi

160	Chứng quyền. VIC.KIS.M. CA.T.15	CVIC 2204	VIC	4	22/08/2022	4.000.000	4.000.000	1.000	16:1	83.333	Không có lãi
161	Chứng quyền. MSN.KIS.M. CA.T.14	CMSN 2203	MSN	4	22/08/2022	3.000.000	3.000.000	1.000	19,8539: 1	125.863	Không có lãi
162	Chứng quyền. NVL.KIS.M. CA.T.10	CNVL 2204	NVL	5	20/09/2022	5.000.000	5.000.000	1.000	16:1	85.999	Không có lãi
163	Chứng quyền. KDH.KIS.M. CA.T.09	CKDH 2206	KDH	5	20/09/2022	3.000.000	3.000.000	1.100	7,2690: 1	48.156	Không có lãi
164	Chứng quyền. VJC.KIS.M. CA.T.09	CVJC 2202	VJC	5	20/09/2022	3.000.000	3.000.000	1.100	20:1	156.789	Không có lãi
165	Chứng quyền. PDR.KIS.M. CA.T.06	CPDR 2203	PDR	5	20/09/2022	2.000.000	2.000.000	1.100	10:1	65.999	Không có lãi
166	Chứng quyền. HPG.KIS.M. CA.T.15	CHPG 2201	HPG	10	21/10/2022	8.000.000	8.000.000	1.300	7,5645: 1	37.570	Không có lãi
167	Chứng quyền. KDH.KIS.M.CA. T.07	CKDH 2201	KDH	9	05/10/2022	4.000.000	4.000.000	1.500	7,2690: 1	55.988	Không có lãi
168	Chứng quyền. PDR.KIS.M. CA.T.04	CPDR 2201	PDR	9	05/10/2022	3.000.000	3.000.000	3.000	3,6655:1	83.084	Không có lãi
169	Chứng quyền. NVL.KIS.M. CA.T.08	CNVL 2201	NVL	9	05/10/2022	5.000.000	5.000.000	1.100	20:1	93.979	Không có lãi
170	Chứng quyền. HPG.KIS.M. CA.T.19	CHPG 2210	HPG	6	20/10/2022	4.000.000	4.000.000	1.100	6,0516: 1	36.141	Không có lãi
171	Chứng quyền. VRE.KIS.M. CA.T.16	CVRE 2206	VRE	6	20/10/2022	3.000.000	3.000.000	1.100	5:1	35.555	Không có lãi
172	Chứng quyền. STB.KIS.M. CA.T.17	CSTB 2208	STB	6	20/10/2022	3.000.000	3.000.000	1.100	5:1	30.111	Không có lãi
173	Chứng quyền. VIC.KIS.M. CA.T.16	CVIC 2205	VIC	6	20/10/2022	4.000.000	4.000.000	1.100	16:1	86.666	Không có lãi
174	Chứng quyền. HDB.KIS.M. CA.T.11	CHDB 2205	HDB	6	20/10/2022	4.000.000	4.000.000	1.000	3,9979: 1	21.677	Không có lãi
175	Chứng quyền. VRE.KIS.M. CA.T.17	CVRE 2207	VRE	6	20/10/2022	6.000.000	6.000.000	1.000	8:1	33.333	Không có lãi
176	Chứng quyền. VNM.KIS.M. CA.T.14	CVNM2205	VNM	6	20/10/2022	5.000.000	5.000.000	1.000	15,4747: 1	77.372	Không có lãi
177	Chứng quyền. PNJ.KIS.M.CA. T.02	CPNJ 2202	PNJ	4	03/10/2022	1.250.000	1.250.000	1.200	25:1	99.999	433
178	Chứng quyền. MBB.KIS.M.CA .T.03	CMBB 2206	MBB	4	03/10/2022	1.260.000	1.260.000	1.000	8,3333: 1	18.518	179
179	Chứng quyền. VPB.KIS.M.CA. T.04	CVPB 2204	VPB	8	15/11/2022	1.450.000	1.450.000	1.000	10,6569: 1	20.573	Không có lãi

180	Chứng quyền. POW.KIS.M.CA .T.02	CPOW 2202	POW	8	15/11/2022	5.000.000	5.000.000	1.000	5:1	17.999	Không có lãi
181	Chứng quyền. TPB.KIS.M.CA. T.01	CTPB 2203	TPB	4	28/10/2022	1.500.000	1.500.000	1.000	10:1	22.999	Không có lãi
182	Chứng quyền. HDB.KIS.M.CA. T.14	CHDB 2209	HDB	4	09/12/2022	4.000.000	4.000.000	1.100	3,1983: 1	21.483	Không có lãi
183	Chứng quyền. HPG.KIS.M.CA. T.23	CHPG 2218	HPG	4	09/12/2022	6.000.000	6.000.000	1.100	4:1	24.888	Không có lãi
184	Chứng quyền. KDH.KIS.M.CA. T.11	CKDH 2211	KDH	4	09/12/2022	3.000.000	3.000.000	3.100	2:1	40.888	Không có lãi
185	Chứng quyền. MSN.KIS.M.CA. T.18	CMSN 2211	MSN	4	09/12/2022	3.000.000	3.000.000	1.900	10:1	109.999	Không có lãi
186	Chứng quyền. NVL.KIS.M.CA. T.14	CNVL 2209	NVL	4	09/12/2022	3.000.000	3.000.000	1.300	10:1	86.868	Không có lãi
187	Chứng quyền. POW.KIS.M.CA .T.04	CPOW 2206	POW	4	09/12/2022	6.000.000	6.000.000	1.500	2:1	14.567	Không có lãi
188	Chứng quyền. STB.KIS.M.CA. T.21	CSTB 2216	STB	4	09/12/2022	6.000.000	6.000.000	1.700	2:1	27.979	Không có lãi
189	Chứng quyền. VJC.KIS.M.CA. T.12	CVJC 2205	VJC	4	09/12/2022	3.000.000	3.000.000	1.300	16:1	129.999	Không có lãi
190	Chứng quyền. VNM.KIS.M.CA .T.16	CVNM2208	VNM	4	09/12/2022	3.000.000	3.000.000	1.100	10:1	75.999	700
191	Chứng quyền. VPB.KIS.M.CA. T.05	CVPB 2209	VPB	4	09/12/2022	1.450.000	1.450.000	1.000	10,6569: 1	17.879	Không có lãi
192	Chứng quyền. MWG.KIS.M.C A.T.01	CMWG2209	MWG	4	12/12/2022	1.300.000	1.300.000	1.000	20:1	56.999	Không có lãi
193	Chứng quyền. POW.KIS.M.CA .T.05	CPOW 2207	POW	4	12/12/2022	8.000.000	8.000.000	1.000	4:1	14.111	Không có lãi
194	Chứngquyền.HP G.KIS.M.CA.T.2 0	CHPG 2212	HPG	7	27/12/2022	8.000.000	8.000.000	1.000	7,5645: 1	27.829	Không có lãi
195	Chứng quyền. MSN.KIS.M.CA. T.15	CMSN 2205	MSN	7	27/12/2022	3.000.000	3.000.000	1.200	19,8539: 1	113.146	Không có lãi
196	Chứng quyền. NVL.KIS.M. CA.T.11	CNVL 2205	NVL	7	27/12/2022	5.000.000	5.000.000	1.100	16:1	81.888	Không có lãi
197	Chứng quyền. STB.KIS.M. CA.T.18	CSTB 2211	STB	7	27/12/2022	8.000.000	8.000.000	1.000	8:1	23.333	Không có lãi
198	Chứng quyền. VHM.KIS.M.CA .T.12	CVHM2209	VHM	7	27/12/2022	5.000.000	5.000.000	1.000	15,5461: 1	69.979	Không có lãi
199	Chứng quyền. VRE.KIS.M. CA.T.18	CVRE 2209	VRE	7	27/12/2022	6.000.000	6.000.000	1.000	8:1	30.888	Không có lãi
200	Chứng quyền. VJC.KIS.M. CA.T.10	CVJC 2203	VJC	7	27/12/2022	3.000.000	3.000.000	1.400	20:1	131.131	Không có lãi
201	Chứng quyền. MBB.KIS.M.CA .T.04	CMBB 2207	MBB	7	03/01/2023	1.260.000	1.260.000	1.000	8,3333: 1	19.547	Không có lãi
202	Chứng quyền. PNJ.KIS.M.CA. T.03	CPNJ 2203	PNJ	7	03/01/2023	1.250.000	1.250.000	1.300	18,5090: 1	81.439	143
203	Chứng quyền. HDB.KIS.M.CA. T.12	CHDB 2206	HDB	7	03/01/2023	6.000.000	6.000.000	1.000	6,3966: 1	23.986	Không có lãi

204	Chứng quyền. VHM.KIS.M.CA .T.13	CVHM2210	VHM	7	03/01/2023	5.000.000	5.000.000	1.000	16:1	79.999	Không có lãi
205	Chứng quyền. NVL.KIS.M.CA. T.12	CNVL 2206	NVL	7	03/01/2023	5.000.000	5.000.000	1.000	16:1	83.999	Không có lãi
206	Chứng quyền. MSN.KIS.M.CA. T.16	CMSN 2206	MSN	4	03/01/2023	1.260.000	1.260.000	1.000	19,8539: 1	132.359	Không có lãi
207	Chứng quyền. HPG.KIS.M.CA. T.21	CHPG 2214	HPG	7	03/01/2023	1.260.000	1.260.000	1.000	7,5645: 1	28.744	Không có lãi
208	Chứng quyền. STB.KIS.M.CA. T.19	CSTB 2213	STB	4	03/01/2023	1.250.000	1.250.000	1.000	8:1	24.444	Không có lãi
209	Chứng quyền. PDR.KIS.M.CA. T.07	CPDR 2204	PDR	7	03/01/2023	1.250.000	1.250.000	1.000	16:1	57.979	Không có lãi
210	Chứng quyền. PDR.KIS.M. CA.T.09	CPDR 2206	PDR	5	01/03/2023	3.000.000	3.000.000	1.000	10:1	51.888	Không có lãi
211	Chứng quyền. VHM.KIS.M.CA .T.16	CVHM2217	VHM	5	01/03/2023	3.000.000	3.000.000	1.000	10:1	51.999	Không có lãi
212	Chứng quyền. HPG.KIS.M.CA. T.25	CHPG 2224	HPG	5	01/03/2023	8.000.000	8.000.000	1.000	4:1	22.222	Không có lãi
213	Chứng quyền. STB.KIS.M.CA. T.22	CSTB 2222	STB	5	01/03/2023	5.000.000	5.000.000	1.000	4:1	20.222	1.012
214	Chứng quyền. STB.KIS.M.CA. T.23	CSTB 2223	STB	5	01/03/2023	5.000.000	5.000.000	1.000	4:1	21.111	790
215	Chứng quyền. MBB.KIS.M.CA .T.05	CMBB 2212	MBB	5	01/03/2023	1.260.000	1.260.000	1.000	10:1	17.777	Không có lãi
216	Chứng quyền. VRE.KIS.M.CA. T.21	CVRE 2218	VRE	5	01/03/2023	4.000.000	4.000.000	1.000	5:1	27.888	Không có lãi
217	Chứng quyền. MWG.KIS.M.C A.T.02	CMWG2210	MWG	7	10/03/2023	1.300.000	1.300.000	1.000	20:1	58.999	Không có lãi
218	Chứng quyền. HDB.KIS.M.CA. T.15	CHDB 2210	HDB	7	10/03/2023	3.000.000	3.000.000	1.000	3,9979: 1	23.098	Không có lãi
219	Chứng quyền. HPG.KIS.M.CA. T.24	CHPG 2219	HPG	7	10/03/2023	6.000.000	6.000.000	1.000	5:1	26.888	Không có lãi
220	Chứng quyền. MSN.KIS.M.CA. T.19	CMSN 2212	MSN	7	10/03/2023	3.000.000	3.000.000	1.100	20:1	112.233	Không có lãi
221	Chứng quyền. NVL.KIS.M.CA. T.15	CNVL 2210	NVL	7	10/03/2023	6.000.000	6.000.000	1.100	16:1	88.888	Không có lãi
222	Chứng quyền. VHM.KIS.M.CA .T.15	CVHM2213	VHM	7	10/03/2023	4.000.000	4.000.000	1.000	16:1	63.979	Không có lãi
223	Chứng quyền. VJC.KIS.M.CA. T.13	CVJC 2206	VJC	7	10/03/2023	3.000.000	3.000.000	1.300	20:1	132.999	Không có lãi

224	Chứng quyền. VNM.KIS.M.CA.T.17	CVNM2209	VNM	7	10/03/2023	3.000.000	3.000.000	1.000	15,7189:1	75.537	27
225	Chứng quyền. VRE.KIS.M.CA.T.20	CVRE 2213	VRE	7	10/03/2023	4.000.000	4.000.000	1.100	5:1	31.999	Không có lãi
226	Chứng quyền. KDH.KIS.M.CA.T.12	CKDH 2212	KDH	7	10/03/2023	3.000.000	3.000.000	1.000	8:1	41.999	Không có lãi
227	Chứng quyền. POW.KIS.M.CA.T.06	CPOW 2208	POW	7	10/03/2023	8.000.000	8.000.000	1.000	4:1	15.222	Không có lãi
228	Chứng quyền. TPB.KIS.M.CA.T.02	CTPB 2204	TPB	9	28/03/2023	1.500.000	1.500.000	1.000	9,0119:1	21.528	38
229	Chứng quyền. HDB.KIS.M.CA.T.13	CHDB 2208	HDB	9	28/03/2023	4.000.000	4.000.000	1.100	3,9979:1	19.189	Không có lãi
230	Chứng quyền. HPG.KIS.M.CA.T.22	CHPG 2215	HPG	9	28/03/2023	10.000.000	10.000.000	1.000	10:1	22.999	Không có lãi
231	Chứng quyền. KDH.KIS.M.CA.T.10	CKDH 2209	KDH	9	28/03/2023	4.000.000	4.000.000	1.200	7,2690:1	36.344	Không có lãi
232	Chứng quyền. MSN.KIS.M.CA.T.17	CMSN 2209	MSN	9	28/03/2023	4.000.000	4.000.000	1.400	19,8539:1	111.413	Không có lãi
233	Chứng quyền. NVL.KIS.M.CA.T.13	CNVL 2208	NVL	9	28/03/2023	5.000.000	5.000.000	1.200	16:1	79.999	Không có lãi
234	Chứng quyền. PDR.KIS.M.CA.T.08	CPDR 2205	PDR	9	28/03/2023	4.000.000	4.000.000	1.000	16:1	52.999	Không có lãi
235	Chứng quyền. POW.KIS.M.CA.T.03	CPOW 2204	POW	9	28/03/2023	5.000.000	5.000.000	1.000	5:1	13.979	Không có lãi
236	Chứng quyền. STB.KIS.M.CA.T.20	CSTB 2215	STB	9	28/03/2023	10.000.000	10.000.000	1.100	5:1	22.222	554
237	Chứng quyền. VHM.KIS.M.CA.T.14	CVHM2211	VHM	9	28/03/2023	10.000.000	10.000.000	1.000	16:1	64.999	Không có lãi
238	Chứng quyền. VJC.KIS.M.CA.T.11	CVJC 2204	VJC	9	28/03/2023	4.000.000	4.000.000	1.500	20:1	133.979	Không có lãi
239	Chứng quyền. VNM.KIS.M.CA.T.15	CVNM2207	VNM	9	28/03/2023	4.000.000	4.000.000	1.100	15,2029:1	67.462	479
240	Chứng quyền. VRE.KIS.M.CA.T.19	CVRE 2211	VRE	9	28/03/2023	10.000.000	10.000.000	1.000	8:1	28.888	64
241	Chứng quyền. POW.KIS.M.CA.T.07	CPOW 2209	POW	8	10/04/2023	8.000.000	8.000.000	1.000	4:1	16.333	Không có lãi
242	Chứng quyền. MBB.KIS.M.CA.T.06	CMBB 2304	MBB	4	02/10/2023	1.500.000	1.500.000	1.000	8,4825:1	13.006	632
243	Chứng quyền. MBB.KIS.M.CA.T.07	CMBB 2305	MBB	7	02/01/2024	1.500.000	1.500.000	1.000	8,4825:1	14.419	480

244	Chứng quyền. MWG.KIS.M. CA.T.03	CMWG2303	MWG	4	02/10/2023	1.300.000	1.300.000	1.000	19,809:1	31.035	1.059
245	Chứng quyền. MWG.KIS.M. CA.T.04	CMWG2304	MWG	7	02/01/2024	1.300.000	1.300.000	1.000	19,809:1	33.676	461
246	Chứng quyền. TPB.KIS.M. CA.T.03	CTPB 2302	TPB	4	02/10/2023	2.000.000	2.000.000	1.000	7,1875:1	14.215	510
247	Chứng quyền. TPB.KIS.M. CA.T.04	CTPB 2303	TPB	7	02/01/2024	2.000.000	2.000.000	1.000	7,1875:1	15.812	196
248	Chứng quyền. VPB.KIS.M. CA.T.06	CVPB 2303	VPB	4	02/10/2023	2.400.000	2.400.000	1.000	16:1	15.777	323
249	Chứng quyền. VPB.KIS.M. CA.T.07	CVPB 2304	VPB	7	02/01/2024	2.400.000	2.400.000	1.000	16:1	16.999	164
250	Chứng quyền. HDB.KIS.M. CA.T.16	CHDB 2301	HDB	5	30/10/2023	3.000.000	3.000.000	1.000	3,4815:1	16.827	632
251	Chứng quyền. STB.KIS.M. CA.T.24	CSTB 2304	STB	5	30/10/2023	8.000.000	8.000.000	1.000	5:1	28.666	1.059
252	Chứng quyền. STB.KIS.M. CA.T.25	CSTB 2305	STB	7	02/01/2024	8.000.000	8.000.000	1.000	5:1	29.111	Không có lãi
253	Chứng quyền. HPG.KIS.M. CA.T.26	CHPG 2308	HPG	5	30/10/2023	8.000.000	8.000.000	1.000	4:1	22.111	510
254	Chứng quyền. HPG.KIS.M. CA.T.27	CHPG 2307	HPG	7	02/01/2024	8.000.000	8.000.000	1.000	4:1	22.666	1.279
255	Chứng quyền. VIC.KIS.M. CA.T.18	CVIC 2301	VIC	5	30/10/2023	4.000.000	4.000.000	1.000	8:1	53.979	Không có lãi
256	Chứng quyền. VHM.KIS.M. CA.T.17	CVHM2301	VHM	5	30/10/2023	4.000.000	4.000.000	1.000	8:1	56.879	Không có lãi
257	Chứng quyền. VRE.KIS.M. CA.T.22	CVRE 2302	VRE	5	30/10/2023	5.000.000	5.000.000	1.000	5:1	28.666	Không có lãi
258	Chứng quyền. VNM.KIS.M. CA.T.18	CVNM2301	VNM	5	30/10/2023	3.000.000	3.000.000	1.000	9,6846:1	66.715	323
259	Chứng quyền. NVL.KIS.M. CA.T.16	CNVL 2301	NVL	5	30/10/2023	3.000.000	3.000.000	1.000	4:1	13.456	Không có lãi
260	Chứng quyền. PDR.KIS.M. CA.T.10	CPDR 2301	PDR	5	30/10/2023	3.000.000	3.000.000	1.000	4:1	14.222	775
261	Chứng quyền. MSN.KIS.M. CA.T.20	CMSN 2301	MSN	5	30/10/2023	4.000.000	4.000.000	1.000	10:1	73.979	Không có lãi
262	Chứng quyền. POW.KIS.M. CA.T.08	CPOW 2301	POW	5	30/10/2023	4.000.000	4.000.000	1.000	2:1	13.979	Không có lãi
263	Chứng quyền. HPG.KIS.M. CA.T.28	CHPG 2310	HPG	4	02/10/2023	8.000.000	8.000.000	1.000	4:1	23.222	775
264	Chứng quyền. HPG.KIS.M. CA.T.29	CHPG 2311	HPG	6	01/12/2023	8.000.000	8.000.000	1.100	4:1	21.234	1.339
265	Chứng quyền. HPG.KIS.M. CA.T.30	CHPG 2312	HPG	7	02/01/2024	8.000.000	8.000.000	1.000	4:1	23.777	1.001
266	Chứng quyền. HPG.KIS.M. CA.T.31	CHPG 2313	HPG	9	01/03/2024	8.000.000	8.000.000	1.100	4:1	23.333	1.624

267	Chứng quyền. STB.KIS.M. CA.T.26	CSTB 2307	STB	4	02/10/2023	8.000.000	8.000.000	1.000	5:1	29.999	248
268	Chứng quyền. STB.KIS.M. CA.T.27	CSTB 2308	STB	6	01/12/2023	8.000.000	8.000.000	1.100	5:1	27.799	6
269	Chứng quyền. STB.KIS.M. CA.T.28	CSTB 2309	STB	7	02/01/2024	8.000.000	8.000.000	1.000	5:1	30.555	Không có lãi
270	Chứng quyền. STB.KIS.M. CA.T.29	CSTB 2310	STB	9	01/03/2024	8.000.000	8.000.000	1.200	5:1	30.333	127
271	Chứng quyền. MSN.KIS.M. CA.T.21	CMSN 2303	MSN	4	02/10/2023	3.000.000	3.000.000	1.000	10:1	77.999	Không có lãi
272	Chứng quyền. MSN.KIS.M. CA.T.22	CMSN 2304	MSN	6	01/12/2023	3.000.000	3.000.000	1.200	10:1	75.678	Không có lãi
273	Chứng quyền. MSN.KIS.M. CA.T.23	CMSN 2305	MSN	9	01/03/2024	3.000.000	3.000.000	1.300	10:1	76.789	352
274	Chứng quyền. VHM.KIS.M. CA.T.18	CVHM2303	VHM	4	02/10/2023	4.000.000	4.000.000	1.000	8:1	59.888	Không có lãi
275	Chứng quyền. VHM.KIS.M. CA.T.19	CVHM2304	VHM	6	01/12/2023	4.000.000	4.000.000	1.100	8:1	53.555	Không có lãi
276	Chứng quyền. VHM.KIS.M. CA.T.20	CVHM2305	VHM	9	01/03/2024	4.000.000	4.000.000	1.200	8:1	57.777	Không có lãi
277	Chứng quyền. VNM.KIS.M. CA.T.19	CVNM2302	VNM	4	02/10/2023	3.000.000	3.000.000	1.000	9,6846:1	69.944	611
278	Chứng quyền. VNM.KIS.M. CA.T.20	CVNM2303	VNM	6	01/12/2023	3.000.000	3.000.000	1.000	9,6846:1	67.791	36
279	Chứng quyền. VNM.KIS.M. CA.T.21	CVNM2304	VNM	9	01/03/2024	3.000.000	3.000.000	1.200	9,6846:1	68.760	352
280	Chứng quyền. VRE.KIS.M. CA.T.23	CVRE 2304	VRE	4	02/10/2023	5.000.000	5.000.000	1.000	5:1	29.999	Không có lãi
281	Chứng quyền. VRE.KIS.M. CA.T.24	CVRE 2305	VRE	6	01/12/2023	5.000.000	5.000.000	1.100	5:1	27.111	Không có lãi
282	Chứng quyền. VRE.KIS.M. CA.T.25	CVRE 2306	VRE	9	01/03/2024	5.000.000	5.000.000	1.200	5:1	29.333	Không có lãi
283	Chứng quyền. NVL.KIS.M. CA.T.17	CNVL 2302	NVL	5	23/11/2023	3.000.000	3.000.000	1.000	4:1	16.333	49
284	Chứng quyền. NVL.KIS.M. CA.T.18	CNVL 2303	NVL	9	25/03/2024	3.000.000	3.000.000	1.300	4:1	17.333	Không có lãi
285	Chứng quyền. VIC.KIS.M. CA.T.19	CVIC 2302	VIC	5	23/11/2023	3.000.000	3.000.000	1.000	8:1	55.666	Không có lãi
286	Chứng quyền. VIC.KIS.M. CA.T.20	CVIC 2303	VIC	6	25/12/2023	3.000.000	3.000.000	1.100	8:1	57.777	Không có lãi
287	Chứng quyền. VIC.KIS.M. CA.T.21	CVIC 2304	VIC	9	25/03/2024	3.000.000	3.000.000	1.200	8:1	59.888	Không có lãi
288	Chứng quyền. HDB.KIS.M. CA.T.17	CHDB 2302	HDB	5	23/11/2023	2.000.000	2.000.000	1.000	3,4815:1	16.336	619

289	Chứng quyền. HDB.KIS.M. CA.T.18	CHDB 2303	HDB	6	25/12/2023	2.000.000	2.000.000	1.000	3,4815:1	17.504	364
290	Chứng quyền. HDB.KIS.M. CA.T.19	CHDB 2304	HDB	9	25/03/2024	2.000.000	2.000.000	1.100	3,4815:1	18.277	1.354
291	Chứng quyền. PDR.KIS.M. CA.T.11	CPDR 2302	PDR	5	23/11/2023	3.000.000	3.000.000	1.100	4:1	18.666	2.384
292	Chứng quyền. PDR.KIS.M. CA.T.12	CPDR 2303	PDR	9	25/03/2024	3.000.000	3.000.000	1.300	4:1	19.888	2.468
293	Chứng quyền. POW.KIS.M. CA.T.09	CPOW 2302	POW	5	23/11/2023	2.000.000	2.000.000	1.000	2:1	14.333	Không có lãi
294	Chứng quyền. POW.KIS.M.CA .T.10	CPOW 2303	POW	6	25/12/2023	2.000.000	2.000.000	1.100	2:1	15.333	Không có lãi
295	Chứng quyền. POW.KIS.M. CA.T.11	CPOW 2304	POW	9	25/03/2024	2.000.000	2.000.000	1.300	2:1	16.333	Không có lãi
296	Chứng quyền. STB.KIS.M. CA.T.30	CSTB 2312	STB	12	24/06/2024	3.000.000	3.000.000	1.400	5:1	33.333	Không có lãi
297	Chứng quyền. HPG.KIS.M. CA.T.32	CHPG 2315	HPG	12	24/06/2024	3.000.000	3.000.000	1.400	4:1	27.777	1.120
298	Chứng quyền .HPG.KIS.M. CA.T.34	CHPG 2317	HPG	8	26/02/2024	3.000.000	3.000.000	1.200	4:1	26.888	486
299	Chứng quyền. HPG.KIS.M.CA. T.35	CHPG 2318	HPG	9	26/03/2024	3.000.000	3.000.000	1.200	4:1	29.222	235
300	Chứng quyền. HPG.KIS.M.CA. T.36	CHPG 2319	HPG	12	26/06/2024	3.000.000	3.000.000	1.400	4:1	30.111	457
301	Chứng quyền. STB.KIS.M.CA. T.32	CSTB 2314	STB	8	26/02/2024	3.000.000	3.000.000	1.100	5:1	32.222	Không có lãi
302	Chứng quyền. STB.KIS.M.CA. T.33	CSTB 2315	STB	9	26/03/2024	3.000.000	3.000.000	1.100	5:1	34.333	Không có lãi
303	Chứng quyền. STB.KIS.M.CA. T.34	CSTB 2316	STB	12	26/06/2024	3.000.000	3.000.000	1.300	5:1	36.333	Không có lãi
304	Chứng quyền. MSN.KIS.M.CA. T.24	CMSN 2306	MSN	8	26/02/2024	2.000.000	2.000.000	1.200	10:1	79.999	Không có lãi
305	Chứng quyền. MSN.KIS.M.CA. T.25	CMSN 2307	MSN	12	26/06/2024	2.000.000	2.000.000	1.400	10:1	82.999	Không có lãi
306	Chứng quyền. VNM.KIS.M.CA .T.22	CVNM 2305	VNM	8	26/02/2024	2.000.000	2.000.000	1.100	9,6846:1	71.020	100
307	Chứng quyền. VNM.KIS.M.CA .T.23	CVNM2306	VNM	12	26/06/2024	2.000.000	2.000.000	1.300	9,6846:1	73.172	Không có lãi
308	Chứng quyền. VIC.KIS.M. CA.T.22	CVIC 2305	VIC	8	26/02/2024	2.000.000	2.000.000	1.100	8:1	61.111	Không có lãi
309	Chứng quyền. VIC.KIS.M. CA.T.23	CVIC 2306	VIC	12	26/06/2024	2.000.000	2.000.000	1.300	8:1	62.222	Không có lãi

310	Chứng quyền. VHM.KIS.M.CA .T.21	CVHM2306	VHM	8	26/02/2024	2.000.000	2.000.000	1.100	8:1	60.666	Không có lãi
311	Chứng quyền. VHM.KIS.M.CA .T.22	CVHM2307	VHM	12	26/06/2024	2.000.000	2.000.000	1.300	8:1	62.666	Không có lãi
312	Chứng quyền. VRE.KIS.M.CA. T.26	CVRE 2307	VRE	8	26/02/2024	2.000.000	2.000.000	1.100	5:1	30.333	Không có lãi
313	Chứng quyền. VRE.KIS.M.CA. T.27	CVRE 2308	VRE	12	26/06/2024	2.000.000	2.000.000	1.300	5:1	31.333	Không có lãi
314	Chứng quyền. NVL.KIS.M.CA. T.19	CNVL 2304	NVL	6	26/12/2023	3.000.000	3.000.000	1.100	4:1	15.555	294
315	Chứng quyền. NVL.KIS.M.CA. T.20	CNVL 2305	NVL	12	26/06/2024	3.000.000	3.000.000	1.300	4:1	16.999	Không có lãi
316	Chứng quyền. PDR.KIS.M.CA. T.13	CPDR 2304	PDR	6	26/12/2023	3.000.000	3.000.000	1.100	4:1	17.999	2.183
317	Chứng quyền. PDR.KIS.M.CA. T.14	CPDR 2305	PDR	12	26/06/2024	3.000.000	3.000.000	1.300	4:1	20.222	1.671
318	Chứng quyền. POW.KIS.M.CA .T.12	CPOW 2305	POW	8	26/02/2024	2.000.000	2.000.000	1.200	2:1	14.999	Không có lãi
319	Chứng quyền. POW.KIS.M.CA .T.13	CPOW 2306	POW	12	26/06/2024	2.000.000	2.000.000	1.400	2:1	15.999	Không có lãi
320	Chứng quyền. HDB.KIS.M.CA. T.20	CHDB 2305	HDB	8	26/02/2024	2.000.000	2.000.000	1.100	3,4815:1	18.471	1.350
321	Chứng quyền. HDB.KIS.M.CA. T.21	CHDB 2306	HDB	12	26/06/2024	2.000.000	2.000.000	1.200	3,4815:1	19.341	1.074
322	Chứng quyền. HPG.KIS.M. CA.T.37	CHPG 2335	HPG	4	05/02/2024	8.000.000	8.000.000	1.000	4:1	27.111	197
323	Chứng quyền. MSN.KIS.M. CA.T.26	CMSN 2314	MSN	4	05/02/2024	3.000.000	3.000.000	1.000	10:1	78.999	Không có lãi
324	Chứng quyền. POW.KIS.M. CA.T.14	CPOW 2312	POW	4	05/02/2024	3.000.000	3.000.000	1.000	2:1	12.222	Không có lãi
325	Chứng quyền. STB.KIS.M. CA.T.35	CSTB 2329	STB	4	05/02/2024	8.000.000	8.000.000	1.000	4:1	31.888	Không có lãi
326	Chứng quyền. VHM.KIS.M. CA.T.23	CVHM2314	VHM	4	05/02/2024	3.000.000	3.000.000	1.000	8:1	48.888	Không có lãi
327	Chứng quyền. VIC.KIS.M. CA.T.24	CVIC 2310	VIC	4	05/02/2024	5.000.000	5.000.000	1.000	8:1	49.999	Không có lãi
328	Chứng quyền. VNM.KIS.M. CA.T.24	CVNM2312	VNM	4	05/02/2024	3.000.000	3.000.000	1.000	9,9265:1	79.411	Không có lãi
329	Chứng quyền. VRE.KIS.M. CA.T.28	CVRE 2316	VRE	4	05/02/2024	3.000.000	3.000.000	1.000	4:1	28.999	Không có lãi
330	Chứng quyền. SHB.KIS.M. CA.T.01	CSHB 2301	SHB	4	05/02/2024	8.000.000	8.000.000	1.000	2:1	11.333	259

331	Chứng quyền. VIC.KIS.M. CA.T.25	CVIC 2311	VIC	5	04/03/2024	5.000.000	5.000.000	1.100	8:1	51.999	Không có lãi
332	Chứng quyền. MBB.KIS.M. CA.T.08	CMBB 2316	MBB	7	06/05/2024	1.700.000	1.700.000	1.000	10:1	17.999	437
333	Chứng quyền. MWG.KIS.M. CA.T.05	CMWG 2315	MWG	7	06/05/2024	1.300.000	1.300.000	1.000	20:1	48.888	279
334	Chứng quyền. TPB.KIS.M. CA.T.05	CTPB 2304	TPB	7	06/05/2024	2.500.000	2.500.000	1.000	4:1	18.888	Không có lãi
335	Chứng quyền. VPB.KIS.M. CA.T.08	CVPB 2316	VPB	7	06/05/2024	3.000.000	3.000.000	1.000	4,7658:1	22.357	Không có lãi
336	Chứng quyền. HPG.KIS.M. CA.T.38	CHPG 2336	HPG	7	06/05/2024	8.000.000	8.000.000	1.100	4:1	28.111	110
337	Chứng quyền. MSN.KIS.M. CA.T.27	CMSN 2315	MSN	7	06/05/2024	3.000.000	3.000.000	1.200	10:1	81.999	Không có lãi
338	Chứng quyền. STB.KIS.M. CA.T.36	CSTB 2330	STB	7	06/05/2024	8.000.000	8.000.000	1.100	4:1	33.999	Không có lãi
339	Chứng quyền. VHM.KIS.M. CA.T.24	CVHM2315	VHM	7	06/05/2024	3.000.000	3.000.000	1.100	8:1	50.888	Không có lãi
340	Chứng quyền. VNM.KIS.M. CA.T.25	CVNM2313	VNM	7	06/05/2024	3.000.000	3.000.000	1.100	9,7998:1	82.298	Không có lãi
341	Chứng quyền. VRE.KIS.M. CA.T.29	CVRE 2317	VRE	7	06/05/2024	3.000.000	3.000.000	1.100	4:1	29.999	Không có lãi
342	Chứng quyền. SHB.KIS.M. CA.T.02	CSHB 2302	SHB	7	06/05/2024	8.000.000	8.000.000	1.000	2:1	11.999	Không có lãi
343	Chứng quyền. SHB.KIS.M. CA.T.03	CSHB 2303	SHB	9	04/07/2024	8.000.000	4.000.000	1.100	2:1	12.345	Không có lãi
344	Chứng quyền. HPG.KIS.M. CA.T.39	CHPG 2337	HPG	9	05/07/2024	4.000.000	4.000.000	1.300	3,6358:1	26.965	439
345	Chứng quyền. STB.KIS.M. CA.T.37	CSTB 2331	STB	9	05/07/2024	4.000.000	3.000.000	1.100	5:1	34.999	Không có lãi
346	Chứng quyền. POW.KIS.M. CA.T.15	CPOW 2313	POW	9	05/07/2024	3.000.000	4.000.000	1.300	2:1	12.888	936
347	Chứng quyền. VIC.KIS.M. CA.T.26	CVIC 2312	VIC	9	05/07/2024	4.000.000	3.000.000	1.100	10:1	52.345	Không có lãi
348	Chứng quyền. VHM.KIS.M. CA.T.25	CVHM2316	VHM	9	05/07/2024	3.000.000	3.000.000	1.300	8:1	51.234	Không có lãi
349	Chứng quyền. VRE.KIS.M. CA.T.30	CVRE 2318	VRE	9	05/07/2024	3.000.000	2.000.000	1.300	4:1	30.999	Không có lãi
350	Chứng quyền. VPB.KIS.M. CA.T.09	CVPB 2317	VPB	9	05/07/2024	2.000.000	3.000.000	1.100	3,62:1	21.720	Không có lãi

351	Chứng quyền. TPB.KIS.M. CA.T.06	CTPB 2305	TPB	9	05/07/2024	3.000.000	3.000.000	1.100	3,8922:1	18.812	Không có lãi
352	Chứng quyền. SHB.KIS.M. CA.T.04	CSHB 2304	SHB	9	05/07/2024	3.000.000	3.000.001	1.000	5:1	11.666	Không có lãi
353	Chứng quyền. STB.KIS. M.CA.T.31	CSTB 2313	STB	15	23/09/2024	3.000.000	3.000.000	1.500	5:1	35.555	Không có lãi
354	Chứng quyền. HPG.KIS. M.CA.T.33	CHPG 2316	HPG	15	23/09/2024	3.000.000	3.000.000	1.500	3,6358:1	26.258	Không có lãi
355	Chứng quyền. HPG.KIS. M.CA.T.40	CHPG 2338	HPG	12	07/10/2024	4.000.000	4.000.000	1.600	3,6358:1	27.267	Không có lãi
356	Chứng quyền. STB.KIS.M. CA.T.38	CSTB 2332	STB	12	07/10/2024	4.000.000	4.000.000	1.300	5:1	35.888	Không có lãi
357	Chứng quyền. MSN.KIS. M.CA.T.28	CMSN 2316	MSN	12	07/10/2024	3.000.000	3.000.000	2.000	10:1	83.979	Không có lãi
358	Chứng quyền. POW.KIS. M.CA.T.16	CPOW 2314	POW	12	07/10/2024	3.000.000	3.000.000	1.600	2:1	13.111	Không có lãi
359	Chứng quyền. VIC.KIS. M.CA.T.27	CVIC 2313	VIC	12	07/10/2024	4.000.000	4.000.000	1.300	10:1	53.456	Không có lãi
360	Chứng quyền. VHM.KIS. M.CA.T.26	CVHM2317	VHM	12	07/10/2024	3.000.000	3.000.000	1.500	8:1	52.345	Không có lãi
361	Chứng quyền. VRE.KIS. M.CA.T.31	CVRE 2319	VRE	12	07/10/2024	3.000.000	3.000.000	1.600	4:1	31.777	Không có lãi
362	Chứng quyền. VNM.KIS. M.CA.T.26	CVNM2314	VNM	12	07/10/2024	3.000.000	3.000.000	1.600	7,5817:1	82.345	Không có lãi
363	Chứng quyền. VPB.KIS. M.CA.T.10	CVPB 2318	VPB	12	07/10/2024	2.000.000	2.000.000	1.300	3,6200:1	22.233	Không có lãi
364	Chứng quyền. TPB.KIS. M.CA.T.07	CTPB 2306	TPB	12	07/10/2024	2.000.000	2.000.000	2.500	1,6235:1	16.144	718
365	Chứng quyền. SHB.KIS. M.CA.T.05	CSHB 2305	SHB	12	07/10/2024	3.000.000	3.000.000	1.000	4,7890:1	12.025	Không có lãi
366	Chứng quyền. HPG.KIS. M.CA.T.41	CHPG 2339	HPG	15	06/01/2025	3.000.000	3.000.000	4.100	1,8179:1	27.470	Không có lãi
367	Chứng quyền. STB.KIS. M.CA.T.39	CSTB 2333	STB	15	06/01/2025	3.000.000	3.000.000	4.600	2:1	36.111	470
368	Chứng quyền. MSN.KIS. M.CA.T.29	CMSN 2317	MSN	15	06/01/2025	2.000.000	2.000.000	2.900	8:1	86.868	Không có lãi
369	Chứng quyền. POW.KIS. M.CA.T.17	CPOW 2315	POW	15	06/01/2025	3.000.000	3.000.000	1.800	2:1	13.456	Không có lãi
370	Chứng quyền. VIC.KIS. M.CA.T.28	CVIC 2314	VIC	15	06/01/2025	3.000.000	3.000.000	2.900	5:1	54.567	Không có lãi
371	Chứng quyền. VHM.KIS. M.CA.T.27	CVHM2318	VHM	15	06/01/2025	3.000.000	3.000.000	2.800	5:1	53.456	Không có lãi
372	Chứng quyền. VRE.KIS. M.CA.T.32	CVRE 2320	VRE	15	06/01/2025	3.000.000	3.000.000	3.500	2:1	32.333	Không có lãi

373	Chứng quyền. HPG.KIS. M.CA.T.41	CHPG 2339	HPG	15	06/01/2025	3.000.000	3.000.000	4.100	1,8179:1	27.470	Không có lãi
374	Chứng quyền. STB.KIS. M.CA.T.39	CSTB 2333	STB	15	06/01/2025	3.000.000	3.000.000	4.600	2:1	36.111	470
375	Chứng quyền. MSN.KIS. M.CA.T.29	CMSN 2317	MSN	15	06/01/2025	2.000.000	2.000.000	2.900	8:1	86.868	Không có lãi
376	Chứng quyền.FPT.KIS. M.CA.T.01	CFPT2406	FPT	4	28/3/2025	1.000.000	1.000.000	1.000	24,8267:1	147.658	Không có lãi
377	Chứng quyền.TCB.KIS. M.CA.T.01	CTCB2405	TCB	4	28/3/2025	1.000.000	1.000.000	1.000	5:01	26.868	186
378	Chứng quyền.MBB.KIS. M.CA.T.09	CMBB2408	MBB	4	28/3/2025	1.000.000	1.000.000	1.000	4,3443:1	24.134	6
379	Chứng quyền.MWG.KI S.M.CA.T.06	CMWG2409	MWG	4	28/3/2025	1.500.000	1.500.000	1.000	10:01	68.888	Không có lãi
380	Chứng quyền.TPB.KIS. M.CA.T.08	CTPB2404	TPB	4	28/3/2025	1.000.000	1.000.000	1.000	4:01	17.888	Không có lãi
381	Chứng quyền.HPG.KIS. M.CA.T.42	CHPG2411	HPG	4	28/3/2025	8.000.000	8.000.000	1.000	4:01	29.999	Không có lãi
382	Chứng quyền.STB.KIS. M.CA.T.40	CSTB2412	STB	4	28/3/2025	8.000.000	8.000.000	1.000	4:01	38.686	Không có lãi
383	Chứng quyền.MSN.KIS. M.CA.T.30	CMSN2407	MSN	4	28/3/2025	8.000.000	8.000.000	1.000	10:01	83.456	Không có lãi
384	Chứng quyền.VIC.KIS. M.CA.T.29	CVIC2406	VIC	4	28/3/2025	6.000.000	6.000.000	1.000	5:01	46.888	1.850
385	Chứng quyền.VHM.KIS .M.CA.T.28	CVHM2410	VHM	4	28/3/2025	6.000.000	6.000.000	1.000	5:01	47.999	550
386	Chứng quyền.VRE.KIS. M.CA.T.33	CVRE2409	VRE	4	28/3/2025	6.000.000	6.000.000	1.000	4:01	19.999	Không có lãi
387	Chứng quyền.VPB.KIS. M.CA.T.12	CVPB2411	VPB	4	28/3/2025	6.000.000	6.000.000	1.000	2:01	21.666	Không có lãi
388	Chứng quyền.SHB.KIS. M.CA.T.07	CSHB2402	SHB	4	28/3/2025	6.000.000	6.000.000	1.000	1,8027:1	10.215	1.157
389	Chứng quyền.HDB.KIS. M.CA.T.22	CHDB2401	HDB	4	28/3/2025	4.000.000	4.000.000	1.000	3,3357:1	25.017	Không có lãi
390	Chứng quyền.SSB.KIS. M.CA.T.01	CSSB2401	SSB	4	28/3/2025	4.000.000	4.000.000	1.000	4:01	19.999	Không có lãi
391	Chứng quyền.VJC.KIS. M.CA.T.18	CVJC2401	VJC	4	28/3/2025	4.000.000	4.000.000	1.000	10:01	119.999	Không có lãi
392	Chứng quyền.VNM.KIS .M.CA.T.28	CVNM2408	VNM	4	28/3/2025	4.000.000	4.000.000	1.000	7,9379:1	76.271	Không có lãi
393	Chứng quyền.FPT.KIS. M.CA.T.02	CFPT2407	FPT	7	30/6/2025	3.000.000	3.000.000	1.000	24,6161:1	157.246	Không có lãi
394	Chứng quyền.TCB.KIS. M.CA.T.02	CTCB2406	TCB	7	30/6/2025	1.000.000	1.000.000	1.000	5:01	27.979	1.188
395	Chứng quyền.MBB.KIS. M.CA.T.10	CMBB2409	MBB	7	30/6/2025	1.000.000	1.000.000	1.000	4,3443:1	25.099	161
396	Chứng quyền.MWG.KI S.M.CA.T.07	CMWG2410	MWG	7	30/6/2025	1.500.000	1.500.000	1.000	10:01	70.777	Không có lãi

397	Chứng quyền.TPB.KIS.M.CA.T.09	CTPB2405	TPB	7	30/6/2025	4.000.000	4.000.000	1.000	3,7288:1	17.711	Không có lãi
398	Chứng quyền.HPG.KIS.M.CA.T.43	CHPG2412	HPG	7	30/6/2025	8.000.000	8.000.000	1.000	4:01	31.333	Không có lãi
399	Chứng quyền.STB.KIS.M.CA.T.41	CSTB2413	STB	7	30/6/2025	8.000.000	8.000.000	1.000	4:01	39.679	1.725
400	Chứng quyền.MSN.KIS.M.CA.T.31	CMSN2408	MSN	7	30/6/2025	8.000.000	8.000.000	1.000	10:01	85.678	Không có lãi
401	Chứng quyền.VIC.KIS.M.CA.T.30	CVIC2407	VIC	7	30/6/2025	4.000.000	4.000.000	1.000	5:01	48.999	9.196
402	Chứng quyền.VHM.KIS.M.CA.T.29	CVHM2411	VHM	7	30/6/2025	4.000.000	4.000.000	1.000	5:01	50.555	5.177
403	Chứng quyền.VRE.KIS.M.CA.T.34	CVRE2410	VRE	7	30/6/2025	4.000.000	4.000.000	1.000	4:01	21.888	708
404	Chứng quyền.VPB.KIS.M.CA.T.13	CVPB2412	VPB	7	30/6/2025	4.000.000	4.000.000	1.000	1,9471:1	22.174	Không có lãi
405	Chứng quyền.SHB.KIS.M.CA.T.08	CSHB2403	SHB	7	30/6/2025	4.000.000	4.000.000	1.000	1,8027:1	11.116	1.330
406	Chứng quyền.HDB.KIS.M.CA.T.23	CHDB2502	HDB	4	21/7/2025	4.000.000	4.000.000	1.000	4:1	24.444	Không có lãi
407	Chứng quyền.HPG.KIS.M.CA.T.44	CHPG2511	HPG	4	21/7/2025	8.000.000	8.000.000	1.000	4:1	28.888	539
408	Chứng quyền.MSN.KIS.M.CA.T.32	CMSN2505	MSN	4	21/7/2025	8.000.000	8.000.000	1.000	10:1	73.333	269
409	Chứng quyền.SHB.KIS.M.CA.T.09	CSHB2502	SHB	4	21/7/2025	6.000.000	6.000.000	1.000	1,9270:1	11.946	1.170
410	Chứng quyền.SSB.KIS.M.CA.T.02	CSSB2501	SSB	4	21/7/2025	4.000.000	4.000.000	1.000	4:1	20.678	Không có lãi
411	Chứng quyền.STB.KIS.M.CA.T.42	CSTB2507	STB	4	21/7/2025	8.000.000	8.000.000	1.000	4:1	39.999	2.068
412	Chứng quyền.VHM.KIS.M.CA.T.30	CVHM2505	VHM	4	21/7/2025	8.000.000	1.700.000	1.000	5:1	49.999	8.172
413	Chứng quyền.VIC.KIS.M.CA.T.31	CVIC2504	VIC	4	21/7/2025	8.000.000	1.200.000	1.000	5:1	54.444	12.431
414	Chứng quyền.VJC.KIS.M.CA.T.19	CVJC2502	VJC	4	21/7/2025	4.000.000	4.000.000	1.000	10:1	99.999	Không có lãi
415	Chứng quyền.VNM.KIS.M.CA.T.29	CVNM2506	VNM	4	21/7/2025	4.000.000	4.000.000	1.000	7,7265:1	63.314	Không có lãi
416	Chứng quyền.VPB.KIS.M.CA.T.14	CVPB2507	VPB	4	21/7/2025	6.000.000	6.000.000	1.000	1,9471:1	20.119	437
417	Chứng quyền.VRE.KIS.M.CA.T.35	CVRE2506	VRE	4	21/7/2025	8.000.000	2.300.000	1.000	4:1	18.999	2.490
418	Chứng quyền.HDB.KIS.M.CA.T.24	CHDB2503	HDB	6	19/9/2025	3.000.000	3.000.000	1.000	4:01	25.555	1.394
419	Chứng quyền.HPG.KIS.M.CA.T.45	CHPG2512	HPG	6	19/9/2025	8.000.000	8.000.000	1.000	3,3309:1	24.888	1.454
420	Chứng quyền.MSN.KIS.M.CA.T.33	CMSN2506	MSN	6	19/9/2025	8.000.000	8.000.000	1.000	10:01	75.555	1.035
421	Chứng quyền.SHB.KIS.M.CA.T.10	CSHB2503	SHB	6	19/9/2025	5.000.000	5.000.000	1.000	1,7052:1	10.912	4.092

422	Chứng quyền.SSB.KIS.M.CA.T.03	CSSB2502	SSB	6	19/9/2025	3.000.000	3.000.000	1.000	4:01	21.234	Không có lãi
423	Chứng quyền.STB.KIS.M.CA.T.43	CSTB2508	STB	6	19/9/2025	8.000.000	8.000.000	1.000	4:01	40.999	3.785
424	Chứng quyền.VHM.KIS.M.CA.T.31	CVHM2506	VHM	6	19/9/2025	6.000.000	1.000.000	1.000	5:01	51.111	10.542
425	Chứng quyền.VIC.KIS.M.CA.T.32	CVIC2505	VIC	6	19/9/2025	6.000.000	1.100.000	1.000	5:01	55.555	16.837
426	Chứng quyền.VJC.KIS.M.CA.T.20	CVJC2503	VJC	6	19/9/2025	3.000.000	3.000.000	1.000	10:01	109.999	3.308
427	Chứng quyền.VNM.KIS.M.CA.T.30	CVNM2507	VNM	6	19/9/2025	3.000.000	3.000.000	1.000	7,7265:1	65.460	Không có lãi
428	Chứng quyền.VPB.KIS.M.CA.T.15	CVPB2508	VPB	6	19/9/2025	5.000.000	5.000.000	1.000	1,9471:1	21.093	5.258
429	Chứng quyền.VRE.KIS.M.CA.T.36	CVRE2507	VRE	6	19/9/2025	6.000.000	700.000	1.000	4:01	19.888	2.648
430	Chứng quyền.HPG.KIS.M.CA.T.46	CHPG2513	HPG	7	20/10/2025	8.000.000	8.000.000	1.000	3,3309:1	25.721	795
431	Chứng quyền.MSN.KIS.M.CA.T.34	CMSN2507	MSN	7	20/10/2025	8.000.000	8.000.000	1.000	10:01	77.999	724
432	Chứng quyền.STB.KIS.M.CA.T.44	CSTB2509	STB	7	20/10/2025	8.000.000	8.000.000	1.000	4:01	42.999	4.255
433	Chứng quyền.VHM.KIS.M.CA.T.32	CVHM2507	VHM	7	20/10/2025	6.000.000	1.100.000	1.000	5:01	53.333	13.861
434	Chứng quyền.VIC.KIS.M.CA.T.33	CVIC2506	VIC	7	20/10/2025	6.000.000	700.000	1.000	5:01	57.777	30.201
435	Chứng quyền.VRE.KIS.M.CA.T.37	CVRE2508	VRE	7	20/10/2025	6.000.000	700.000	1.000	4:01	20.888	5.381
436	Chứng quyền.FPT.KIS.M.CA.T.03	CFPT2509	FPT	8	19/11/2025	1.000.000	1.000.000	1.000	21,5616:1	120.744	Không có lãi
437	Chứng quyền.HDB.KIS.M.CA.T.25	CHDB2504	HDB	8	19/11/2025	4.000.000	4.000.000	1.000	4:01	26.666	849
438	Chứng quyền.HPG.KIS.M.CA.T.47	CHPG2514	HPG	8	19/11/2025	8.000.000	8.000.000	1.000	3,3309:1	26.369	204
439	Chứng quyền.MSN.KIS.M.CA.T.35	CMSN2508	MSN	8	19/11/2025	8.000.000	8.000.000	1.000	10:01	79.777	Không có lãi
440	Chứng quyền.MWG.KIS.M.CA.T.08	CMWG2507	MWG	8	19/11/2025	1.500.000	1.500.000	1.000	9,8582:1	65.720	1.599
441	Chứng quyền.SHB.KIS.M.CA.T.11	CSHB2504	SHB	8	19/11/2025	6.000.000	6.000.000	1.000	1,7052:1	11.424	2.930
442	Chứng quyền.SSB.KIS.M.CA.T.04	CSSB2503	SSB	8	19/11/2025	4.000.000	4.000.000	1.000	4:01	22.345	Không có lãi
443	Chứng quyền.STB.KIS.M.CA.T.45	CSTB2510	STB	8	19/11/2025	8.000.000	8.000.000	1.000	4:01	43.999	1.288
444	Chứng quyền.VHM.KIS.M.CA.T.33	CVHM2508	VHM	8	19/11/2025	6.000.000	4.100.000	1.000	5:01	54.444	8.039
445	Chứng quyền.VIC.KIS.M.CA.T.34	CVIC2507	VIC	8	19/11/2025	6.000.000	700.000	1.000	5:01	58.888	31.038
446	Chứng quyền.VNM.KIS.M.CA.T.31	CVNM2508	VNM	8	19/11/2025	4.000.000	4.000.000	1.000	7,3863:1	64.630	Không có lãi

447	Chứng quyền.VPB.KIS.M.CA.T.16	CVPB2509	VPB	8	19/11/2025	6.000.000	6.000.000	1.100	1,9471:1	22.066	3.094
448	Chứng quyền.VRE.KIS.M.CA.T.38	CVRE2509	VRE	8	19/11/2025	6.000.000	1.100.000	1.000	4:01	21.555	2.681
449	Chứng quyền.HPG.KIS.M.CA.T.50	CHPG2526	HPG	5	3/12/2025	6.000.000	6.000.000	1.000	4:01	27.111	Không có lãi
450	Chứng quyền.MSN.KIS.M.CA.T.38	CMSN2517	MSN	5	3/12/2025	6.000.000	6.000.000	1.000	10:01	86.688	Không có lãi
451	Chứng quyền.SHB.KIS.M.CA.T.13	CSHB2507	SHB	5	3/12/2025	4.000.000	1.976.800	1.000	1,7698:1	12.978	2.188
452	Chứng quyền.SSB.KIS.M.CA.T.06	CSSB2505	SSB	5	3/12/2025	3.000.000	3.000.000	1.000	2:01	20.222	Không có lãi
453	Chứng quyền.STB.KIS.M.CA.T.48	CSTB2522	STB	5	3/12/2025	5.000.000	5.000.000	1.000	5:01	54.567	Không có lãi
454	Chứng quyền.TCB.KIS.M.CA.T.03	CTCB2513	TCB	5	3/12/2025	1.000.000	1.000.000	1.100	5:01	41.888	Không có lãi
455	Chứng quyền.VHM.KIS.M.CA.T.35	CVHM2517	VHM	5	3/12/2025	4.000.000	523.800	1.100	5:01	88.888	3.022
456	Chứng quyền.VIC.KIS.M.CA.T.36	CVIC2510	VIC	5	3/12/2025	4.000.000	1.132.400	1.100	8:01	109.999	18.708
457	Chứng quyền.VJC.KIS.M.CA.T.21	CVJC2504	VJC	5	3/12/2025	4.000.000	1.036.900	1.000	20:01	97.979	3.452
458	Chứng quyền.VNM.KIS.M.CA.T.33	CVNM2516	VNM	5	3/12/2025	4.000.000	4.000.000	1.000	7,6478:1	61.181	335
459	Chứng quyền.VPB.KIS.M.CA.T.18	CVPB2517	VPB	5	3/12/2025	4.000.000	542.100	1.000	4:01	19.999	2.278
460	Chứng quyền.VRE.KIS.M.CA.T.40	CVRE2517	VRE	5	3/12/2025	3.000.000	300.100	1.100	2:01	27.999	3.156
461	Chứng quyền.LPB.KIS.M.CA.T.01	CLPB2504	LPB	5	3/12/2025	4.000.000	400.100	1.100	4:01	35.656	3.231
462	Chứng quyền.VIB.KIS.M.CA.T.01	CVIB2509	VIB	5	3/12/2025	1.000.000	1.000.000	1.000	3,513:1	18.388	26
463	Chứng quyền.HPG.KIS.M.CA.T.48	CHPG2515	HPG	9	19/12/2025	8.000.000	8.000.000	1.000	3,3309:1	26.832	Không có lãi
464	Chứng quyền.MSN.KIS.M.CA.T.36	CMSN2509	MSN	9	19/12/2025	8.000.000	8.000.000	1.000	10:01	81.999	Không có lãi
465	Chứng quyền.STB.KIS.M.CA.T.46	CSTB2511	STB	9	19/12/2025	8.000.000	8.000.000	1.000	4:01	44.999	503
466	Chứng quyền.VHM.KIS.M.CA.T.34	CVHM2509	VHM	9	19/12/2025	4.000.000	600.000	1.000	5:01	56.666	7.523
467	Chứng quyền.VIC.KIS.M.CA.T.35	CVIC2508	VIC	9	19/12/2025	4.000.000	700.000	1.000	2,500:1	30.500	45.032
468	Chứng quyền.VRE.KIS.M.CA.T.39	CVRE2510	VRE	9	19/12/2025	4.000.000	4.000.000	1.000	4:01	22.111	1.772
469	Chứng quyền.FPT.KIS.M.CA.T.05	CFPT2522	FPT	4	25/12/2025	1.000.000	1.000.000	1.000	24,7425:1	115.586	Không có lãi
470	Chứng quyền.SHB.KIS.M.CA.T.17	CSHB2512	SHB	4	25/12/2025	4.000.000	4.000.000	1.000	2:01	20.345	Không có lãi
471	Chứng quyền.TCB.KIS.M.CA.T.04	CTCB2515	TCB	4	25/12/2025	1.000.000	1.000.000	1.000	4,871:1	44.812	Không có lãi

472	Chứng quyền.TPB.KIS.M.CA.T.11	CTPB2508	TPB	4	25/12/2025	1.000.000	1.000.000	1.000	1,9063:1	24.210	Không có lãi
473	Chứng quyền.LPB.KIS.M.CA.T.02	CLPB2505	LPB	6	5/1/2026	4.000.000	516.600	1.100	4:01	36.688	1.278
474	Chứng quyền.FPT.KIS.M.CA.T.04	CFPT2510	FPT	11	23/2/2026	1.000.000	1.000.000	1.000	21,3395:1	131.451	Không có lãi
475	Chứng quyền.HDB.KIS.M.CA.T.26	CHDB2505	HDB	11	23/2/2026	2.000.000	2.000.000	1.000	3,0841:1	21.417	1.969
476	Chứng quyền.HPG.KIS.M.CA.T.49	CHPG2516	HPG	11	23/2/2026	8.000.000	8.000.000	1.000	3,3309:1	27.572	Không có lãi
477	Chứng quyền.MSN.KIS.M.CA.T.37	CMSN2510	MSN	11	23/2/2026	8.000.000	8.000.000	1.000	10:01	83.399	Không có lãi
478	Chứng quyền.MWG.KIS.M.CA.T.09	CMWG2508	MWG	11	23/2/2026	1.500.000	1.500.000	1.000	9,8582:1	71.964	1.955
479	Chứng quyền.SHB.KIS.M.CA.T.12	CSHB2505	SHB	11	23/2/2026	4.000.000	4.000.000	1.000	1,7052:1	11.765	2.108
480	Chứng quyền.SSB.KIS.M.CA.T.05	CSSB2504	SSB	11	23/2/2026	2.000.000	2.000.000	1.000	4:01	23.123	Không có lãi
481	Chứng quyền.STB.KIS.M.CA.T.47	CSTB2512	STB	11	23/2/2026	8.000.000	8.000.000	1.100	4:01	45.999	3.730
482	Chứng quyền.VNM.KIS.M.CA.T.32	CVNM2509	VNM	11	23/2/2026	2.000.000	2.000.000	1.000	7,3863:1	66.476	358
483	Chứng quyền.VPB.KIS.M.CA.T.17	CVPB2510	VPB	11	23/2/2026	4.000.000	4.000.000	1.100	1,9471:1	23.04	2.362
484	Chứng quyền.SHB.KIS.M.CA.T.14	CSHB2508	SHB	7	3/2/2026	4.000.000	4.000.000	1.000	1,7698:1	13.174	1.569
485	Chứng quyền.SSB.KIS.M.CA.T.07	CSSB2506	SSB	7	3/2/2026	3.000.000	3.000.000	1.000	2:01	20.555	Không có lãi
486	Chứng quyền.VNM.KIS.M.CA.T.34	CVNM2517	VNM	7	3/2/2026	4.000.000	4.000.000	1.000	9,5597:1	63.943	627
487	Chứng quyền.VPB.KIS.M.CA.T.19	CVPB2518	VPB	7	3/2/2026	4.000.000	435.600	1.000	4:01	20.999	1.690
488	Chứng quyền.LPB.KIS.M.CA.T.03	CLPB2506	LPB	7	3/2/2026	4.000.000	425.500	1.100	5:01	37.399	894
489	Chứng quyền.TPB.KIS.M.CA.T.10	CTPB2505	TPB	8	3/3/2026	1.000.000	1.000.000	1.000	3,8127:1	14.944	867
490	Chứng quyền.VJC.KIS.M.CA.T.22	CVJC2505	VJC	8	3/3/2026	5.000.000	1.928.300	1.000	20:01	106.868	3.541
491	Chứng quyền.LPB.KIS.M.CA.T.04	CLPB2507	LPB	8	3/3/2026	4.000.000	493.400	1.100	5:01	37.979	924
492	Chứng quyền.VIB.KIS.M.CA.T.02	CVIB2510	VIB	8	3/3/2026	1.000.000	1.000.000	1.000	3,513:1	19.223	Không có lãi
493	Chứng quyền.FPT.KIS.M.CA.T.06	CFPT2523	FPT	7	25/3/2026	1.000.000	1.000.000	1.000	24,7423:1	116.586	Không có lãi
494	Chứng quyền.SHB.KIS.M.CA.T.18	CSHB2513	SHB	7	25/3/2026	4.000.000	4.000.000	1.000	2:01	20.567	Không có lãi
495	Chứng quyền.TCB.KIS.M.CA.T.05	CTCB2516	TCB	7	25/3/2026	1.000.000	1.000.000	1.000	4,8710:1	45.202	Không có lãi
496	Chứng quyền.TPB.KIS.M.CA.T.12	CTPB2509	TPB	7	25/3/2026	1.000.000	1.000.000	1.100	1,9063:1	24.591	Không có lãi

497	Chứng quyền.VRE.KIS.M.CA.T.44	CVRE2523	VRE	7	25/3/2026	4.000.000	4.000.000	1.000	4:01	34.999	Không có lãi
498	Chứng quyền.SHB.KIS.M.CA.T.15	CSHB2509	SHB	9	3/4/2026	4.000.000	4.000.000	1.100	1,7460:1	13.289	1.028
499	Chứng quyền.SSB.KIS.M.CA.T.08	CSSB2507	SSB	9	3/4/2026	3.000.000	3.000.000	1.000	4:01	20.999	Không có lãi
500	Chứng quyền.VNM.KIS.M.CA.T.35	CVNM2518	VNM	9	3/4/2026	4.000.000	4.000.000	1.000	9,5597:1	65.112	Không có lãi
501	Chứng quyền.VPB.KIS.M.CA.T.20	CVPB2519	VPB	9	3/4/2026	4.000.000	640.300	1.000	4:01	21.888	1.143
502	Chứng quyền.LPB.KIS.M.CA.T.05	CLPB2508	LPB	9	3/4/2026	4.000.000	1.096.600	1.100	8:01	38.688	410
503	Chứng quyền.HPG.KIS.M.CA.T.51	CHPG2527	HPG	10	4/5/2026	5.000.000	5.000.000	1.000	4:01	27.444	109
504	Chứng quyền.MSN.KIS.M.CA.T.39	CMSN2518	MSN	10	4/5/2026	5.000.000	5.000.000	1.100	10:01	89.999	Không có lãi
505	Chứng quyền.SHB.KIS.M.CA.T.16	CSHB2510	SHB	10	4/5/2026	4.000.000	4.000.000	1.100	1,7460:1	13.482	726
506	Chứng quyền.SSB.KIS.M.CA.T.09	CSSB2508	SSB	10	4/5/2026	3.000.000	3.000.000	1.000	4:01	21.666	Không có lãi
507	Chứng quyền.STB.KIS.M.CA.T.49	CSTB2523	STB	10	4/5/2026	5.000.000	5.000.000	1.100	8:01	56.868	1.202
508	Chứng quyền.VHM.KIS.M.CA.T.36	CVHM2518	VHM	10	4/5/2026	4.000.000	1.814.800	1.100	8:01	90.999	7.063
509	Chứng quyền.VIC.KIS.M.CA.T.37	CVIC2511	VIC	10	4/5/2026	4.000.000	2.736.700	1.100	4:01	56.990	39.418
510	Chứng quyền.VNM.KIS.M.CA.T.36	CVNM2519	VNM	10	4/5/2026	4.000.000	4.000.000	1.100	9,5597:1	65.961	Không có lãi
511	Chứng quyền.VPB.KIS.M.CA.T.21	CVPB2520	VPB	10	4/5/2026	4.000.000	960.500	1.000	4:01	22.222	1.230
512	Chứng quyền.VRE.KIS.M.CA.T.41	CVRE2518	VRE	10	4/5/2026	3.000.000	485.100	1.100	4:01	28.999	295
513	Chứng quyền.VRE.KIS.M.CA.T.42	CVRE2519	VRE	11	3/6/2026	3.000.000	739.600	1.100	4:01	29.999	398
514	Chứng quyền.SSB.KIS.M.CA.T.11	CSSB2601	SSB	4	20/5/2026	3.000.000	3.000.000	1.000	4:1	21.111	Không có lãi
515	Chứng quyền.HPG.KIS.M.CA.T.53	CHPG2611	HPG	4	20/5/2026	3.000.000	3.000.000	1.000	3,9282:1	31.425	Không có lãi
516	Chứng quyền.MSN.KIS.M.CA.T.41	CMSN2607	MSN	4	20/5/2026	3.000.000	3.000.000	1.000	10:1	94.567	Không có lãi
517	Chứng quyền.FPT.KIS.M.CA.T.07	CFPT2524	FPT	10	25/6/2026	1.000.000	1.000.000	1.000	24,4063:1	115.870	Không có lãi
518	Chứng quyền.HPG.KIS.M.CA.T.52	CHPG2534	HPG	10	25/6/2026	6.000.000	6.000.000	1.000	3,3704:1	27.769	Không có lãi
519	Chứng quyền.MSN.KIS.M.CA.T.40	CMSN2520	MSN	10	25/6/2026	6.000.000	6.000.000	1.000	10:01	97.111	Không có lãi
520	Chứng quyền.SHB.KIS.M.CA.T.19	CSHB2514	SHB	10	25/6/2026	4.000.000	4.000.000	1.100	1,9731:1	20.400	Không có lãi
521	Chứng quyền.SSB.KIS.M.CA.T.10	CSSB2509	SSB	10	25/6/2026	2.000.000	2.000.000	1.000	1,7698:1	13.470	Không có lãi

522	Chứng quyền.STB.KIS.M.CA.T.50	CSTB2527	STB	10	25/6/2026	6.000.000	6.000.000	1.100	5:01	66.555	981
523	Chứng quyền.TCB.KIS.M.CA.T.06	CTCB2517	TCB	10	25/6/2026	1.000.000	1.000.000	1.100	4,7707:1	44.644	Không có lãi
524	Chứng quyền.TPB.KIS.M.CA.T.13	CTPB2510	TPB	10	25/6/2026	1.000.000	1.000.000	1.100	1,9063:1	24.686	Không có lãi
525	Chứng quyền.VHM.KIS.M.CA.T.39	CVHM2521	VHM	9	25/5/2026	4.000.000	4.000.000	1.100	8:01	115.678	5.153
526	Chứng quyền.VHM.KIS.M.CA.T.40	CVHM2522	VHM	10	25/6/2026	4.000.000	4.000.000	1.100	8:01	117.688	4.307
527	Chứng quyền.VIC.KIS.M.CA.T.40	CVIC2513	VIC	9	25/5/2026	4.000.000	4.000.000	1.100	5:01	73.500	29.780
528	Chứng quyền.VIC.KIS.M.CA.T.41	CVIC2514	VIC	10	25/6/2026	4.000.000	4.000.000	1.100	5:01	74.444	28.719
529	Chứng quyền.VJC.KIS.M.CA.T.23	CVJC2506	VJC	10	25/6/2026	2.000.000	2.000.000	1.100	7,6919:1	129.069	1.221
530	Chứng quyền.VNM.KIS.M.CA.T.37	CVNM2521	VNM	10	25/6/2026	3.000.000	3.000.000	1.000	9,5597:1	67.300	Không có lãi
531	Chứng quyền.VPB.KIS.M.CA.T.22	CVPB2524	VPB	10	25/6/2026	4.000.000	4.000.000	1.100	3,9302:1	42.359	Không có lãi
532	Chứng quyền.VRE.KIS.M.CA.T.45	CVRE2524	VRE	10	25/6/2026	4.000.000	4.000.000	1.100	4:01	35.888	Không có lãi
533	Chứng quyền.LPB.KIS.M.CA.T.06	CLPB2509	LPB	10	25/6/2026	2.000.000	2.000.000	1.000	7,5596:1	53.232	Không có lãi
534	Chứng quyền.VHM.KIS.M.CA.T.41	CVHM2608	VHM	7	20/8/2026	2.000.000	2.000.000	1.100	5,7778:1	71.687	Không có lãi
535	Chứng quyền.VIC.KIS.M.CA.T.42	CVIC2601	VIC	7	20/8/2026	4.000.000	4.000.000	1.100	20:1	191.818	472
536	Chứng quyền.VRE.KIS.M.CA.T.46	CVRE2602	VRE	7	20/8/2026	2.000.000	2.000.000	1.100	3,863:1	37.073	Không có lãi
537	Chứng quyền.SHB.KIS.M.CA.T.21	CSHB2605	SHB	7	20/8/2026	2.000.000	2.000.000	1.100	1,9731:1	19.532	Không có lãi
538	Chứng quyền.SSB.KIS.M.CA.T.12	CSSB2602	SSB	7	20/8/2026	2.000.000	2.000.000	1.100	3,3184:1	17.753	Không có lãi
539	Chứng quyền.STB.KIS.M.CA.T.51	CSTB2607	STB	7	20/8/2026	2.000.000	2.000.000	1.100	8:1	69.888	504
540	Chứng quyền.TPB.KIS.M.CA.T.14	CTPB2604	TPB	7	20/8/2026	2.000.000	2.000.000	1.100	2:1	20.688	Không có lãi
541	Chứng quyền.VPB.KIS.M.CA.T.23	CVPB2607	VPB	7	20/8/2026	2.000.000	2.000.000	1.100	3,9302:1	33.964	Không có lãi
542	Chứng quyền.HPG.KIS.M.CA.T.54	CHPG2612	HPG	7	20/8/2026	2.000.000	2.000.000	1.100	3,5704:1	29.455	Không có lãi
543	Chứng quyền.MSN.KIS.M.CA.T.42	CMSN2608	MSN	7	20/8/2026	2.000.000	2.000.000	1.100	10:1	95.999	Không có lãi
544	Chứng quyền.VJC.KIS.M.CA.T.24	CVJC2601	VJC	7	20/8/2026	2.000.000	2.000.000	1.100	15,3838:1	169.221	Không có lãi
545	Chứng quyền.VNM.KIS.M.CA.T.38	CVNM2604	VNM	7	20/8/2026	2.000.000	2.000.000	1.100	9,6917:1	81.386	Không có lãi
546	Chứng quyền.DGC.KIS.M.CA.T.01	CDGC2601	DGC	7	20/8/2026	2.000.000	2.000.000	1.100	16:1	76.868	Không có lãi

The settlement status of the Company's covered warrants: All covered warrants issued by the Company that have matured and are in-the-money have been fully and timely settled by the Company to investors in accordance with the regulations of the competent State authorities. The Company commits to fully complying with all payment obligations in cases where financial products in the form of covered warrants are offered.

3. Financial situation

According to the Company's audited financial statements for the fiscal year ended December 31, 2025

- The Company has fully fulfilled all statutory payment obligations.
- The Company has fulfilled all statutory payment obligations in accordance with the law.
- Total outstanding margin lending balance: VND 11,104,600,990,120.
- Total value of loans: VND 11,567,191,499,988 (including margin loans and advances against proceeds from securities sales to customers).
- The credit quality analysis of margin loans and advances as of the reporting date is as follows:

	December 31, 2025 (VND)	**December 31, 2024 (VND)**
Neither past due nor impaired	11.525.727.185.767	8.530.990.815.885
Past due and impaired	41.464.314.221	171.447.059.821
Provision made	(41.464.314.221)	(171.447.059.821)
Net value	11.525.727.185.767	8.530.990.815.885

- Total liabilities: VND 9,327,848,626,372.
- Owners' equity (contributed capital): VND 4,549,693,040,000.
- Total capital: VND 16,437,824,452,396.
- Key financial indicators:

Indicator	**Năm 2024**	**Năm 2025**
Financial safety indicators		
- Available capital (VND)	5.168.157.667.959	6.471.392.405.639
- Risk (market risk, settlement risk, operational risk, additional risk) (VND)	901.725.791.487	735.456.906.242
- Capital adequacy ratio: $\frac{\text{Available capital}}{\text{Total risk}}$	573 %	879,91%
Liquidity indicators		
- Current ratio: Current assets / Short-term liabilities	170,6%	174,2%
- Quick ratio: $\frac{\text{Current assets − Inventories}}{\text{Short-term liabilities}}$	170,6%	174,2%

Capital structure indicators		
- Debt / Total assets	57,2%	56,7%
- Debt / Equity	133,7%	131,2%
Profitability indicators		
- Profit after tax / Net revenue	18,7%	20,1%
- Profit after tax / Equity	7,8%	8,04%
- Profit after tax / Total assets	3,4%	3,48%
- Operating profit / Net revenue	34,4%	34,7%
- Earnings per share (EPS) (VND)	1.198	1.515

(Source: The Company's financial statements and financial safety ratio reports)

VI. INFORMATION ON COVERED WARRANTS

1. General information on warrants

- Warrant name: Chứng quyền.VHM.KIS.6M.43
- Underlying Security Name (Code): VHM.
- Issuer of the Underlying Security: Vinhomes Joint Stock Company.
- Type of Covered Warrant: Call Warrant.
- Exercise Style: European-style, whereby the Covered Warrant Holder may exercise the warrant only on the Expiration Date.
- Settlement Method upon Exercise: Cash settlement.
- Term of the Covered Warrant: 6 months.
- Expected Additional Issuance Date: The specific date shall be determined by the General Director after obtaining approval from the competent authority.
- Last Trading Date: November 26, 2026.
- Expiration Date: November 30, 2026.
- Conversion Ratio: 9.6297:1.
- Exercise Price: VND 83,768.
- Expected Offering Price: The closing price of the Covered Warrant on the day immediately preceding the date of publication of the Covered Warrant Issuance Notice.
- Registered Volume for Additional Offering: 4,000,000 Covered Warrants.
- Listed Volume: 2,000,000 Covered Warrants.
- Registration Price for the Additional Offering Used to Determine the Value of the Covered Warrants: VND 1,300 per Covered Warrant (being the closing price of the Covered Warrant on July 3, 2026).
- Outstanding Covered Warrants (as of the end of July 3, 2026): 1,970,400 Covered Warrants.
- Value of Outstanding Covered Warrants (as of the end of July 3, 2026): VND 2,561,520,000 (*).

- Total Value of Covered Warrants as of the end of July 3, 2026 (including listed and unlisted Covered Warrants): VND 7,800,000,000 (**).

(*) The value of Outstanding Covered Warrants is calculated as follows: Number of Outstanding Covered Warrants (as of the end of July 3, 2026) × Closing Price of the Covered Warrant on July 3, 2026.

- Number of Outstanding Covered Warrants (as of the end of July 3, 2026): 1,970,400 Covered Warrants.
- Closing Price of the Covered Warrant on July 3, 2026: VND 1,300 per Covered Warrant.

(**) The total value of Covered Warrants as of the end of July 3, 2026 is calculated as follows:

- Expected Registration Price for the Additional Offering Used to Determine the Value of the Covered Warrants × Number of Unlisted Covered Warrants + Closing Price of the Covered Warrant on July 3, 2026 × Number of Listed Covered Warrants.
- Expected Registration Price for the Additional Offering Used to Determine the Value of the Covered Warrants: VND 1,300 per Covered Warrant (being the closing price of the Covered Warrant on July 3, 2026).
- Number of Unlisted Covered Warrants: 4,000,000 Covered Warrants.
- Closing Price of the Covered Warrant on July 3, 2026: VND 1,300 per Covered Warrant.
- Number of Listed Covered Warrants: 2,000,000 Covered Warrants.
- Total Collateral for Payment Obligations (including listed and unlisted Covered Warrants): VND 3,900,000,000.
- The Company applies the Black-Scholes model to determine the offering price of the Covered Warrant:

$$C = \frac{N(d_1) \times S - N(d_2) \times X \times e^{-rT}}{k}$$

$$d_1 = \frac{\ln\left(\frac{S}{X}\right) + \left(r + \frac{\sigma^2}{2}\right)T}{\sigma\sqrt{T}}$$

$$d_2 = d_1 - \sigma\sqrt{T}$$

In which:

C: Offering price of the call warrant (VND);

$N(d_1)$, $N(d_2)$: Cumulative normal distribution probabilities;

X: Exercise price of the warrant (VND;

S: Price of the underlying security (VND);

T: Time remaining to maturity in years, calculated as the number of days from the valuation date to the warrant's maturity date divided by 365;

r: Risk-free interest rate per annum, being the government bond yield (%);

σ: Expected annual volatility of the underlying security price (%);

k: Conversion ratio of the warrant.

- Parameters for determining the offering price of the Covered Warrant under the Black-Scholes model as of the calculation date of September 14, 2026:

Parameters	Value
Underlying security price (S) (VND)	71.700
Exercise price (X) (VND)	
Term of the Covered Warrant (T) (calculated from September 14, 2026 to the Expiration Date of November 30, 2026)	0,50
Risk-free interest rate (r)	2,3501%
Minimum expected volatility (σ) (determined by the issuer)	65,25%
Maximum expected volatility (σ) (determined by the issuer)	85,90%
Conversion ratio (k)	19

- Explanation of warrant pricing parameters in the Black–Scholes model:

+ Underlying security price (S): The closing price of the underlying security on the valuation date.

+ Exercise price (X): The price at which investors are entitled to purchase the underlying security when the warrant expired.

+ Warrant maturity (T): Calculated based on the remaining time to maturity in years. For example, covered warrant.HPG.KIS.M.CA.T.01 was issued on June 11, 2019 and matured on December 11, 2019; the two dates are 183 days apart, so T = 183/365 ≈ 0.5.

+ Risk-free rate (r): The most recent yield of the 2-year Government Bond as of the calculation date (Source: the website of the Hanoi Stock Exchange).

+ Minimum and maximum expected volatility (σ): Expected volatility is estimated based on the standard deviation (historical volatility) of the daily returns of the underlying security's price over the most recent one-year period up to the valuation date. Based on the estimated minimum and maximum expected volatility, the Company determines the corresponding minimum and maximum offering prices for each volatility level. The formula for calculating historical volatility of the underlying security's return is as follows:

$$r_i = \ln\left(\frac{P_{i+1}}{P_i}\right)$$

$$m = \frac{\sum_{i=1}^{n} r_i}{n}$$

$$a = \sqrt{\frac{\sum_{i=1}^{n}(r_i - m)^2}{n-1}}$$

$$\sigma_{his} = a\sqrt{t}$$

In which:

P_i: Closing price of the underlying security at time i;

n: Number of data colletion points;

t: Number of trading days in a year; the Company applies t = 250;

σ_{his}: Historical volatility over period t.

+ Conversion ratio (k): For example, a conversion ratio of 2:1 (k = 2) means that 2 warrants are equivalent to 1 unit of the underlying security.

- Information on the Number of Outstanding Covered Warrants

Date	Number of Outstanding Covered Warrants (OI)	Percentage (OI/Volume)
03/07/2026	1.970.400	98,52%
06/07/2026	1.971.400	98,57%
07/07/2026	1.997.800	99,89%

- **Information on Previous Issuances of Covered Warrants of the Same Type:**

- Warrant Name: Chứng quyền.VHM.KIS.6M.43.
- Warrant Code: CVHM2613.
- Underlying Security Name (Code): VHM.
- Term: 6 months.
- Expiration Date: November 30, 2026.
- Conversion Ratio (as stated in the Issuance Notice dated May 28, 2026): 20:1.
- Exercise Price (as stated in the Issuance Notice dated May 28, 2026): VND 173.979.
- Conversion Ratio as of June 29, 2026: 19.2593:1.
- Exercise Price as of June 29, 2026: VND 167,535.
- Conversion Ratio as of August 6, 2026: 9.6297:1.
- Exercise Price as of August 6, 2026: VND 83,768.
- Listed Volume: 2,000,000 Covered Warrants.
- Initial Offering Price: VND 1,100 per Covered Warrant.

2. **Information on the underlying security**

- Name (ticker) of the underlying security: VHM.
- Underlying issuer: Công ty Cổ phần Vinhomes.
- Main line of business: Xây dựng và bất động sản.
- Listing date: 17/05/2018.
- Listing place: Ho Chi Minh City Stock Exchange.
- Business performance results:

Indicators (unit: VND million)	**2024**	**2025**	**% change**	**Quarter2, 2026**
Total asset	564.209.360	794.704.311	40,9%	1.113.193.186
Equity	220.743.975	249.216.707	12,9%	284.772.810
Net revenue	102.323.186	153.270.923	49,8%	117.221.878
Taxes and payable obligations	5.775.263	9.475.130	64,1%	11.249.593
Profit befor tax	40.847.949	52.809.906	29,3%	63.287.480
Profit after tax	35.072.686	43.334.776	23,6%	52.037.887
Return on equity (ROE)	15,9%	17,4%	9,4%	18,3%

(Source: Financial statements of VHM, HOSE)

Financial indicators of the issuer of the underlying security for the two most recent years:

Indicators	2024	2025
1. Liquidity ratios		
- Current ratio: Current assets / Short-term liabilities	1,0	1,4
- Quick ratio: (Current assets – Inventories) / Short-term liabilities	0,8	1,1
2. Capital structure ratios		
- Debt / Total assets ratio	60,9%	68,6%
- Debt / Equity ratio	155,6%	218,9%
3. Profitability ratios		
- Net profit after tax / Net revenue	34,3%	28,3%
- Net profit after tax / Equity	15,9%	17,4%
- Net profit after tax / Total assets	6,2%	5,5%
- Operating profit / Net revenue	40,0%	34,2%
- Earnings per share (EPS) (VND)	7.348	10.200

(Source: Financial statements of VHM, HOSE)

3. Trading Information (as of September 14, 2026) (Source: HOSE):

+ Market capitalization: VND 589.003 billion.

+ Average Trading Volume over the Most Recent Six-Month Period: 10,596,657 shares/session.

+ Highest Adjusted Price over the 26-Week Period: VND 82,000.

+ Lowest Adjusted Price over the 26-Week Period: VND 44,200.

+ Price-to-Earnings Ratio (P/E): 7.5.

+ Adjusted closing price of the last trading day in the most recent 6 months:

Month	03/2026	04/2026	05/2026	06/2026	07/2026	08/2026
Price (VND thousand)	51,50	73,00	78,00	75,90	74,05	73,00

- Other information: Ủy ban Chứng khoán Nhà nước đã ban hành Quyết định số 243/QĐ-XPHC ngày 07/06/2024 về việc xử phạt vi phạm hành chính trong lĩnh vực chứng khoán và thị trường chứng khoán đối với Công ty cổ phần Vinhomes (VHM).
- The issuer commits that it is not a related party of the issuer of the underlying security in accordance with applicable legal regulations.

3. Distribution Period of Covered Warrants

At least three (03) business days, with the specific period to be stated in the Covered Warrant Issuance Notice, after purchasers of the Covered Warrants have access to the Prospectus.**Registration for Covered Warrant Subscription**

4. Subscription for Covered Warrants
Subscription Period

TThe Company shall officially announce the subscription period in the Covered Warrant Issuance Notice after obtaining the Covered Warrant Offering Registration Certificate from the State Securities CommissionCompany will officially announce the subscription period in the Covered Warrant Issuance Notice after the State Securities Commission (SSC) issues the Certificate of Registration for Public Offering.

Subscription Procedures

Registration Locations: Investors may register for subscription at the Headquarters of KIS Viet Nam Securities Corporation and/or through online channels, including but not limited to the Company's website and mobile applications.

Subscription Volume per Investor: The expected subscription volume is a minimum of 100 warrants and a maximum of 10,000 warrants distributed to each registered investor. Subscription quantities must be in multiples of 100. Detailed notification will be provided in the Issuance Notice.

Payment Method

Investors shall transfer funds to the blocked account for receiving payments for the purchase of Covered Warrants.

Offering Price Principles

The Covered Warrant offering price shall be the single price announced by the Company in the Covered Warrant Issuance Notice after obtaining the Covered Warrant Offering Registration Certificate from the State Securities Commission.

Confirmation of Covered Warrant Distribution Results

Provisional The Covered Warrant offering price shall be the single price announced by the Company in the Covered Warrant Issuance Notice after obtaining the Covered Warrant Offering Registration Certificate from the State Securities Commission.

In the event that the Covered Warrants are not fully distributed:

If the total number of Covered Warrants subscribed for by investors is lower than the number of Covered Warrants offered, the Covered Warrant Issuer may transfer the undistributed Covered Warrants to its proprietary trading account and continue distributing them on the Stock Exchange's trading system after listing through market-making activities in accordance with the regulations of the Stock Exchange.

In the event that the number of Covered Warrants subscribed for exceeds the number of Covered Warrants offered:

When the total number of Covered Warrants subscribed for by investors exceeds the number of Covered Warrants offered, the number of Covered Warrants allocated to each investor shall be calculated as follows:the event that the total number of warrants subscribed by investors exceeds the total offering volume, the number of warrants allocated to each investor shall be determined as follows:

$$\textbf{Warrants Allocated} = \textbf{Total Offering Volume} \times \frac{\text{Warrants Subscribed by the Indiv Investor}}{\text{Total Warrants Subscribed by all Investors}}$$

The number of warrants allocated to each investor after applying the formula will be rounded and must be in multiples of 100. The total number of warrants distributed to all investors must not exceed the total offering volume.

Any residual warrants remaining after rounding will be allocated to the investor(s) with the earliest registration time and the largest subscription volume.

Cases Considered Invalid Covered Warrant Subscriptions

- The Covered Warrant subscription application is incomplete and/or contains incorrect information.
- The payment order for the purchase of Covered Warrants differs from the amount registered for subscription in the Covered Warrant Subscription Form.
- The payment order is credited to the blocked account after the deadline specified in the Covered Warrant Issuance Notice.
- The payment order for the purchase of Covered Warrants is made to an incorrect blocked account.
- The payment order contains incorrect transfer details.
- The investor transfers funds but fails to submit the complete set of documents required for Covered Warrant subscription.

Timeline and Procedures for Refund of Subscription Payments.

Timeline: Within two (02) working days from the closing date of the subscription period, payments for the aforementioned invalid subscriptions will be refunded to the Investors. The funds will be returned to the bank account specified by the Investor in the Covered Warrant Subscription Form

Subscription Dossier for Covered Warrants

- **For Individual Investors:**

+ 02 original copies of a valid Covered Warrant Subscription Form;

+ 01 copy of the Payment Order (Bank Transfer Advice);

+ 01 valid copy of the National ID Card or Citizen Identification Card;

+ 01 Power of Attorney (if applicable);

+ 01 valid copy of the Securities Trading Code (STC) certificate issued by the VSDC and a Passport (for foreign investors);

+ 01 01 Confirmation Letter from a bank regarding the opening of a Capital Contribution Account (CCA) or an Indirect Investment Capital Account (IICA) (for foreign investors);

+ 01 valid copy of the Account Opening Application for investors who choose to deposit their warrants at a securities firm other than the Issuer (if applicable).

- **For Institutional Investors:**

+ 02 original copies of a valid Covered Warrant Subscription Form;

+ 01 valid copy of the Business Registration Certificate;

+ 01 valid copy of documents related to any changes in business registration information (if applicable);

+ 01 copy of the Payment Order (Bank Transfer Advice);

+ 01 valid copy of the National ID Card, Citizen Identification Card, or Passport of the Legal Representative;

+ 01 valid copy of the Power of Attorney for the authorized representative acting on behalf of the organization;

+ 01 valid copy of the Securities Trading Code (STC) certificate issued by the VSDC (for foreign investors)

+ 01 Confirmation Letter from a bank regarding the opening of a Capital Contribution Account (CCA) or an Indirect Investment Capital Account (IICA) (**for foreign investors**)

+ 01 bản sao hợp lệ giấy đăng ký mở tài khoản dành cho nhà đầu tư đăng ký tài khoản lưu ký chứng quyền tại công ty chứng khoán không phải là Công ty (nếu có).

Covered Warrant Subscription Procedures

- Step 1: Investors research and register for warrant subscriptions at the Headquarters of **KIS Viet Nam Securities Corporation** and/or through online channels, including but not limited to the Company's website and mobile applications.

- Step 2: Investors complete the subscription dossier in accordance with the instructions provided on the website or by the Company's Operations Department.

- Step 3: Upon completion of the dossier, investors fulfill their payment by transferring funds to the designated escrow account with the following details:

Account Name: **KIS VIETNAM SECURITIES CORPORATION**

Số tài khoản: 1190421270.

At: Joint Stock Commercial Bank for Investment and Development of Vietnam.

Transaction Remarks: [Investor Name] CQ [Underlying Stock Code] [Last 2 digits of the Warrant Name]

Example: For an investor named Nguyen Van A subscribing to Covered Warrant.MSN.KIS.M.CA.T.12, the transfer remarks should be: **Nguyen Van A CQMSN12**.

- Step 4: Following the conclusion of the distribution period, the Company will notify investors of their provisional subscription results via Short Message Service (SMS) or Email).

4. Cancellation of Covered Warrant Offering.

- In the event that the public offering of covered warrants is canceled in accordance with Article 28 of the Law on Securities No. 54/2019/QH14 and other relevant regulations (if any), the Company shall be responsible for disclosing information regarding such cancellation in strict compliance with the provisions of law.

- The State Securities Commission (SSC) shall decide to cancel the public offering of covered warrants in the event that upon the expiration of the suspension period specified in Clause 1, Article 27 of the Law on Securities No. 54/2019/QH14, the deficiencies leading to the suspension of the public securities offering have not been rectified, specifically:

+ The registration dossier for the public offering contains misleading information or omits critical contents that could influence investment decisions and cause damage to investors;

+ The distribution of securities was not conducted in compliance with Article 26 of the **Securities Law No. 54/2019/QH14**.

- Within **07 working days** from the date the public offering is cancelled, the Issuer must announce the cancellation in accordance with the methods prescribed in Clause 3, Article 25 of the **Securities Law No. 54/2019/QH14**. The Issuer is responsible for recalling the issued securities and refunding investors within **15 days** from the date of cancellation.

6. Exercise of covered warrants.

a) Principles of exercising covered warrants

Principles for Exercising Covered Warrants

- The Company is obligated to exercise the Covered Warrants by way of cash settlement. The settlement amount shall be calculated based on the Settlement Price and the Exercise Price. The Settlement Price of the Covered Warrant upon exercise shall be the average closing price of the Underlying Security over the five (05) consecutive trading days immediately preceding the Expiration Date, excluding the Expiration Date. In the event that the price of the Underlying Security is adjusted in accordance with the regulations of the Stock Exchange during the five (05) consecutive trading days immediately preceding the Expiration Date, the closing prices of the Underlying Security on the days preceding the ex-rights trading date shall be adjusted accordingly. The Company shall determine the Settlement Price used as the basis for calculating the settlement amount and announce such price for the Outstanding Covered Warrants on the Expiration Date.

- Investors may only exercise their rights with respect to In-the-Money Covered Warrants held in their custody accounts on the exercise date. Covered Warrants held in the proprietary trading account of the securities company that issued such Covered Warrants may not be exercised.

- Within five (05) business days from the Expiration Date, the securities company, Depositary Member, and Covered Warrant Issuer shall coordinate with the Vietnam Securities Depository and Clearing Corporation (VSDC) to make settlement payments to investors in accordance with VSDC's regulations.

- Covered Warrants may be exercised in the following cases:
  + The Covered Warrants are In-the-Money on the Expiration Date;
  + The Covered Warrants are delisted prior to the Expiration Date.

- On the Record Date:
  + In the case of exercise due to expiration, the Record Date shall be the Expiration Date of the Covered Warrants.
  + In the case of exercise due to the Covered Warrants being delisted prior to the Expiration Date, the Record Date shall be the second (02nd) business day following the Last Trading Date as announced by the Stock Exchange.
  + The settlement amount per Call Warrant shall be the amount payable when the Settlement Price is higher than the Exercise Price, calculated according to the following formula: Settlement Amount per Covered Warrant = (Settlement Price – Exercise Price) / Conversion Ratio. The net settlement amount shall be the settlement amount less any applicable exercise costs.

- If an investor does not place an exercise order, any In-the-Money Covered Warrants shall be automatically exercised by way of cash settlement on the Expiration Date.

b) Procedures for exercising covered warrants

- Within No later than seven (07) business days prior to the Expiration Date of the Covered Warrants (in the case of exercise due to expiration), or within one (01) business day from the date of receipt of the written notice from the Stock Exchange (hereinafter referred to as the "Stock Exchange") regarding the Last Trading Date (in the case of delisting of the Covered Warrants prior to the Expiration Date), VSDC shall send:

  + A notice of the Record Date and the finalization of the list of Covered Warrant Holders for the exercise of Covered Warrants to the organization registering the Covered Warrants with VSDC (hereinafter referred to as the "Covered Warrant Registration Organization") and the Stock Exchange, using Form No. 09/CW attached to the Regulations on Registration and Exercise of Covered Warrants at the Vietnam Securities Depository and Clearing Corporation, promulgated together with Decision No. 41/QD-HDTV dated August 21, 2026 of the VSDC Members' Council (hereinafter referred to as the "Regulations");

  + An MT564 operational message notifying the relevant Depositary Members (hereinafter referred to as "Depositary Members") of the finalization of the list of Covered Warrant Holders for the exercise of Covered Warrants.

- Before 2:00 p.m. on the Record Date, the Covered Warrant Registration Organization shall submit a written notice of the exercise of Covered Warrants, using Form No. 10/CW attached to the Regulations, through the Electronic Communication Gateway with the Covered Warrant Registration Organization (hereinafter referred to as "ICOSY") for the purpose of exercising the Covered Warrants. Such notice shall clearly specify whether the Covered Warrants are In-the-Money or Out-of-the-Money, whether the Covered Warrants have been delisted prior to the Expiration Date, and the settlement amount per Covered Warrant (in the case of exercise). In the event that the Covered Warrant Registration Organization delays the notification of the exercise of Covered Warrants, it shall be responsible for all damages and disputes arising therefrom.

- In the event that the Covered Warrant Registration Organization notifies that the Covered Warrants are Out-of-the-Money, within one (01) business day after receiving the written notice from the Covered Warrant Registration Organization, the Covered Warrant registration system shall send an MT564 operational message notifying the relevant Depositary Members that the list of Covered Warrant Holders will not be finalized.

- Covered Warrants are In-the-Money or that the Covered Warrants have been delisted prior to the Expiration Date:

+ VSDC shall finalize the list of Covered Warrant Holders on the Record Date and send such list to the Covered Warrant Registration Organization and the relevant Depositary Members;

+ No later than 9:00 a.m. on the business day immediately following the Record Date, the Covered Warrant registration system shall send an MT596 operational message together with a CSV file containing data on the list of Deposited Securities Holders exercising their rights, using Form No. 11/CW attached to the Regulations, to the relevant Depositary Members;

+ No later than 11:00 a.m. on the business day immediately following the Record Date, the Depositary Members shall be responsible for reconciling the information of Covered Warrant Holders in the list provided by VSDC against the information maintained by such Depositary Members and shall send confirmation via an MT595 operational message to the Covered Warrant registration system. In the event of any discrepancy in the list of Covered Warrant Holders, the Depositary Members shall send a written notice to VSDC for coordination and adjustment, clearly specifying the discrepancies. Immediately after the adjustment, the Covered Warrant registration system shall resend an MT596 operational message together with the adjusted CSV data file on the Deposited Covered Warrant Holders to whom the relevant rights have been allocated to the relevant Depositary Members;

+ No later than the second (02nd) business day following the Record Date, VSDC shall send the consolidated list of Covered Warrant Holders, using Form No. 12/CW attached to the Regulations, to the Covered Warrant Registration Organization via ICOSY;

+ No later than the third (03rd) business day following the Record Date, the Covered Warrant Registration Organization shall send written confirmation of the consolidated list of Covered Warrant Holders, using Form No. 13/CW attached to the Regulations, to VSDC via ICOSY. In the event that the Covered Warrant Registration Organization does not approve the information in the list of Covered Warrant Holders provided by VSDC, it shall notify VSDC in writing and clearly state the reasons therefor;

+ No later than two (02) business days prior to the payment date for the exercise of the Covered Warrants, the Covered Warrant Registration Organization shall transfer the full amount payable to Covered Warrant Holders in accordance with VSDC's notice, if any. In the event of a delay in the transfer of the settlement amount, no later than one (01) business day prior to the payment date, the Covered Warrant Registration Organization shall notify VSDC in writing, clearly stating the reasons for the delay. Based on the notice from the Covered Warrant Registration Organization, VSDC shall notify the relevant Depositary Members. The Covered Warrant Registration Organization shall assume all liabilities arising from failure to transfer the full settlement amount within the prescribed period;

+ The The settlement amount payable to Deposited Covered Warrant Holders, if any, shall be allocated by VSDC to the accounts of the relevant Depositary Members on the business day immediately preceding the payment date. The Depositary Members shall be responsible for allocating such amount to customers holding Deposited Covered Warrants on the payment date.

- In Pursuant to Clause 6, Article 12 of Circular No. 122/2025/TT-BTC of the Ministry of Finance providing guidance on Covered Warrants, the procedures for exercising Covered Warrants in the event of delisting shall be as follows:

+ Within twenty-four (24) hours from the date on which the decision on delisting of the Covered Warrants is issued, the Covered Warrant Issuer shall disclose the method for determining the Settlement Price of the Covered Warrants payable to Covered Warrant Holders from the effective date of the delisting. The method for determining such price must also be consistent with the contents of the Prospectus and the regulations of the Stock Exchange;

+ From the date of disclosure of information as prescribed in Point a of this Clause until the day immediately preceding the effective date of the delisting, the Covered Warrant Issuer shall repurchase the Covered Warrants through market-making activities. The repurchase price shall be determined based on the market price and in accordance with the regulations of the Stock Exchange;

+ From the effective date of the delisting, the Covered Warrant Issuer shall be responsible for coordinating with the Vietnam Securities Depository and Clearing Corporation to make settlement payments to investors who continue to hold the Covered Warrants, based on the price calculated in accordance with Point a of this Clause. The settlement payment shall be made in accordance with the regulations of the Vietnam Securities Depository and Clearing Corporation.

Pursuant to Clause 3, Article 8 of the Regulations on Registration and Exercise of Covered Warrants at the Vietnam Securities Depository and Clearing Corporation, promulgated together with Decision No. 41/QD-HĐTV dated August 21, 2026 of the VSDC Members' Council:

c) Measures in the Event of the Issuer's Insolvency

In the event that the Company becomes insolvent, payments to investors shall be made in accordance with the asset liquidation procedures prescribed in Clause 2, Article 13 of Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Ministry of Finance providing guidance on Covered Warrants. The assets used for making payments to investors shall include the following sources:

- Assets held in the margin account at the Depositary Bank;
- Risk hedging assets and other assets held in the proprietary trading account;
- Payment guarantee or other assets, if any.

In the event that the Company still does not have sufficient assets to make payments to investors, the settlement of the rights and interests of Covered Warrant Holders shall be carried out in accordance with applicable laws governing partially secured creditors.

Payments to warrant holders in special handling cases under Clause 2, Article 13 of Circular No. 122/2025/TT-BTC shall be determined based on the number of warrants held and the value of the warrants, where the warrant value is calculated based on the closing price on the date the payment-triggering event occurs, or the nearest closing price to such date if the closing price on the event date cannot be determined, ensuring compliance with applicable legal regulations.

7. Rights of Warrant Holders

- Rights of Call Warrant Holders: Holders of call warrants are entitled to receive the cash difference when the price of the underlying securities exceeds the exercise price at the time of exercise.

- In the event that the issuer does not have sufficient assets to fulfill its payment obligations upon exercise of the warrants, the interests of warrant holders shall be resolved in accordance with applicable laws governing partially secured creditors.
- The issuer may determine the theoretical price of call warrants based on the Black–Scholes model for European-style warrants.

Theoretical price of call warrants under the Black–Scholes model:

$$C = \frac{N(d_1)S - N(d_2)Xe^{-r_cT}}{k}$$

And:

$$d_1 = \frac{\ln\left(\frac{S}{X}\right) + \left(r_c + \frac{\sigma^2}{2}\right)T}{\sigma\sqrt{T}}$$

$$d_2 = d_1 - \sigma\sqrt{T}$$

In which:

C: theoretical price of the call warrant;

$N(d_1)$, $N(d_2)$: cumulative standard normal distribution probability;

X: exercise price (strike price) of the warrant;

S: current underlying securities price;

T: remaining time to maturity (calculated in years), which is the number of days from the calculation date to the warrant's maturity date divided by 365;

r_c: risk-free interest rate (calculated annually);

σ: expected price volatility of the underlying securities (calculated annually);

k: conversion ratio of the warrant.

The value of the delta coefficient of the call warrant according to the Black Scholes model:

$$Delta_{CALL} = N(d_1)$$

In which:

$Delta_{CALL}$: delta value of the call warrant;

$N(d_1)$: cumulative standard normal distribution probability, with d1 determined according to the Black Scholes pricing formula.

d) Warrant holders are partially secured creditors of the Company and possess the following rights:

- The right to receive cash settlement or delivery of the underlying securities in accordance with the terms and methods specified by the Company in the prospectus of each issuance and in compliance with relevant laws.
- At the time of exercise by the investor:

Transfer price of covered warrants per transaction = Settlement price of the underlying securities × (Quantity of warrants divided by (:) the Conversion ratio).

Example 2: Similar to the assumptions in Example 1 above, if the settlement price of underlying security A announced by the Stock Exchange at the time of exercise is 155,000 VND/share, the tax amount the investor must pay upon exercise is: 155,000 x (100 : 10) x 0.1% = VND 1,550.

- The right to receive cash settlement when the covered warrants are delisted in accordance with legal regulations.

On the delisting date, for outstanding warrants, the settlement price is determined based on the closing price of those warrants on the last trading day prior to the delisting.

- The right to transfer, donate, inherit, or pledge in civil relations in accordance with the provisions of the law.

In case the investor transfers warrants before the maturity date:

The transfer price of covered warrants per transaction is the matching price of the warrants on the Stock Exchange × Quantity of warrants.

- The right to priority payment when the Company is dissolved or bankrupt in accordance with the provisions of law;
- In the event of insufficient assets to settle the warrants, the settlement of interests for warrant holders shall be carried out in accordance with relevant legal regulations applicable to partially secured creditors.
- In case warrants are delisted due to the issuer suspending or ceasing operations; consolidation, merger, dissolution, bankruptcy, or revocation of the Establishment and Operation License, and regulations on handling special cases. In case warrants are delisted before the maturity date, the transfer price of covered warrants per transaction is determined as follows:
  + Before the effective delisting date: The repurchase price according to the issuer's market price through market-making activities × Quantity of warrants.
  + After the effective delisting date: The warrant repurchase price announced by the issuer. The warrant repurchase price is determined according to the Black-Scholes formula (at Point 1, Section VI of this Prospectus) × Quantity of warrants.
  + **Example 1:** An investor buys 100 call warrants with underlying security A at a price of 1,400 VND/warrant, a conversion ratio of 10:1, and an exercise price of VND 150,000.
  + If the investor sells the call warrants at a price of 2,000 VND/warrant, the tax payable is 2,000 x 100 x 0.1% = VND 200.
  + If the warrants are delisted and the warrant issuer announces a repurchase price of 2,000 VND/warrant, the tax payable is 2,000 x 100 x 0.1% = VND 200.
- And other rights as prescribed by law.

8. Adjustment of covered warrants

- The Company must adjust the warrants in the following cases: The price of the underlying security is adjusted due to the underlying security issuer paying cash dividends, issuing shares to pay dividends, issuing shares to increase share capital from owner's equity, issuing new shares, and other cases in accordance with the guidelines of the Stock Exchange.
- The method for adjusting the exercise price and the conversion ratio of the warrants when the underlying security undergoes a corporate action is as follows:
  + Adjustment timing: The ex-rights date of the underlying security.
  + New exercise price = Old exercise price × (Adjusted reference price of the underlying asset on the ex-rights date / Unadjusted reference price of the underlying asset on the ex-rights date).
- New conversion ratio = Old conversion ratio × (Adjusted reference price of the underlying asset on the ex-rights date / Unadjusted reference price of the underlying asset on the ex-rights date).
- The adjusted exercise ratio or exercise price must be rounded to 4 decimal places.
- Other adjustments will be based on the adjustment decisions of the Stock Exchange, and the Issuer will implement such adjustments.

9. Taxes, fees, charges, and service prices arising from the trading or exercise of warrants.

9.1. Fees, charges, and service prices arising from covered warrant trading

The service fee for covered warrants when an investor places a buy or sell order is the rate applied by the securities company where the investor opens the warrant trading account, depending on the time of application.

In addition, according to current regulations, investors participating in the stock market must pay the following items when trading covered warrants:

- Trading service price at the Stock Exchange: 0.018% of the transaction value.
- Depository service price at the Vietnam Securities Depository and Clearing Corporation: 0.27 VND/warrant/month.

9.2. Tax Schedule

According to Official Dispatch No. 1468/BTC-CST dated February 5, 2018, from the Ministry of Finance regarding tax policies for covered warrants, investors shall be subject to the following tax rates when trading covered warrants:

a) Personal Income Tax (PIT)

\+ **Subject of application:**

All individual investors, including domestic and foreign individuals, who engage in covered warrant transactions (including warrant transfers, delisted warrants, and the exercise of warrants).

\+ **Tax rate:**

PIT = Transfer price of covered warrants per transaction × tax rate of 0.1%.

In which:

\+ In case the investor transfers warrants before the maturity date:

The transfer price of covered warrants per transaction is the matching price of the warrants on the Stock Exchange × Quantity of warrants.

+ In case the warrants are delisted before the maturity date, the transfer price of covered warrants per transaction is determined as follows:

- Before the effective delisting date: The repurchase price according to the issuer's market price through market-making activities × Quantity of warrants.

- After the effective delisting date: The warrant settlement price announced by the issuer × Quantity of warrants.

Example 1: An investor buys 100 call warrants with underlying security A at a price of VND 1,400/warrant, a conversion ratio of 10:1, and an exercise price of VND 150,000.

- If the investor sells the call warrants at a price of 2,000 VND/warrant, the tax payable is: 2,000 x 100 x 0.1% = VND 200.

- If the warrants are delisted and the warrant issuer announces a settlement price of 2,000 VND/warrant, the tax payable is: 2,000 x 100 x 0.1% = VND 200.

\+ At the time of exercise by the investor:

Transfer price of covered warrants per transaction = Settlement price of the underlying security × (Quantity of warrants divided by (:) the Conversion ratio).

Example 2: Similar to the assumptions in Example 1 above, if the settlement price of underlying security A announced by the Stock Exchange at the time of exercise is 155,000 VND/share, the tax amount the investor must pay upon exercise is: 155,000 x (100 : 10) x 0.1% = VND 1,550.

b) Corporate Income Tax (CIT) for domestic enterprises.

\+ **Subject of application:** Investors who are enterprises established and operating under the laws of Vietnam earning income from covered warrants.

\+ **Tax rate:** Income from covered warrants must be declared and paid by the enterprise at a CIT rate of 20% as regulated.

c) Corporate Income Tax (CIT) for foreign organizations producing or doing business in Vietnam not under the Law on Investment or Law on Enterprises (foreign contractors)

+ **Subject of application:** Foreign organizations acting as foreign contractors earning income from the transfer of covered warrants (including warrant transfers, delisted warrants, and the exercise of warrants).

+ **Tax rate:**

CIT = Transfer price of covered warrants per transaction × tax rate of 0.1%

Inwhich, the calculation of the transfer price of covered warrants per transaction applies similarly to the PIT calculation method.

d) Timing for determining taxable income: The moment the investor (including organizations and individuals) transfers warrants on the trading system of the Stock Exchange, or the time the warrants are delisted, or the time the investor exercises the warrants.

- If the warrants are delisted and the warrant issuer announces a settlement price of 2,000 VND/warrant, the tax payable is: 2,000 x 100 x 0.1% = VND 200.

+ At the time of exercise by the investor:

Transfer price of covered warrants per transaction = Settlement price of the underlying security × (Quantity of warrants divided by (:) the Conversion ratio).

Example 2: Similar to the assumptions in Example 1 above, if the settlement price of underlying security A announced by the Stock Exchange at the time of exercise is VND 155,000/share, the tax amount the investor must pay upon exercise is: 155,000 x (100 : 10) x 0.1% = VND 1,550.

e) Corporate Income Tax (CIT) for domestic enterprises

+ **Subject of application:** Investors who are enterprises established and operating under the laws of Vietnam earning income from covered warrants.

+ **Tax rate:** Income from covered warrants must be declared and paid by the enterprise at a CIT rate of 20% as regulated.

f) Corporate Income Tax (CIT) for foreign organizations producing or doing business in Vietnam not under the Law on Investment or Law on Enterprises (foreign contractors)

+ **Subject of application:** Foreign organizations acting as foreign contractors earning income from the transfer of covered warrants (including warrant transfers, delisted warrants, and the exercise of warrants).

+ **Tax rate:**

CIT = Transfer price of covered warrants per transaction × tax rate of 0.1%

Inwhich, the calculation of the transfer price of covered warrants per transaction applies similarly to the PIT calculation method.

g) Timing for determining taxable income: The moment the investor (including organizations and individuals) transfers warrants on the trading system of the Stock Exchange, or the time the warrants are delisted, or the time the investor exercises the warrants.

- If the warrants are delisted and the warrant issuer announces a settlement price of VND 2,000/warrant, the tax payable is: 2,000 x 100 x 0.1% = VND 200.

+ **At the time of exercise by the investor:**

Transfer price of covered warrants per transaction = Settlement price of the underlying security × (Quantity of warrants divided by (:) the Conversion ratio).

Example 2: Similar to the assumptions in Example 1 above, if the settlement price of underlying security A announced by the Stock Exchange at the time of exercise is VND 155,000/share, the tax amount the investor must pay upon exercise is: 155,000 x (100 : 10) x 0.1% = VND 1,550.

h) Corporate Income Tax (CIT) for domestic enterprises

+ **Subject of application:** Investors who are enterprises established and operating under the laws of Vietnam earning income from covered warrants.
+ **Tax rate:** Income from covered warrants must be declared and paid by the enterprise at a CIT rate of 20% as regulated.

i) Corporate Income Tax (CIT) for foreign organizations producing or doing business in Vietnam not under the Law on Investment or Law on Enterprises (foreign contractors)

+ **Subject of application:** Foreign organizations acting as foreign contractors earning income from the transfer of covered warrants (including warrant transfers, delisted warrants, and the exercise of warrants).

+ **Tax rate:**

CIT = Transfer price of covered warrants per transaction × tax rate of 0.1%

Inwhich, the calculation of the transfer price of covered warrants per transaction applies similarly to the PIT calculation method.

j) Timing for determining taxable income: The moment the investor (including organizations and individuals) transfers warrants on the trading system of the Stock Exchange, or the time the warrants are delisted, or the time the investor exercises the warrants.

10. Market Making Activities

According to Decision No. 68/QD-SGDHCM dated March 02, 2018, issued by the General Director of the Ho Chi Minh City Stock Exchange regarding the issuance of the Regulations on Market Making and Risk Hedging for covered warrant issuers at the Ho Chi Minh City Stock Exchange, the Company is obligated to perform market making activities to ensure liquidity for its issued warrants, specifically:

a) Market Making Quotation Obligations

The Company must participate in placing market making orders within five (05) minutes from the occurrence of any of the following events:

- There is only a buy order or a sell order for the warrants;
- There are no buy and sell orders for the warrants;
- The market price spread exceeds 5%. The price spread ratio is the percentage of (lowest ask price - highest bid price) / highest bid price.

When participating in order placement, the Company must comply with:

- The minimum volume for each order is 100 warrants;
- The order price must ensure that the price spread ratio does not exceed 5%;
- In the event that there are no buy and sell orders for the warrants, the market maker must provide two-way quotes (place both bid and ask orders);
- The minimum duration for an order to remain on the trading system is one (01) minute.

b) Exemption from market making quotation obligations

In the following cases, the Company is not required to perform market making activities:

- The periodic order matching session to determine the opening price, the first five (05) minutes after the end of the periodic order matching session to determine the opening price, and the periodic order matching session to determine the closing price;
- The underlying asset of the warrant is suspended from trading;
- When the theoretical price of the warrant, calculated according to the formula specified in the Company's Prospectus, is less than or equal to VND 10;
- The quantity of warrants in the market making account does not meet the minimum requirement of 100 warrants, the Company is exempted from placing sell orders;

- When no warrants are in circulation (in case the issuer has not sold any warrants to investors), the Company is exempted from placing buy orders;
- In the event of warrant delisting, the Company is exempted from placing sell orders;
- The warrant price reaches the ceiling price (excess buy at ceiling), the Company is exempted from placing sell orders; or the warrant price reaches the floor price (excess sell at floor), the Company is exempted from placing buy orders;
- The price of the underlying security reaches the ceiling price (excess buy at ceiling), the Company is exempted from placing sell orders for call warrants;
- The price of the underlying security reaches the floor price (excess sell at floor), the Company is exempted from placing buy orders for call warrants;
- The warrant is in an In the Money (ITM) state of 30% or more (i.e., for a call warrant, the price of the underlying security is 30% higher than the exercise price), the Company is exempted from placing sell orders;
- Within fourteen (14) days prior to the warrant's maturity;
- In the occurrence of force majeure events such as natural disasters, fires, wars, etc.;
- Other cases applied by the Stock Exchange after obtaining approval from the State Securities Commission.

VII. PAYMENT SECURITY PLAN FOR COVERED WARRANT HOLDERS

1. Payments to Covered Warrant Holders shall be secured by the following sources of assets:

a) Risk hedging assets and other assets held in the proprietary trading account;

b) Assets held in the margin account at the Depositary Bank;

c) Payment guarantee or other assets, if any.

2. In the event that there are insufficient assets to make settlement payments upon the exercise of the Covered Warrants, the rights and interests of Covered Warrant Holders shall be settled in accordance with applicable laws governing partially secured creditors.

VIII. COVERED WARRANT RISK MANAGEMENT

1. Risk management process

The warrant risk management process is a series of steps performed continuously to minimize risks to the Company. To manage risk effectively, the Company performs the following steps:

Step 1: Identify risks associated with warrants

Common risks faced by the Company when issuing warrants include:

- Settlement risk: The risk that the Company cannot fulfill its committed obligations to investors, such as when the Company becomes insolvent at the time investors exercise their warrants.

- Market risk: Risk arising from price fluctuations of the warrants and the underlying securities.

- Legal risk: The risk that the Company violates or fails to comply with current legal regulations, leading to fines, warnings, suspension of operations, or revocation of professional or business licenses.

- Operational risk: Risk due to technological reasons (e.g., hackers crashing information technology systems), personnel (fraud, intentional or unintentional errors), capital assets, or external factors (natural disasters, wars, etc.).

Step 2: Risk Analysis

Based on the risks listed in Step 1, each department involved in the Company's warrant issuance activities will assess the likelihood or probability of those risks occurring and their impact level. On this basis, each type of risk will be classified and ranked to implement appropriate handling measures.

- Settlement risk: The Company has issued a large number of covered warrants to the market since 2019. The Company has always correctly and fully performed interest payments to investors in accordance with the regulations of the Regulatory Authorities. Furthermore, the Company always ensures the payment guarantee margin ratio for covered warrants and fully implements risk hedging activities, providing daily reports as regulated to ensure sufficient share ratios for payment guarantees. Therefore, the likelihood of this risk occurring is low for the Company.
- Market risk: This is a risk that may occur during the business operations and issuance of covered warrants.
- Legal risk: This is a risk that may occur in cases where the Company fails to comply correctly due to operational negligence, failure to update changes according to regulations, or a lack of legal advice from the Company's Legal department.
- Operational risk: This is a risk that may occur when the Company encounters system-related issues or violations in the working processes of employees within the departments.

Step 3: Risk Control

Risk analysis and assessment help the Company develop a risk control strategy by selecting appropriate strategies to address or cope with risks. Common strategies used to handle risks include: risk avoidance, risk transfer, risk mitigation, and risk acceptance.

- Settlement risk: The Company ensures other backup asset sources to guarantee payment capacity to investors. Additionally, the Company must maintain a reasonable risk hedging ratio in accordance with the requirements of regulatory authorities.
- Legal risk: The Company needs to regularly update changes in legal documents, continuously review processes and policies before issuance, and ensure constant compliance with relevant legal regulations.
- **Operational risk:** The Company establishes strict operating procedures, provides comprehensive professional training for employees, and enhances awareness of risk and risk prevention to minimize operational risks to the greatest extent possible.

Step 4: Monitoring Risk Management Activities

The final activity in the risk management process is monitoring and communication to re-evaluate the Company's entire process of risk identification, analysis, control, and response. The progress of implementing risk control plans for specific risks is always reported to senior management and relevant departments to proactively implement appropriate corrective actions.

Periodically, the Risk Management Department conducts an evaluation of risk management activities and proposes solutions to adjust risk management activities and policies, reporting these to the Management Board.

2. Risk Hedging Method

- Hedging Objectives: To ensure solvency and payment capacity to warrant holders, and to effectively mitigate potential losses arising from market risks.
- Hedging Activities: Hedging operations are conducted via the Issuer's proprietary trading account. These activities include buying, selling, lending, and other transactions in compliance with legal regulations, ensuring investment limits for underlying securities and adhering to the following provisions:
- Hedging Instruments: Assets used for hedging purposes include the underlying securities and other derivatives/securities based on such underlying assets as permitted by law.
- Asset Segregation: Securities dedicated to hedging purposes shall not be pledged, mortgaged, deposited as margin, lent, or used as collateral for other obligations.

Compliance & Management: The Company is responsible for the independent management and accounting of the hedging portfolio, ensuring compliance with hedging ratio requirements as mandated by the HoChiMinh Stock Exchange.

- Hedging Implementation & Organization, Operational Structure:
  + Department: Directly executes hedging activities in accordance with the plan submitted to the State Securities Commission (SSC). The department is responsible for reporting the daily hedge positions to the Management Board and

the Risk Management Department at the end of each trading day. Incident Reporting: In the event of issues affecting hedging operations, the Derivatives Solutions Team must immediately report to the Management Board and coordinate with relevant departments to implement remedial actions and solutions.

+ Key Personnel for Warrant Hedging:

No.	Full Name	Department	Professional License	Years of Experience
1	Hoang Anh Viet	Derivatives	**Fund Management License** No. 001692/QLQ	12 Years
1	Nguyen Quynh Nga	Risk Management Department	**Fund Management License** No. 003245/QLQ	19 Years

+ Risk Management Department: Monitors hedging activities of the Derivatives Solutions Department to control potential risks arising from warrant issuance and reports directly to the Management Board.
+ Internal Control Department: Supervises compliance with established procedures and proposes solutions to enhance operational workflows.
+ Management Board: Organizes, oversees, and supports all departments involved in the hedging activities for covered warrants.

- General Hedging Principles: The Company applies a **Delta Hedging** strategy. Concurrent with the issuance of warrants, the Company executes trades in the underlying securities based on the following principle:

$$P = \text{Delta} \times OI/k$$
$$\text{Delta} = N(d_1)$$

Where:

- P:The quantity of underlying securities held for hedging purposes.
- OI: The number of Outstanding Instruments (total warrants currently in circulation) for the issuance.
- k: The Conversion Ratio of the warrant.
- Delta: The hedging coefficient for call warrants, calculated based on the Black-Scholes Model.

- Hedging Instruments
  + The Underlying Securities of the warrant.
  + Other securities/derivatives based on the same underlying asset (if any).
- Example: Consider Call Warrant A with an exercise price of VND 33,000; a risk-free rate of 4.3%; a conversion ratio of 2:1; and a time to maturity of 90 days. The Company maintains its underlying security position for hedging as follows:

Time	Underlying Price (VND)	Volatility	Delta	Outstanding Warrants (OI)	Underlying Position (P)	Change in Position
9h20	28,300	33%	21.7%	100,000	10,850	-
9h25	28,100	32%	19.6%	110,000	10,780	-70
9h30	28,400	35%	23.9%	90,000	10,755	-25
9h40	28,900	40%	30.5%	120,000	18,300	7,545

- Hedging Positions
    + Hedge Ratio Calculation: Methodology for determining the Delta coefficient (hedging ratio) per warrant.

    + Theoretical Hedge Position Formula: The mathematical model used to calculate the required theoretical hedge. Equivalent Hedge Position Formula: The conversion formula used to translate the theoretical hedge position into the required quantity of underlying securities, applicable in cases where the Issuer utilizes hedging instruments other than the primary underlying asset.

3. Risk Assessment Regarding Foreign Ownership Limit (FOL) Exhaustion for Foreign Issuers of Covered Warrants

- Regulatory Context: Pursuant to Official Dispatch No. 13385/VSD-LK issued by the Vietnam Securities Depository and Clearing Corporation (VSDC) to KIS Vietnam Securities Corporation (KIS Vietnam), the proprietary trading account of KIS Vietnam was converted from the former domestic account (057P000001) to a foreign proprietary account (057ECB5693), effective September 28, 2018. Consequently, KIS Vietnam has identified, assessed, and established a contingency plan for scenarios where the Foreign Ownership Limit (FOL) is abruptly exhausted, impacting hedging activities as follows:
    + Risk Identification and Assessment: * The risk arises when the FOL for an underlying security is suddenly filled, directly obstructing hedging operations for issued warrants. This risk prevents KIS Vietnam from purchasing the underlying shares required to hedge its positions. Furthermore, it creates a compliance risk where KIS Vietnam may fail to meet current hedging regulations mandated by regulatory authorities and the previously approved hedging plan.
    + Risk Control Measures: To mitigate the risk of Foreign Ownership Limit (FOL) exhaustion, KIS Vietnam selects underlying shares based on the following criteria:
        - FOL Buffer: The ratio of available foreign room to the total free-float shares must exceed 10% at the time of calculation.
        - Corporate Actions: The underlying shares must not have any pending or upcoming corporate actions that could negatively impact the foreign room in the near future.
        - Room Capacity: The available foreign room must significantly exceed the required theoretical hedge position, assuming the warrants are In-the-Money (ITM) and the Delta coefficient is 1, based on the formula submitted to regulatory authorities.
        - Restricted Stocks: For stocks frequently hitting the FOL, KIS Vietnam ensures it pre-acquires the maximum quantity of underlying shares required for the registered issuance, assuming a Delta of 1.
        - Regulatory Compliance: KIS Vietnam guarantees that the quantity of underlying securities held for hedging purposes consistently meets the hedge position requirements mandated by current regulations.
    + Contingency Plan for Abrupt FOL Exhaustion:
        - Reporting: KIS Vietnam will submit an official explanatory document to the regulatory authorities should an abrupt exhaustion of foreign room impact its hedging operations.
        - Cash Collateralization: The Company will deposit cash into the proprietary hedging account equivalent to the value of the shortfall between the theoretical hedge position and the actual hedge position.

4. The Issuer's Experience in Issuing Covered Warrants

The Company has a team of personnel with professional expertise and extensive experience in activities related to Covered Warrants.

KIS Vietnam has many years of experience in the issuance, listing, and market-making of Covered Warrants, while ensuring the full performance of the obligations of the Covered Warrant Issuer in accordance with applicable laws and regulations.

KIS Vietnam has strong financial capacity, a large customer base, modern technology systems, and robust risk management practices; its financial safety ratios are consistently maintained at high levels.

IX. PARTIES INVOLVED IN THE ISSUANCE

1. Escrow Bank for Payment Collateral Assets

- Name of Escrow Bank: Joint Stock Commercial Bank for Investment and Development of Vietnam (BIDV) – Nam Ky Khoi Nghia Branch.
- Certificate of Registration for Securities Depository Operations: No. 106/GPHĐLK, issued by the State Securities Commission (SSC) on May 14, 2003.
- Registered head office address: No. 56 (new), 58, 60, 62, 64 Nam Ky Khoi Nghia Street, Sai Gon Ward, Ho Chi Minh City.
- Tel: (028) 3821 8812 Fax: (028) 3914 4714 Website: www.bidv.com.vn

2. Auditing organization

- Name of auditing organization: PricewaterhouseCoopers Consulting (Vietnam) Limited.
- Address: No. 29 Le Duan Street, Sai Gon Ward, Ho Chi Minh City.
- Tel: (028) 3823 0796 Fax: (028) 3823 0796
- Website: www.pwc.com/vn/

X. CONFLICTS OF INTEREST

We and our affiliates engage in banking, investment, brokerage, advisory, and other activities and may possess material information regarding the Company and/or the underlying securities, or may issue or update research reports on the Company and/or the underlying securities. Such activities, information, and/or research reports may relate to or affect the Company and/or the underlying securities and may result in adverse consequences for investors or create conflicts of interest related to the issuance of covered warrants. We are under no obligation to disclose such information and may issue research reports and engage in any such activities independently of the issuance of the covered warrants

The custodian bank receiving collateral for payment, the bank where the escrow account is opened, the issuer of the underlying securities, and the auditing organization are not related parties of the issuer in accordance with securities.

XI. COMMITMENT

The Company hereby commits to take full responsibility for the accuracy and truthfulness of the information and all attached documents in this prospectus.

XII. DATE, SIGNATURE, AND SEAL OF THE COMPANY REPRESENTATIVE AND THE DEPOSITORY BANK

Ho Chi Minh city, September 15, 2026 **LEGAL REPRESENTATIVE OF THE ISSUER** **CHIEF EXECUTIVE OFFICER (CEO)** **signed** **SHIN HYUN JAE**	Ho Chi Minh city, September 15, 2026 **LEGAL REPRESENTATIVE OF THE DEPOSITORY BANK** **DEPUTY DIRECTOR** **signed** **NGUYEN THI MINH CHAU**

XIII. APPENDIX

- License for Establishment and Operation of Securities Company No. 56/UBCK-GPHĐKD initially issued by the State Securities Commission on July 05, 2007, and the latest Amendment License No. 97/GPĐC-UBCK issued by the State Securities Commission on August 20, 2026.
- Resolution approving the additional issuance of covered warrants.
- Official letter on risk assessment in the event of foreign ownership limit (room) restrictions for covered warrant issuers that are foreign institutions.
- Collateral deposit agreement for payment security.