

**CÔNG TY CỔ PHẦN CHỨNG
KHOÁN KIS VIỆT NAM
KIS VIETNAM SECURITIES
CORPORATION**

Số/ No.: 191/CBTT-KIS

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc**

THE SOCIALIST REPUBLIC OF VIET NAM
Independence – Freedom – Happiness

TP.HCM, ngày 23 tháng 9 năm 2026
Ho Chi Minh City, September 23, 2026



**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/ To: Ủy ban Chứng khoán Nhà nước/ State Securities Commission
Sở Giao dịch Chứng khoán Việt Nam/ Viet Nam Stock Exchange
Sở Giao dịch Chứng khoán Hà Nội/ Hanoi Stock Exchange
Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ Ho Chi Minh Stock Exchange

1. Tên tổ chức/ Name of organization: **Công ty Cổ phần Chứng khoán KIS Việt Nam/ KIS Vietnam Securities Corporation**

- Mã chứng khoán/Mã thành viên/ Securities code/Member code: 057

- Địa chỉ/ Address: Tầng 3 và tầng 11, Tòa nhà ROX, 180 - 192 Nguyễn Công Trứ, phường Bến Thành, TP Hồ Chí Minh/ 3rd Floor and 11th Floor, ROX Building, 180-192 Nguyen Cong Tru Street, Ben Thanh Ward, Ho Chi Minh City

- Điện thoại liên hệ/ Telephone: (028) 3914.8585

Fax: (028) 3821.6898

- E-mail: cskh@kisvn.vn

2. Nội dung thông tin công bố/ Disclosed information: Ngày 23/9/2026, Công ty cổ phần chứng khoán KIS Việt Nam nhận được Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm do Ủy Ban chứng khoán nhà nước cấp ngày 22/9/2026 như sau/ On September 23, 2026, KIS Vietnam Securities Corporation received the Certificates of Registration for the Offering of Covered Warrants issued by the State Securities Commission on September 22, 2026, as follows:

- Giấy chứng nhận đăng ký chào bán chứng quyền có bảo đảm số 444/GCN-UBCK đối với Chứng quyền.VHM.KIS.6M.43.
Certificate of Registration for the Offering of Covered Warrants No. 444/GCN-UBCK for Covered Warrant VHM.KIS.6M.43.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 23/9/2026 tại đường dẫn <http://www.kisvn.vn/> This information was published on the Company's website on September 23, 2026 at: <http://www.kisvn.vn>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./ We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/ Attached documents:

Tài liệu liên quan đến nội dung thông tin công bố/
Documents on disclosed information.

Đại diện tổ chức/ Organization representative

Người đại diện theo pháp luật

Legal Representative



SHIN HYUN JAE
TỔNG GIÁM ĐỐC
GENERAL DIRECTOR





Hanoi, September 22, 2026

CERTIFICATE

Registration for the Offering of Covered Warrant

CHAIRPERSON OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14, as amended and supplemented by Law No. 56/2024/QH15;

Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated September 11, 2025;

Pursuant to Decision No. 686/QĐ-BTC dated March 28, 2025 of the Minister of Finance stipulating the functions, tasks, powers, and organizational structure of the State Securities Commission;

Pursuant to Circular No. 122/2025/TT-BTC dated December 22, 2025 of the Minister of Finance guiding covered warrants;

Pursuant to Establishment and Operation License No. 56/UBCK-GPHĐKD dated July 5, 2007 issued by the State Securities Commission to KIS Vietnam Securities Corporation;

Pursuant to the dossier for registration of the additional offering of covered warrants submitted KIS Vietnam Securities Corporation;

At the proposal of the Head of the Securities Business Management Department.

DECIDES:

Article 1. To grant the Certificate of Registration for the offering of covered warrants to KIS Vietnam Securities Corporation. KIS Vietnam Securities Corporation is permitted to make an additional offering of covered warrants in accordance with the following details:

1. Name of the warrant: Covered Warrant.VHM.KIS.6M.43
2. Name (code) of the underlying security: VHM
3. Issuer of the underlying security: Vinhomes Joint Stock Company
4. Warrant code: CVHM2613
5. Offering volume: 4,00,000 warrants
6. Conversion ratio: 9.6297:1
7. Warrant maturity: 30 November, 2026



8. Type of warrant: Call

9. Style of warrant: Warrants that may only be exercised by the holder at maturity.

10. Method of settlement upon exercise: Warrants are cash-settled.

11. Custodian bank for collateral for settlement: Joint Stock Commercial Bank for Investment and Development of Viet Nam (BIDV) – Nam Ky Khoi Nghia Branch

Article 2. KIS Vietnam Securities Corporation shall comply with Vietnamese laws and regulations on securities and the securities market and with the contents stated in this Certificate, and shall bear full responsibility before the law for the legality, accuracy, truthfulness, and completeness of the dossier.

Article 3. This Certificate shall take effect from the date of signing.

Article 4. This Certificate is issued in 05 originals: 01 original issued to KIS Vietnam Securities Corporation; 01 original retained at the State Securities Commission; 01 original sent to the Ho Chi Minh City Stock Exchange; 01 original sent to the Vietnam Securities Depository; 01 original sent to the Joint Stock Commercial Bank for Investment and Development of Viet Nam - Nam Ky Khoi Nghia Branch.



OBF. CHAIRPERSON

DEPUTY CHAIRPERSON

(Signed and stamped)

Le Thi Viet Nga